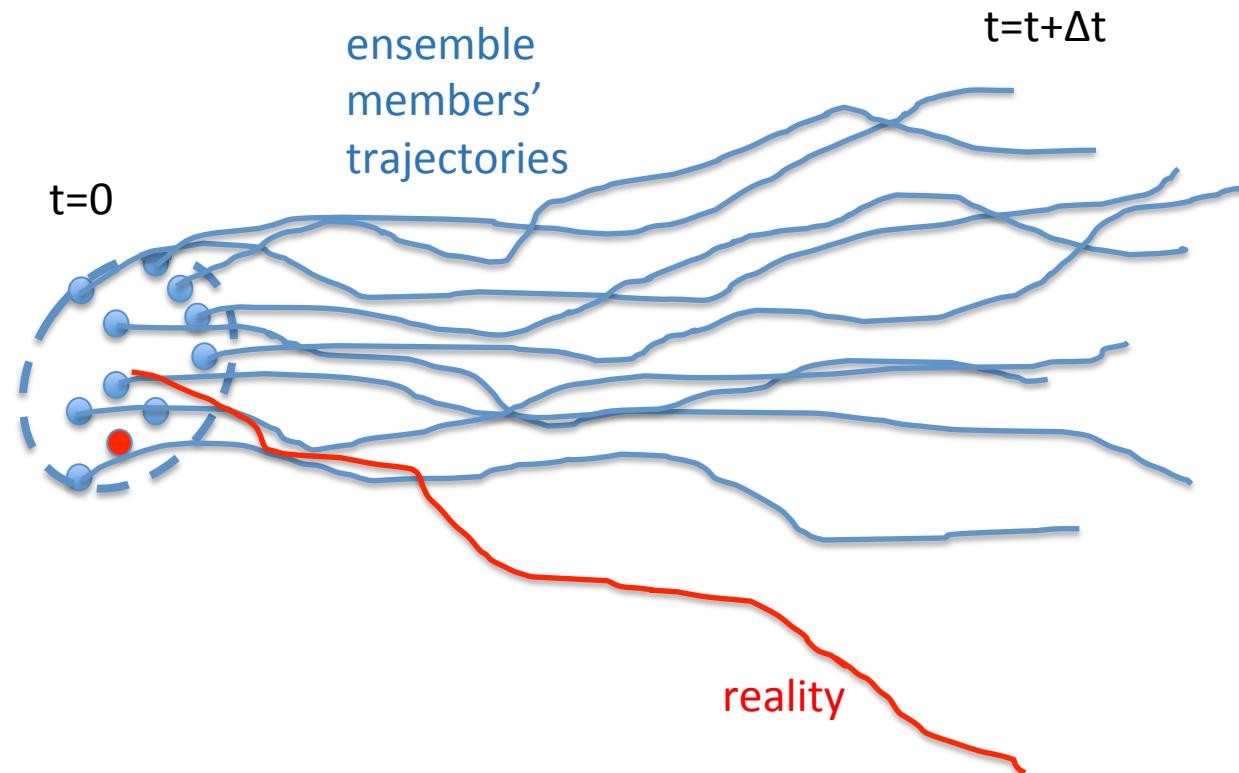


# Tests of various schemes for representing model uncertainty in the GFS



Jeff Whitaker, Phil Pégion and Tom Hamill  
(with help from Dingchen Hou)

# Methods for representing model uncertainty in ensembles

- Multi-model ensembles
  - Pros
    - Everybody gets to keep working on their own model.
    - Seems to work well for seasonal predictions
  - Cons
    - Heavy maintenance burden – hard to keep all models equally skillful.
    - Addresses uncertainties in model formulation – but not the effects of sub-grid scale variability.

# Methods for representing model uncertainty in ensembles

- Parameter perturbations
  - Pros
    - Relatively simple to create (no need to develop new schemes).
  - Cons
    - How to determine the sensitive parameters, what a reasonable parameter range is?
    - Nonlinear interactions between processes (radiation/convection/boundary layer). Easy to push model into an unrealistic regime.

# Methods for representing model uncertainty in ensembles

- Stochastic parameterization
  - Pros
    - Potentially a more rigorous approach.
    - They have a deterministic limit – can maintain a single model for deterministic and ensemble prediction.
  - Cons
    - Hard to find observations to inform development (use LES simulations instead?)
    - Should be done from the ground-up, at the process level.

# NCEP operational scheme (STTP)

## Stochastic Total Tendency Perturbation

Scheme (*Hou, Toth and Zhu, 2006*)

**NCEP operation – Feb. 2010**

**Formulation:**  $\frac{\partial X_i}{\partial t} = T_i(X_i; t) + \gamma \sum_{j=1, \dots, N} w_{i,j} T_j(X_j; t)$

**Simplification: Use finite difference form for the stochastic term**

**Modify the model state every 6 hours:**

$$\dot{X}_i = X_i + \gamma \sum_{j=1}^N w_{i,j}(t) \left\{ \left[ (X_j)_t - (X_j)_{t-6h} \right] - \left[ (X_0)_t - (X_0)_{t-6h} \right] \right\}$$

Where  $w$  is an evolving combination matrix, and  $\gamma$  is a rescaling factor.

random linear combinations of ensemble tendency perturbations added to state every 6-h (entire ensemble must be run concurrently).

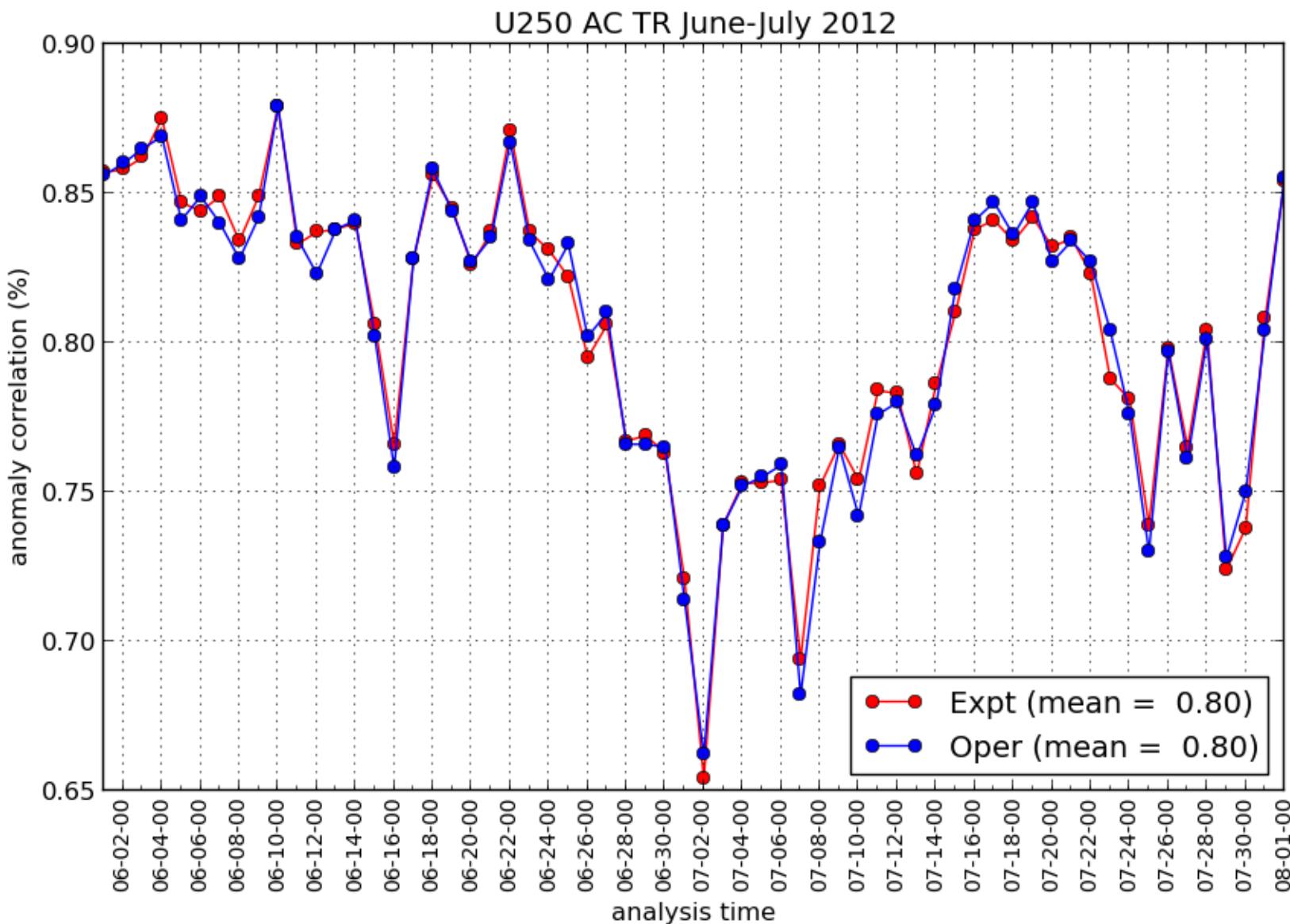
# Schemes tested

- Stochastically-perturbed **physics** tendencies (SPPT) – operational ECMWF scheme.
- Vorticity confinement (VC) – under development at UKMET and ECMWF.
- Stochastically-perturbed boundary-layer humidity (SHUM).

# Simplified version of GFS for prototyping

- GFS dycore modified to make it easier to prototype new schemes. Not a parallel development path!
  - No MPI (runs on a single node using openMP threading). Entire 3-D grids easily accessible. Code easier to modify.
  - On one 12-core jet node, runs twice as slow as opnl GFS on two nodes (same throughput per CPU).
- Differences with operational GFS
  - Uses two time-level semi-implicit RK3 (Kar, 2006), instead of three-time level semi-implicit leapfrog.
  - No reduced gaussian grid, NSST, surface cycling.
- [gfs-dycore.googlecode.com](http://gfs-dycore.googlecode.com) (branches/stochastic)

# Validation of simplified GFS (AC skill)



# ECMWF method (SPPT)

Stochastically Perturbed Physics Tendency

- Perturbed Physics tendencies

$$X_p = (1 + r\mu)X_c$$

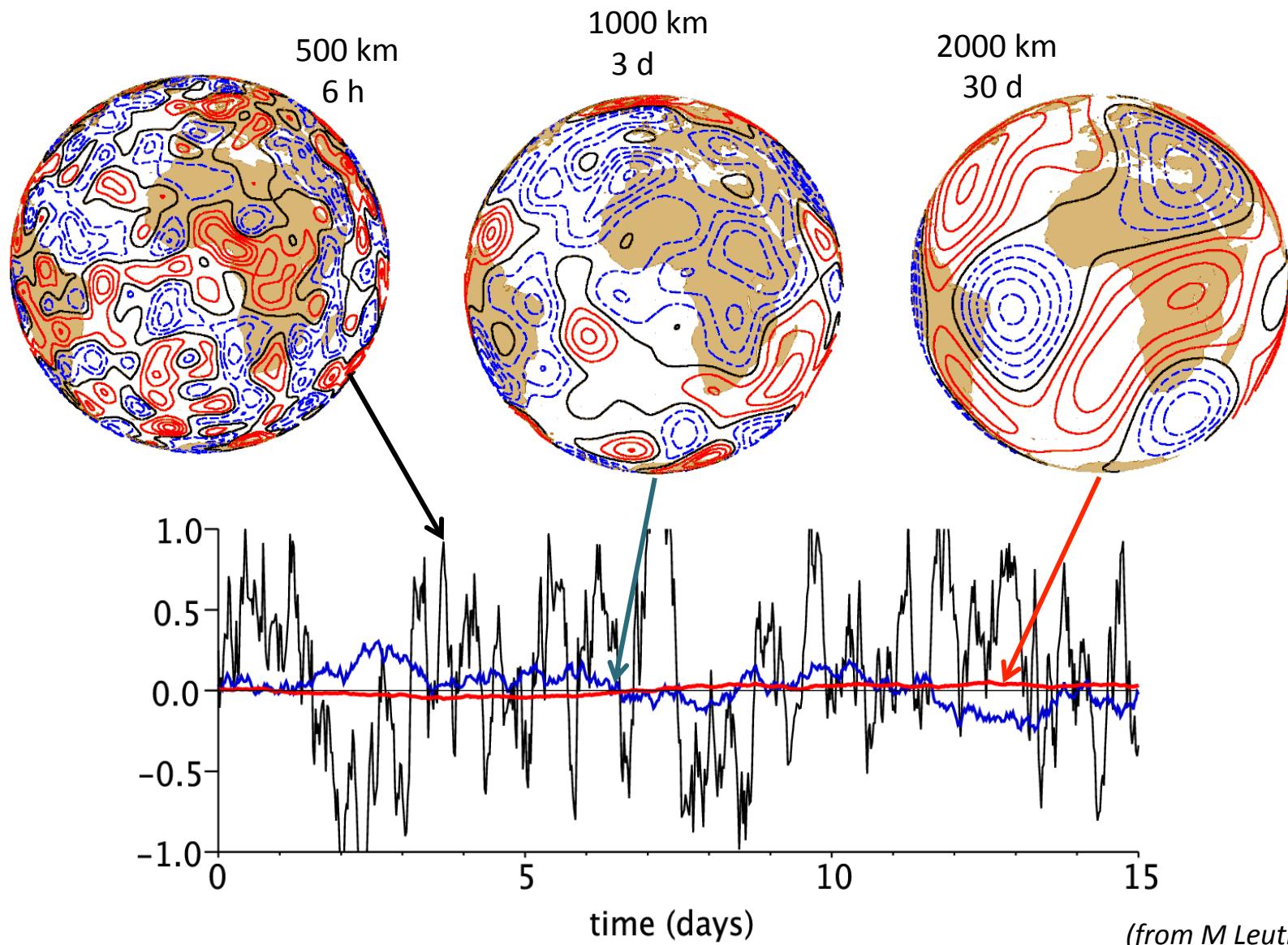
 Original tendencies  
from gbphys

**$\mu$** - vertical weight: 1.0 between surface and 100 hPa, decays to zero between 100 hPa and 50 hPa.

**$r$** - horizontal weights: ranges from -1.0 to 1.0, a red noise process with a

- Temporal timescale of 6 hours
- e-folding spatial scale of 500 km

# Examples of stochastic patterns

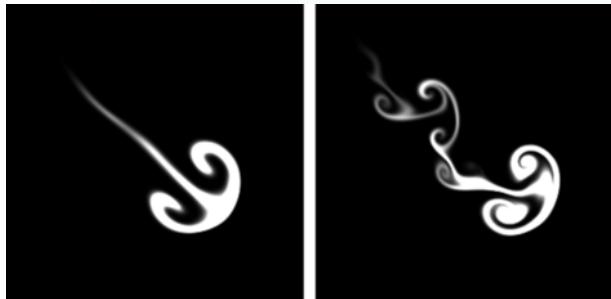


(from M Leutbecher)

# Vorticity confinement

(Sanches, Williams and Shutts, 2012 QJR doi 10.1002)

$$\frac{D\mathbf{V}_H}{Dt} + f\mathbf{k} \times \mathbf{V}_H + \nabla\phi = \mu\nabla^2\mathbf{V}_H + \epsilon\hat{\mathbf{n}} \times |\zeta| \hat{\mathbf{k}}$$



$$\hat{\mathbf{n}} = \frac{\nabla_H \zeta}{|\nabla_H \zeta|}$$

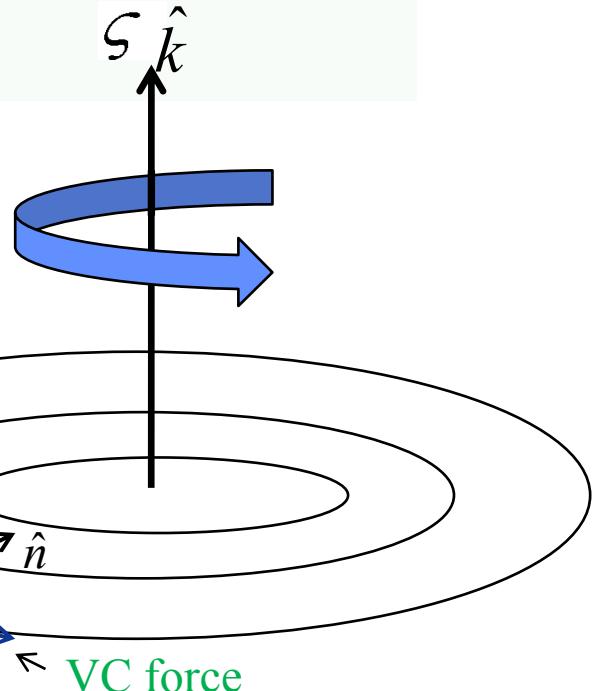


Figure 6: Two frames of animation from two mpeg movies created using `flowanim` and `mpeg2encode`. Both frames depict the 60th frame of the movie. The left animation is created without vorticity confinement, the one on the right with vorticity confinement and a relatively high force factor

$\epsilon\hat{\mathbf{n}}$  acts as an advective velocity

$\epsilon=0.6$  in our experiments

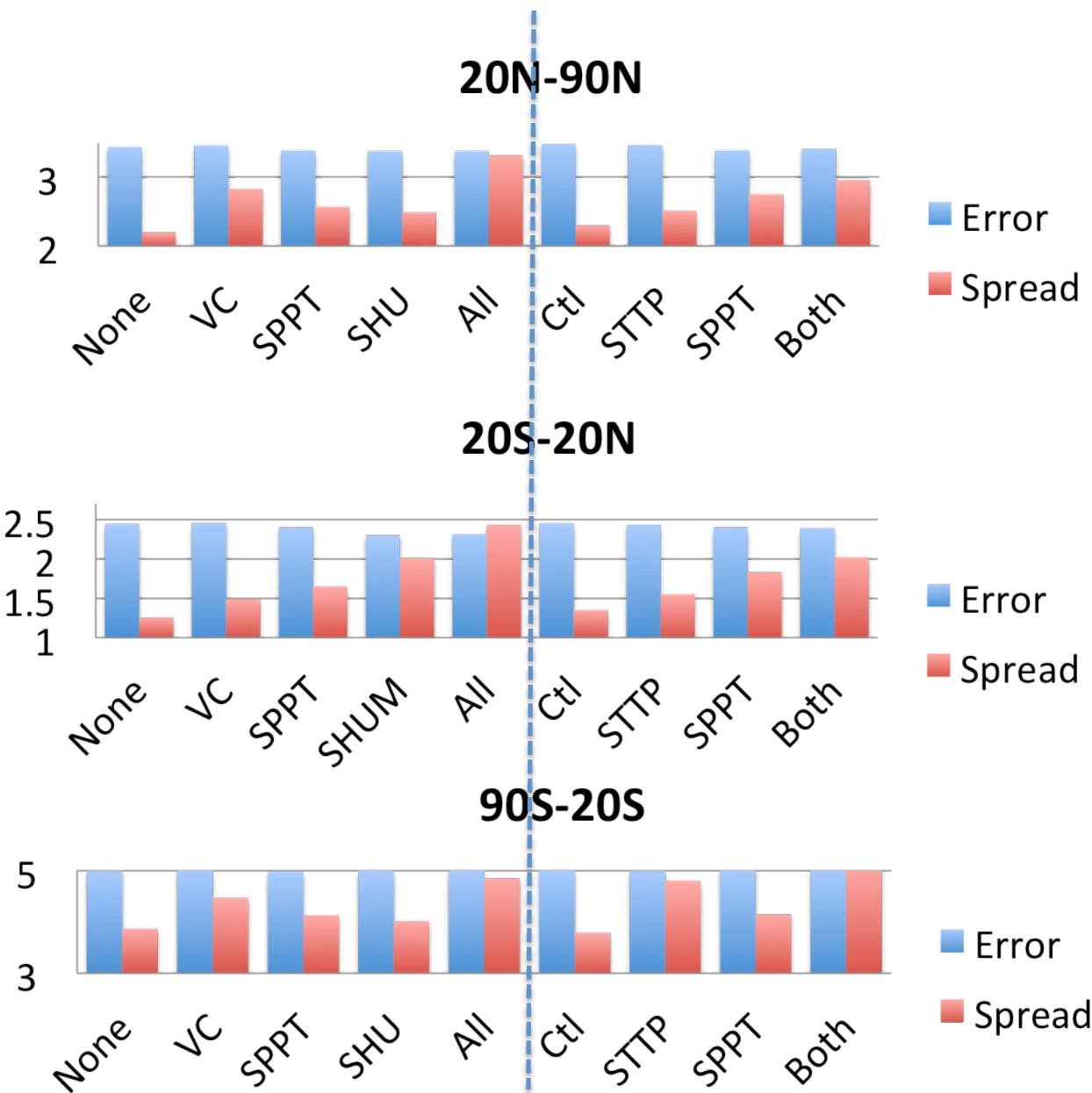
# Stochastic boundary-layer humidity

- SPPT only modulates existing physics tendency (cannot change sign, trigger new convection).
- Triggers in convection schemes very sensitive to BL humidity.

$$q_{perturbed} = (1 + r\mu)q$$

- Vertical weight  $r$  decays exponentially from surface. Added every time step after physics applied. Random pattern  $\mu$  has a (very small) amplitude of 0.00375, horizontal/vertical scales (250 km, 3-h).

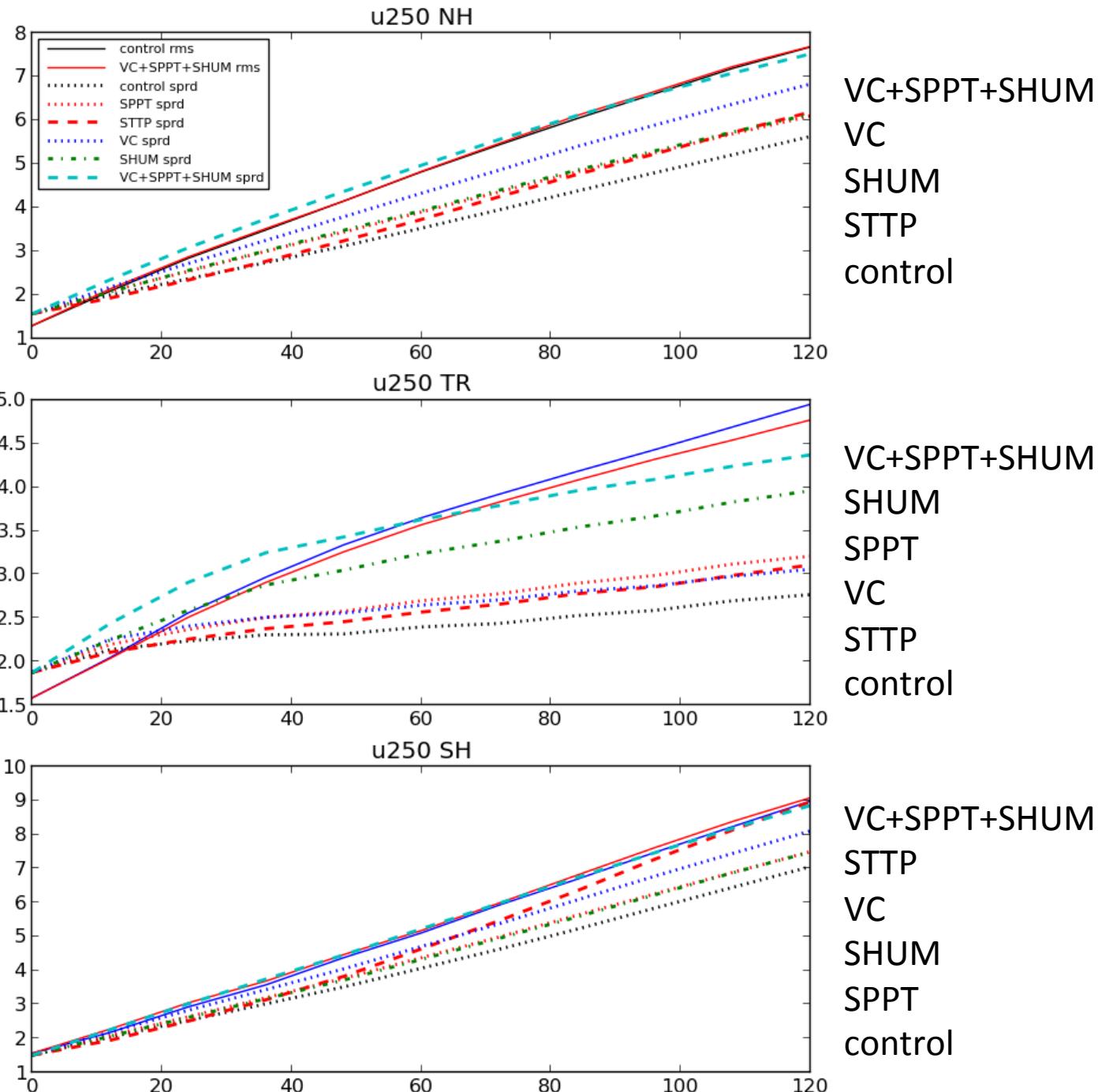
# 850 mb Zonal Wind forecast statistics



# U250 spread/ error growth

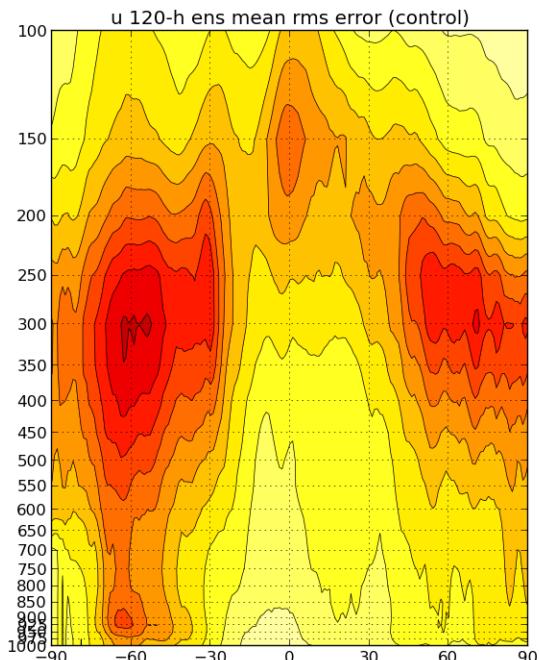
RMS error reduced  
In tropics

Much faster spread  
growth with SHUM



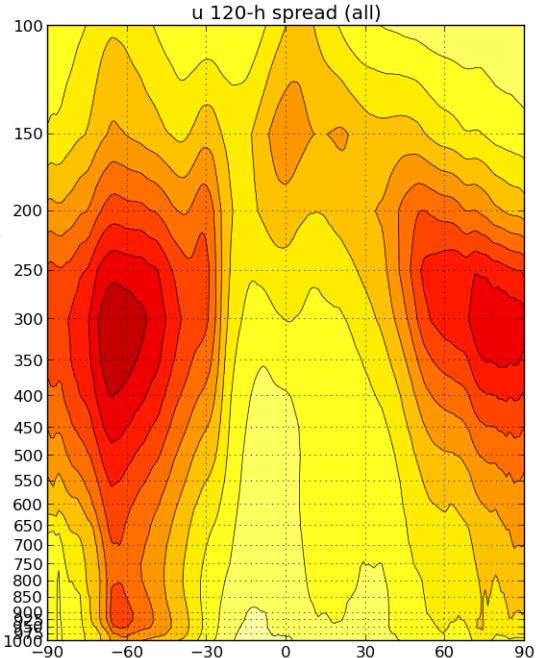
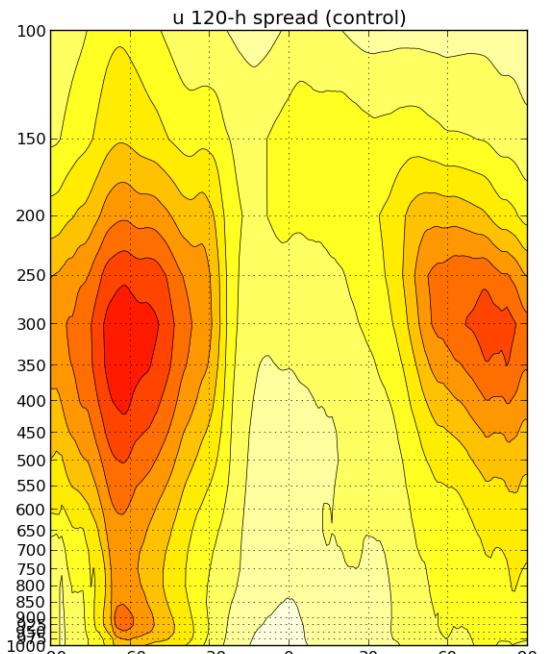
# Zonal Wind Spread

Ensemble Mean Error  
(control)

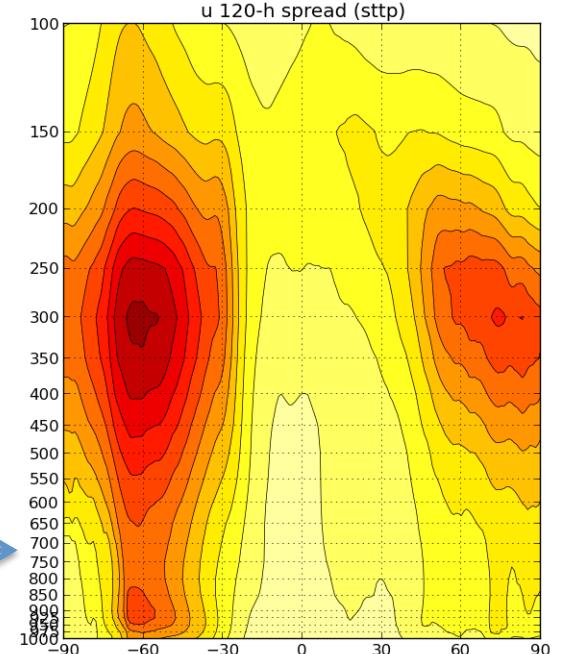


Ensemble Spread  
(SPPT+SHUM+VCI)

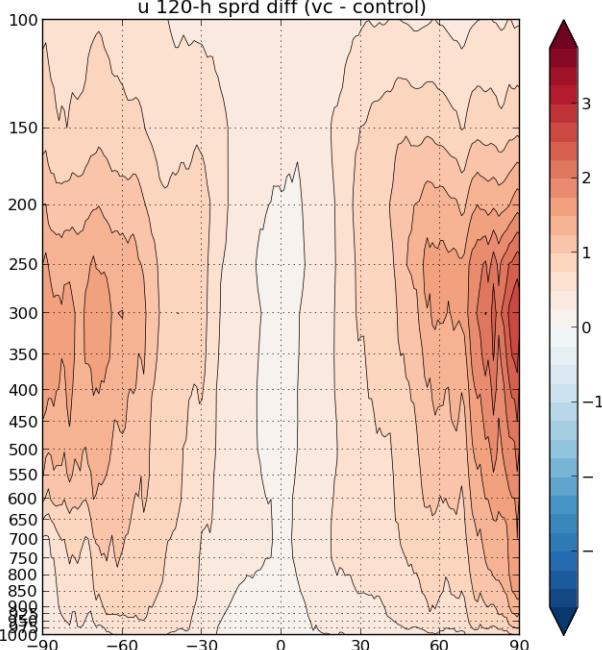
Ensemble Spread  
(control)



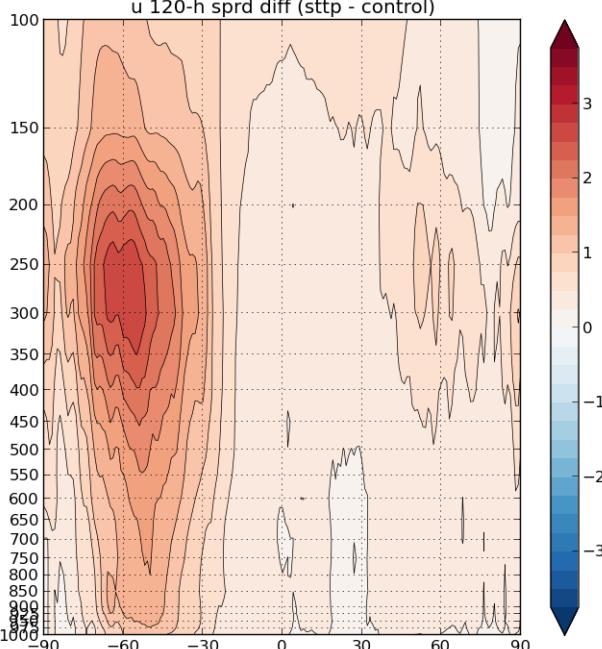
Ensemble Spread  
(STTP)



## VC spread – control spread

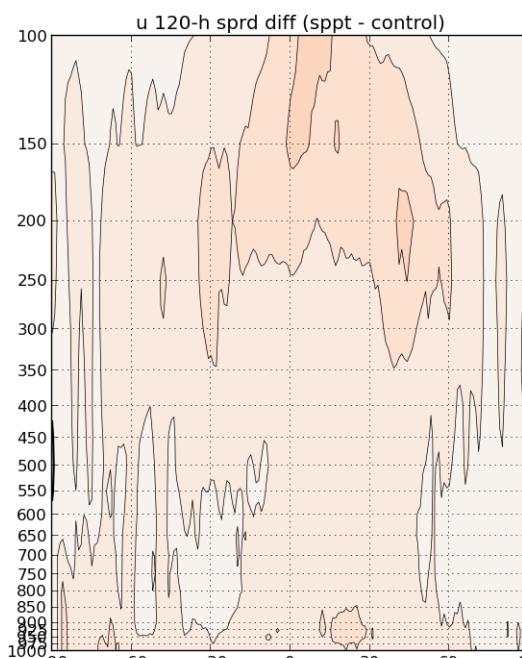


## STTP spread – control spread

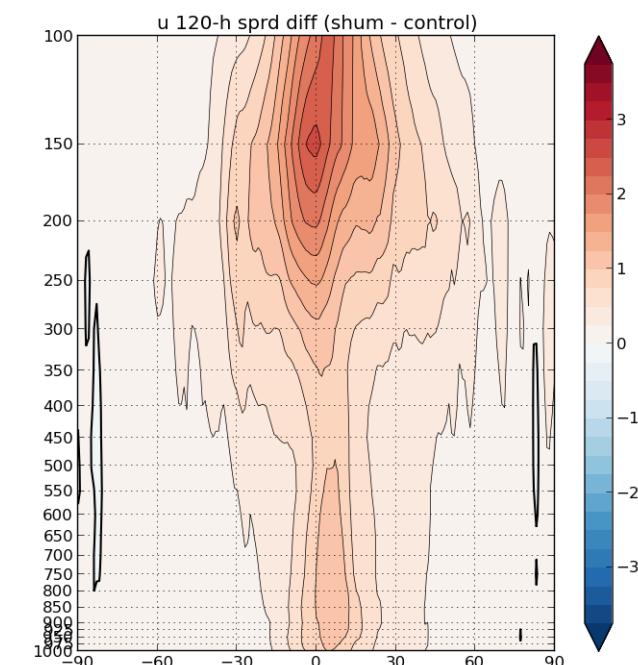


# U spread differences

## SPPT spread – control spread

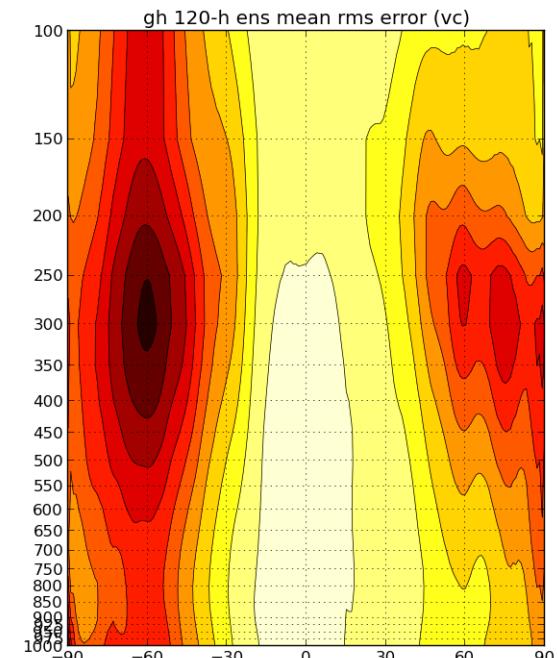


## SHUM spread – control spread

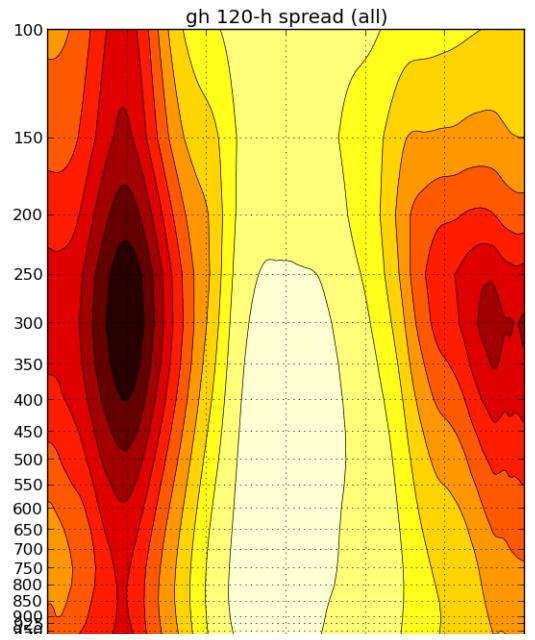
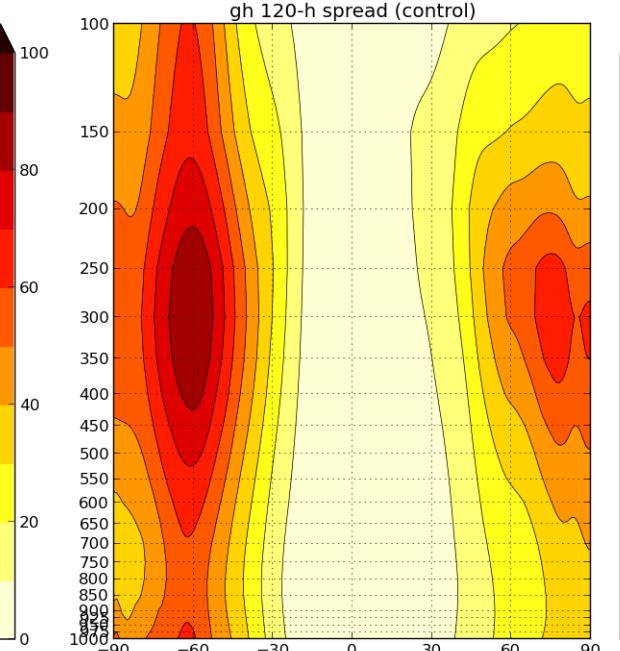


## Ensemble Spread (SPPT+SHUM+VC)

Ensemble Mean Error  
(control)

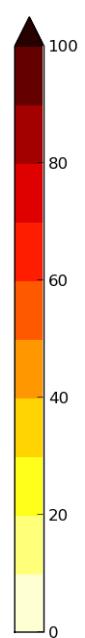
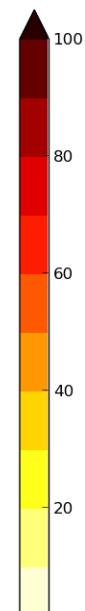
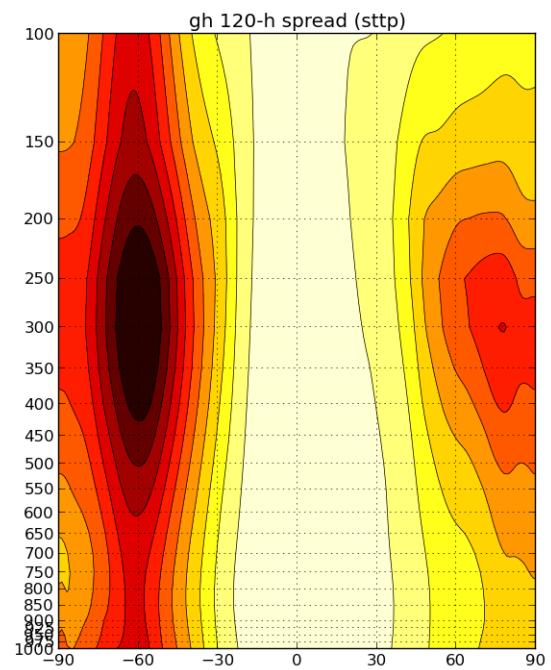


Ensemble Spread  
(control)

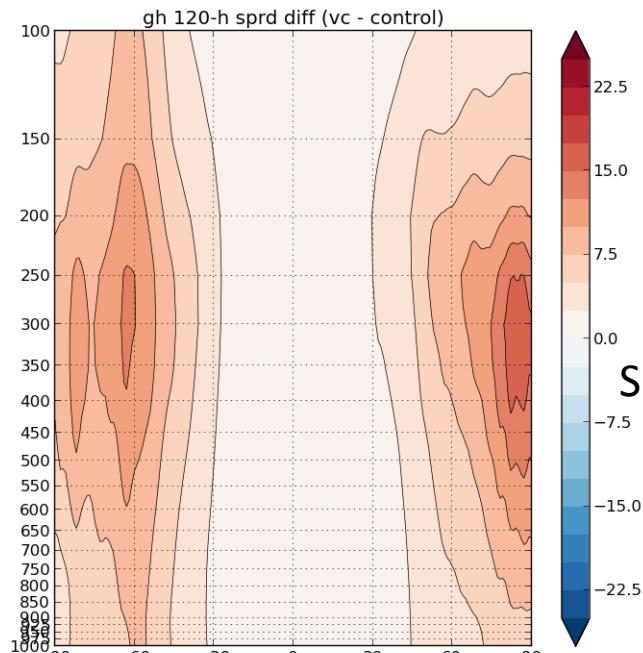


## Geopotential Height Spread

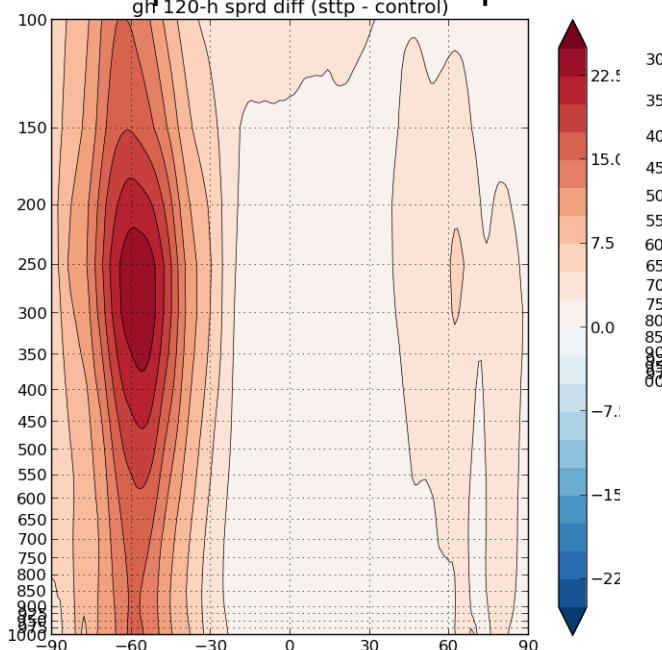
Ensemble Spread  
(SPPTI)



## VC spread – control spread

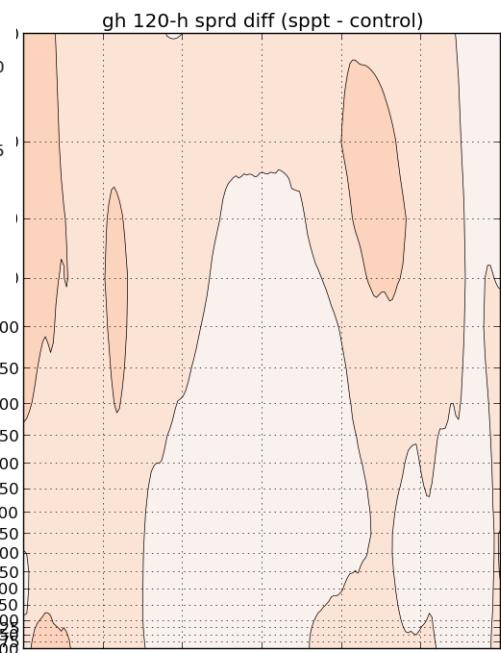


## STTP spread – control spread

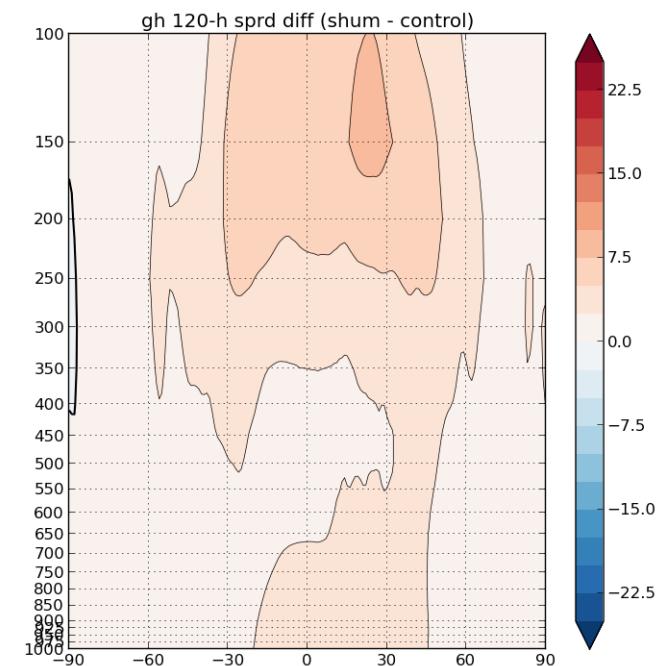


# Z spread differences

## SPPT spread – control spread



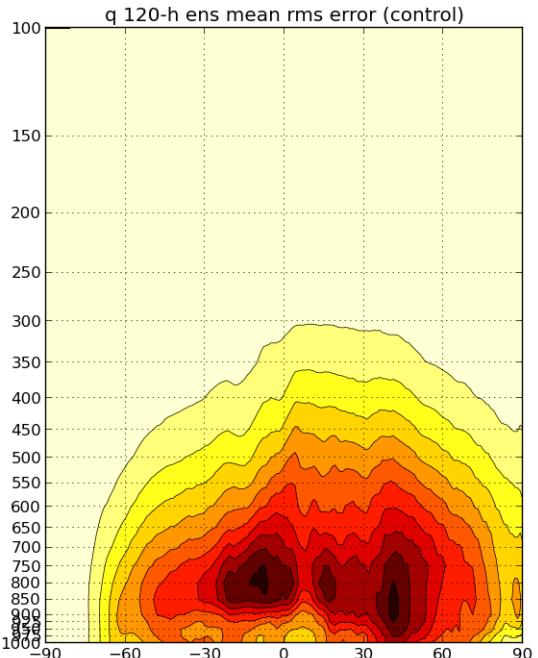
## SHUMs spread – control spread



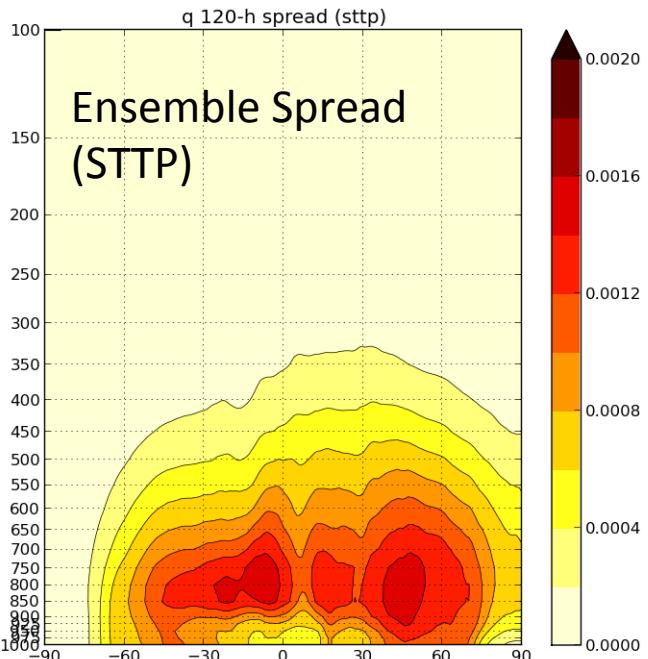
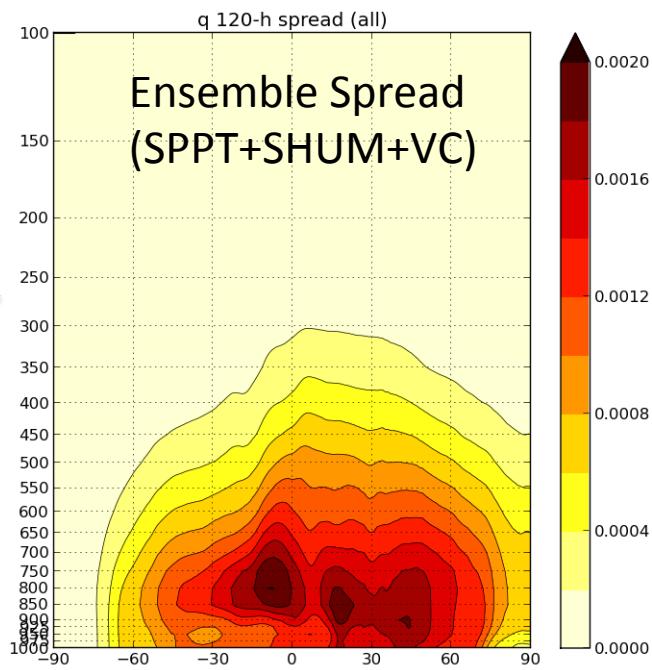
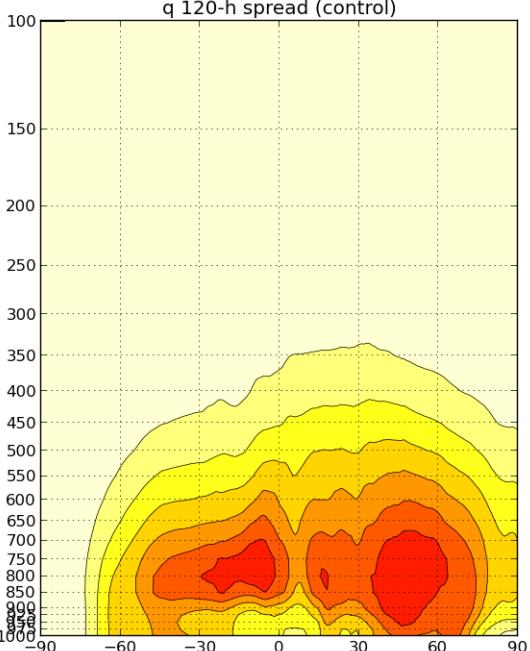
# Specific Humidity Spread

Almost all of the spread increase comes from SHUM →

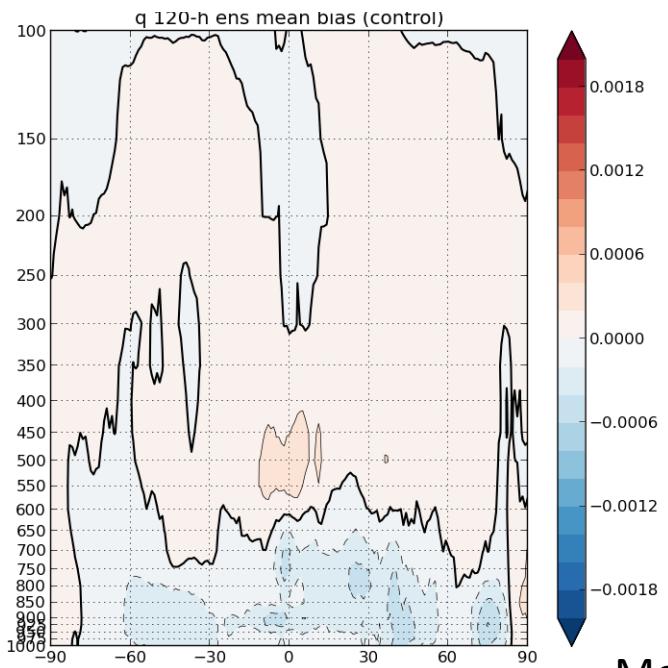
Ensemble Mean Error  
(control)



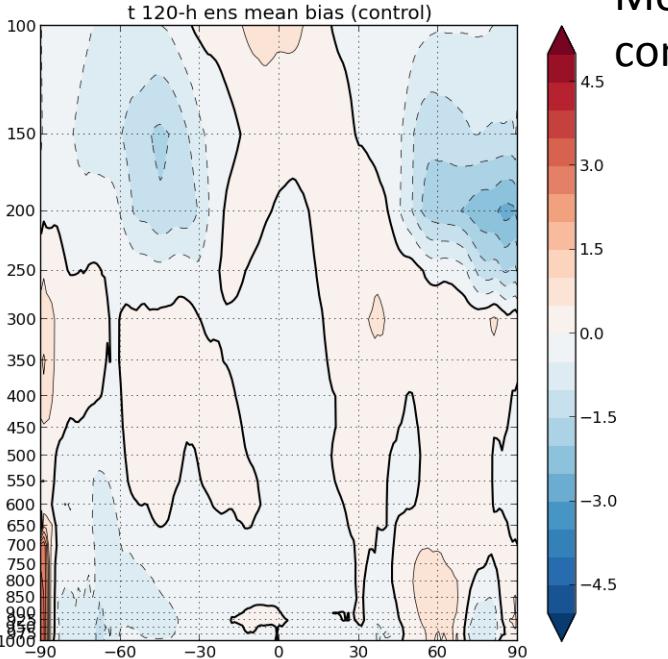
Ensemble Spread  
(control)



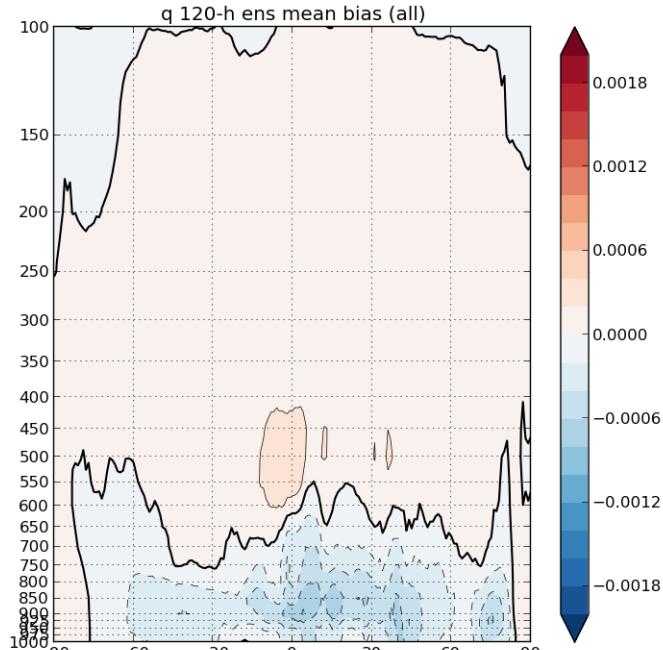
# Bias



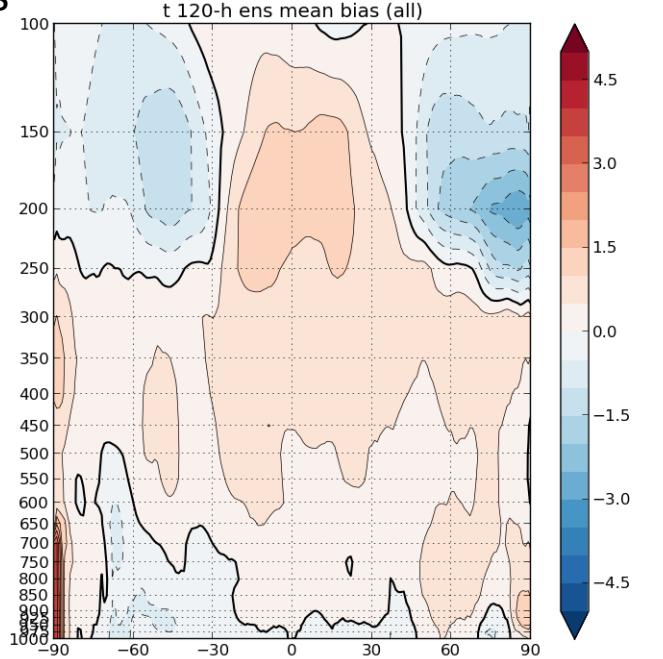
Humidity  
← Control  
ALL →



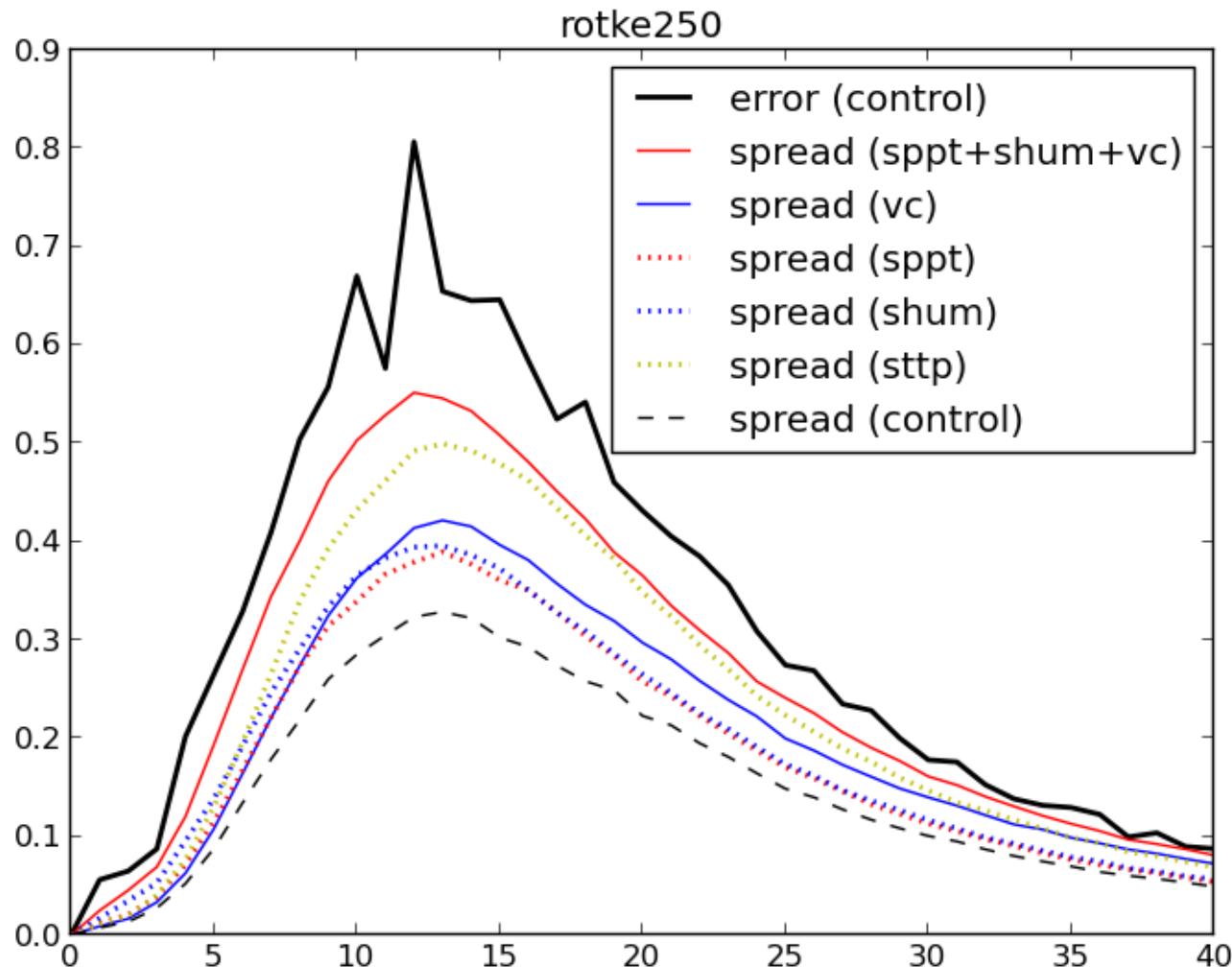
Temp  
← Control  
ALL →



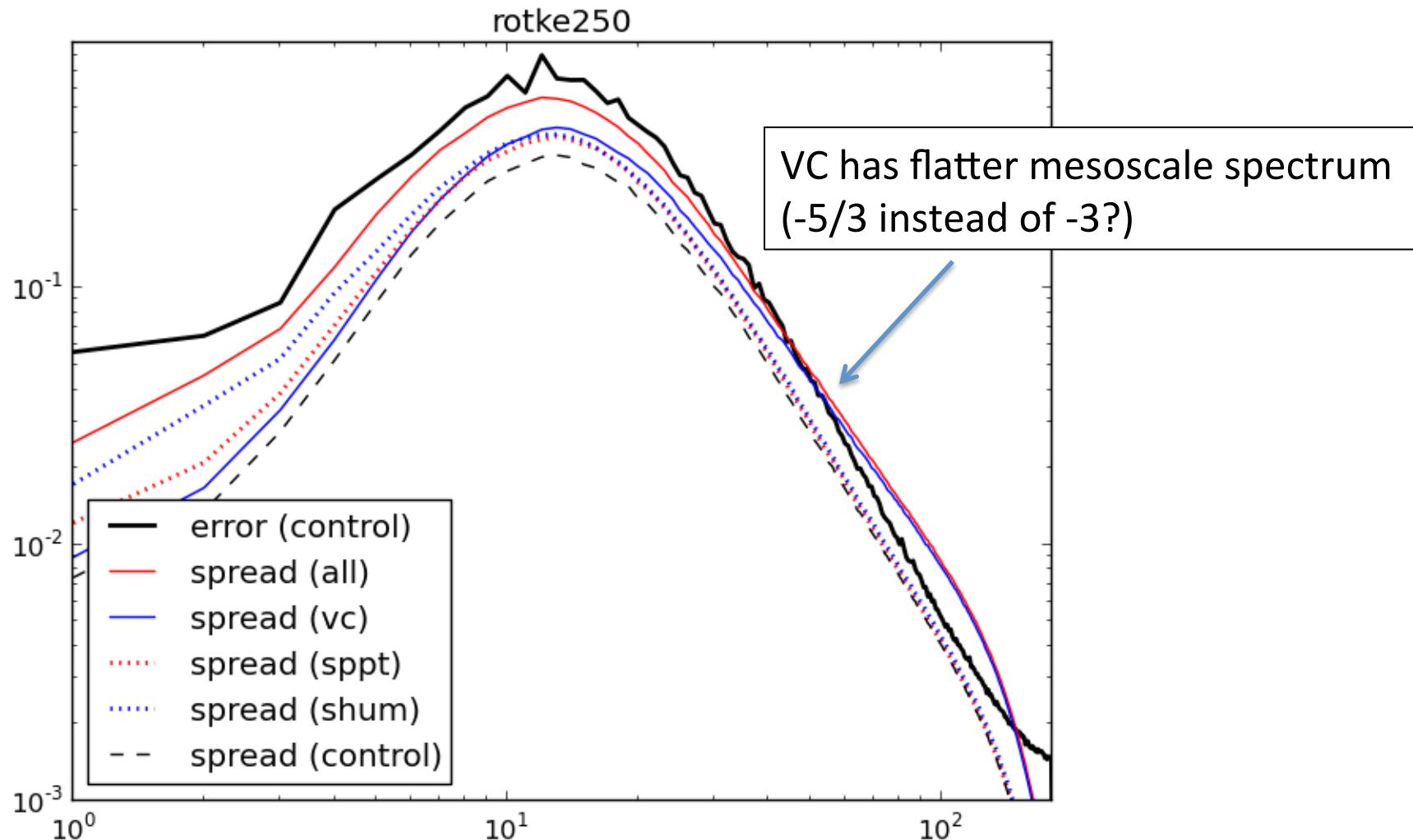
Most of additional bias  
comes from SHUM



# KE spectra

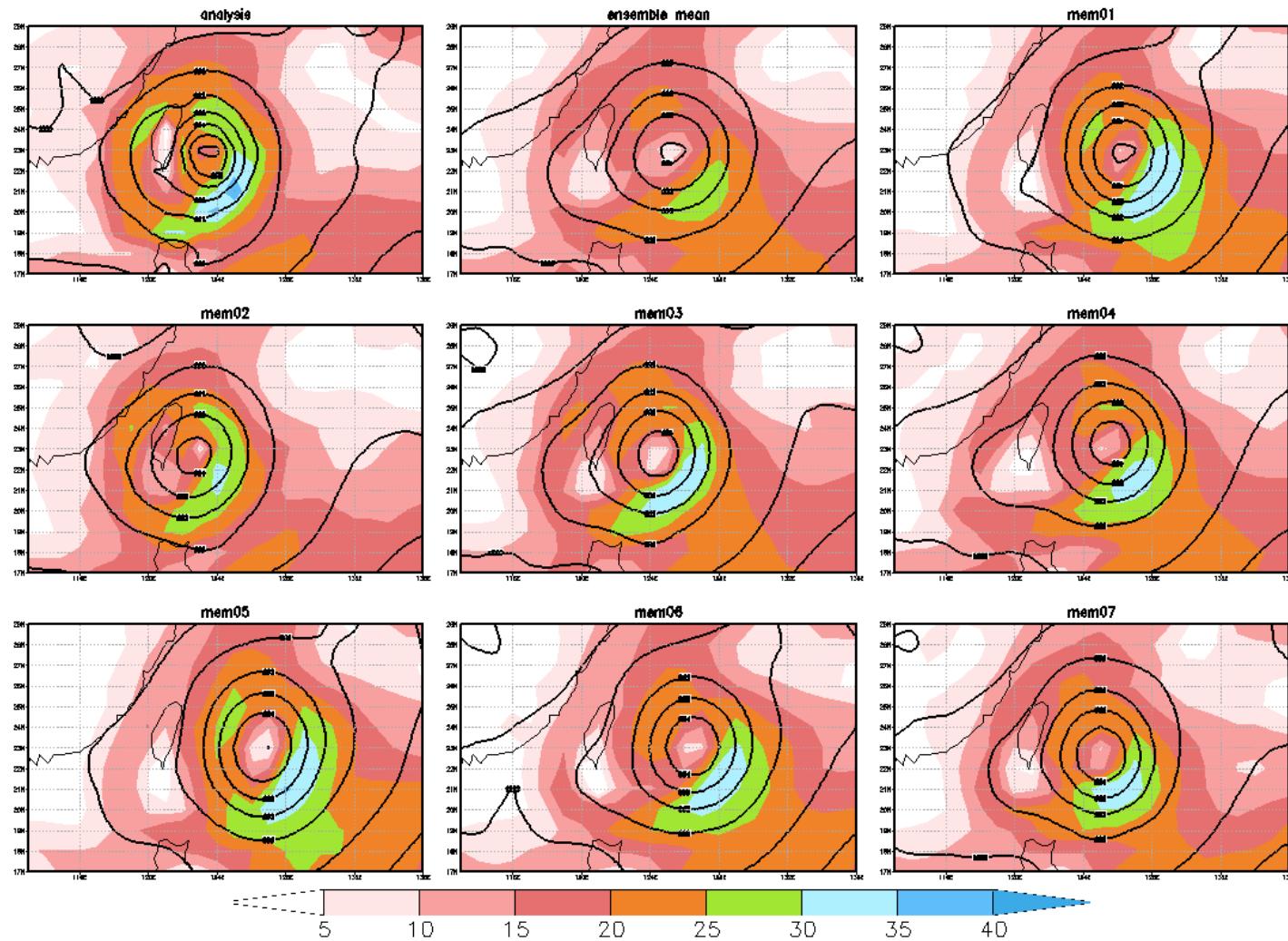


# KE spectra (log-log)



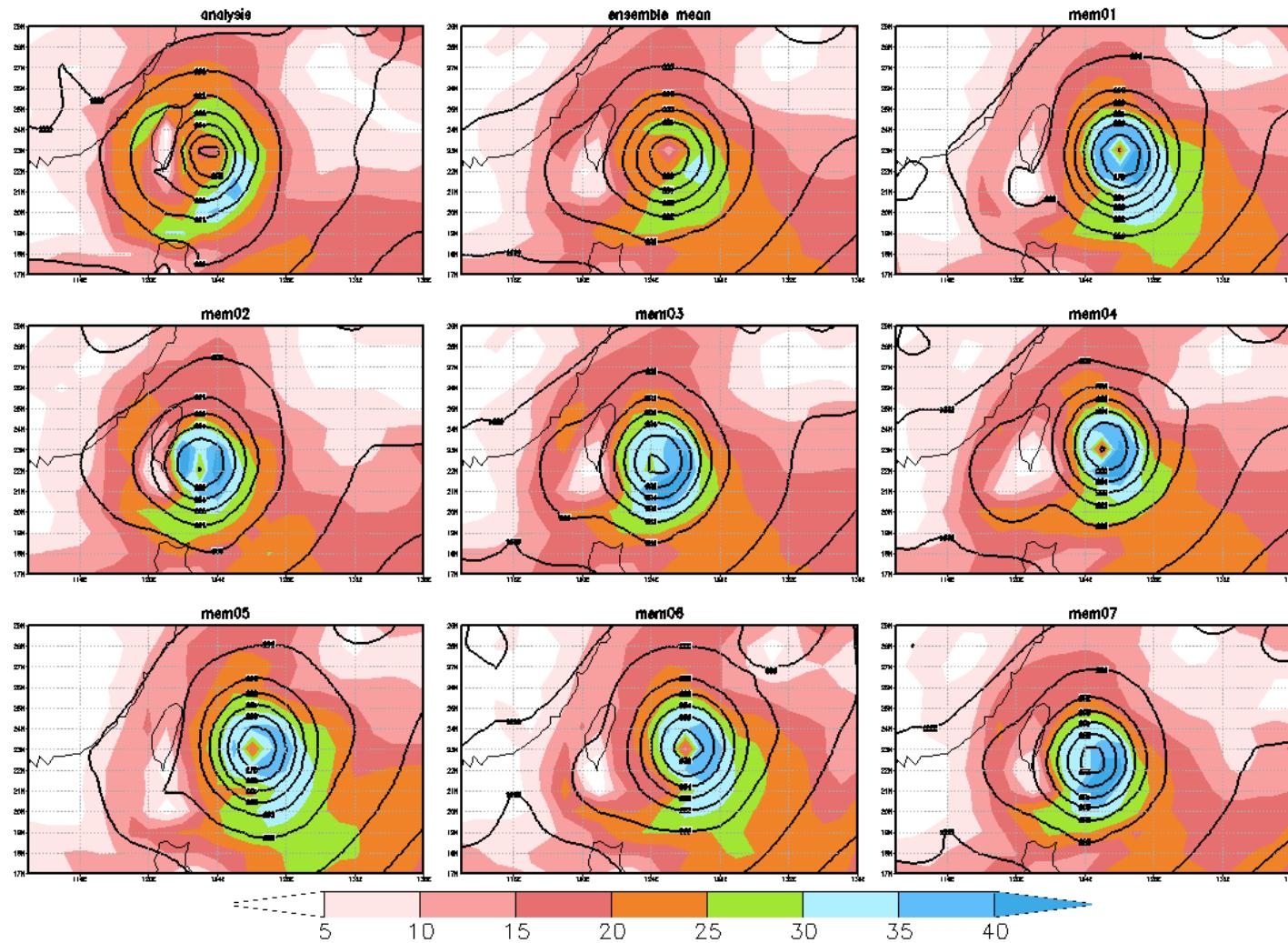
# Typhoon Saola 0z1aug2012

72h fcst ic:0z29jul2012 ctl



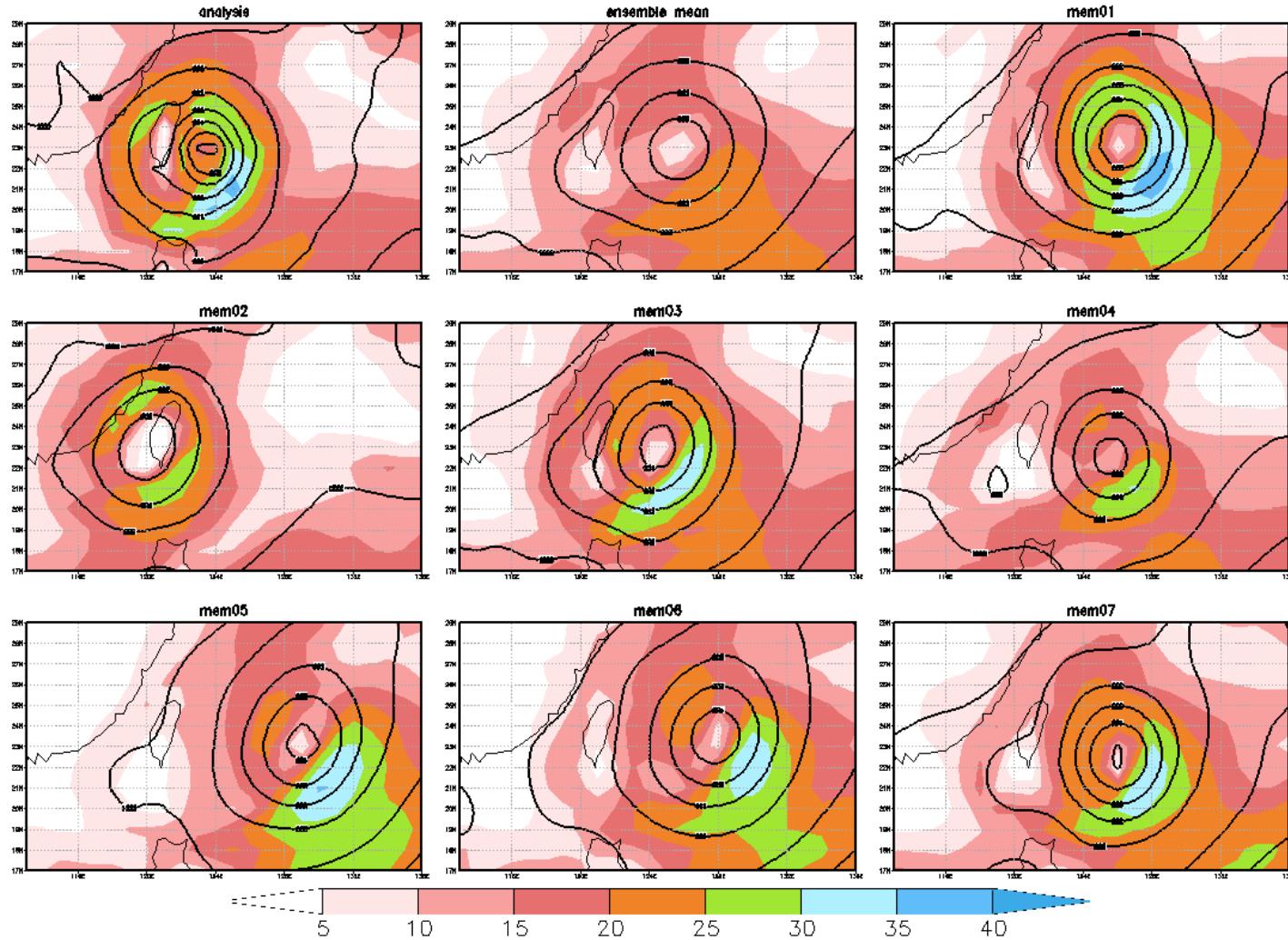
# Typhoon Saola 0z1aug2012

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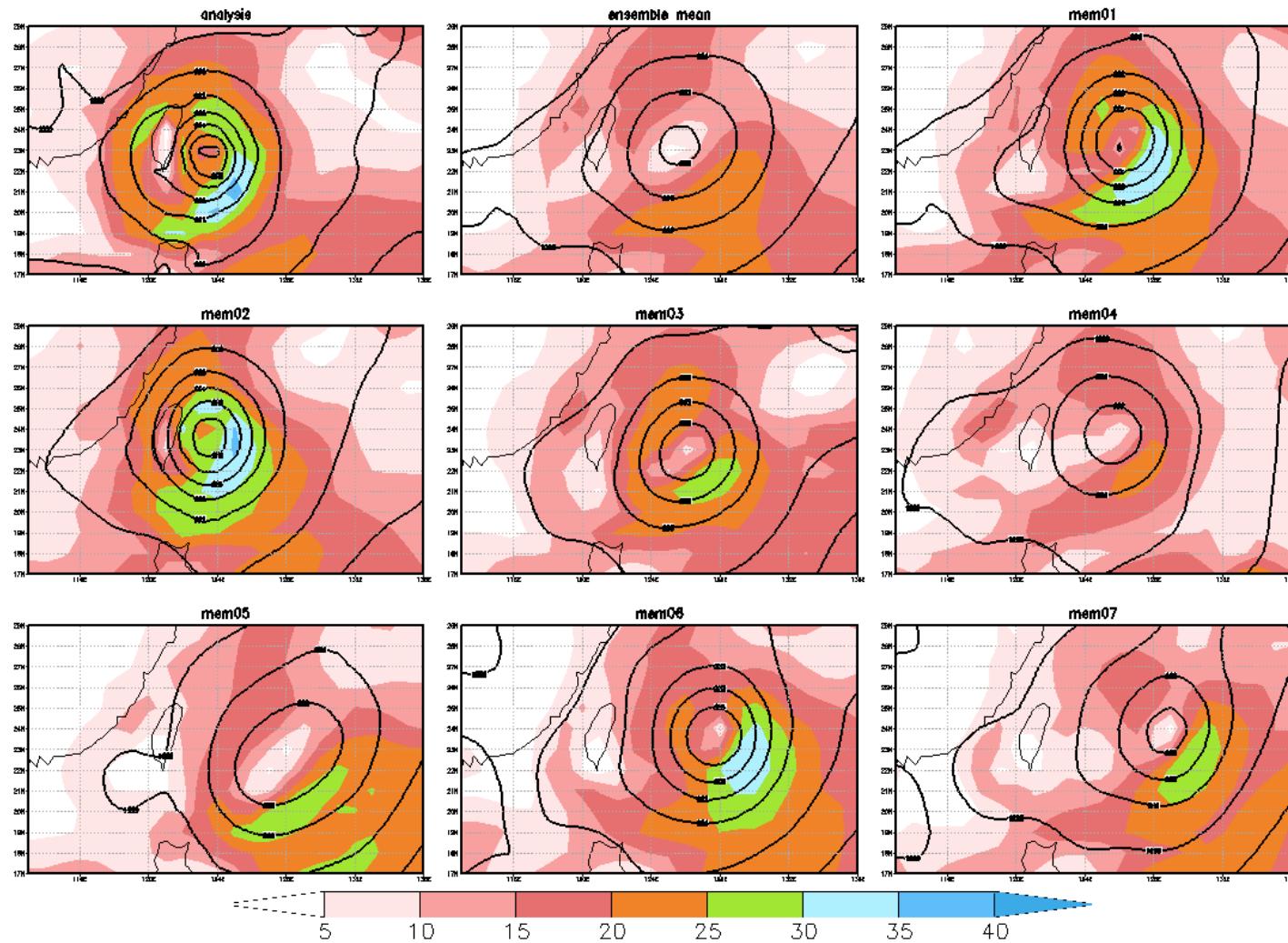
# Typhoon Saola 0z1aug2012

72h fcst ic:0z29jul2012 sppt



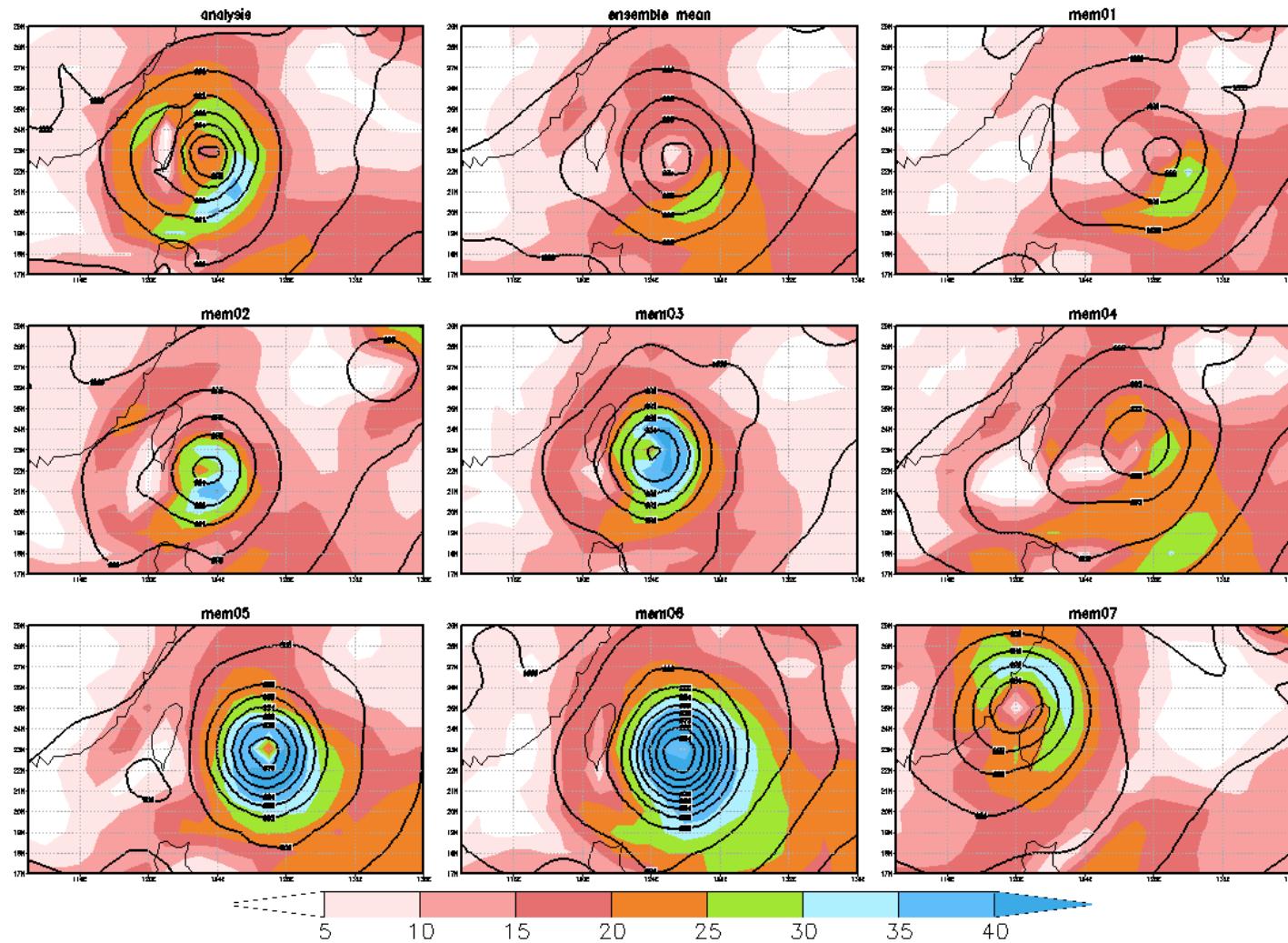
# Typhoon Saola 0z1aug2012

72h fcst ic:0z29jul2012 shum



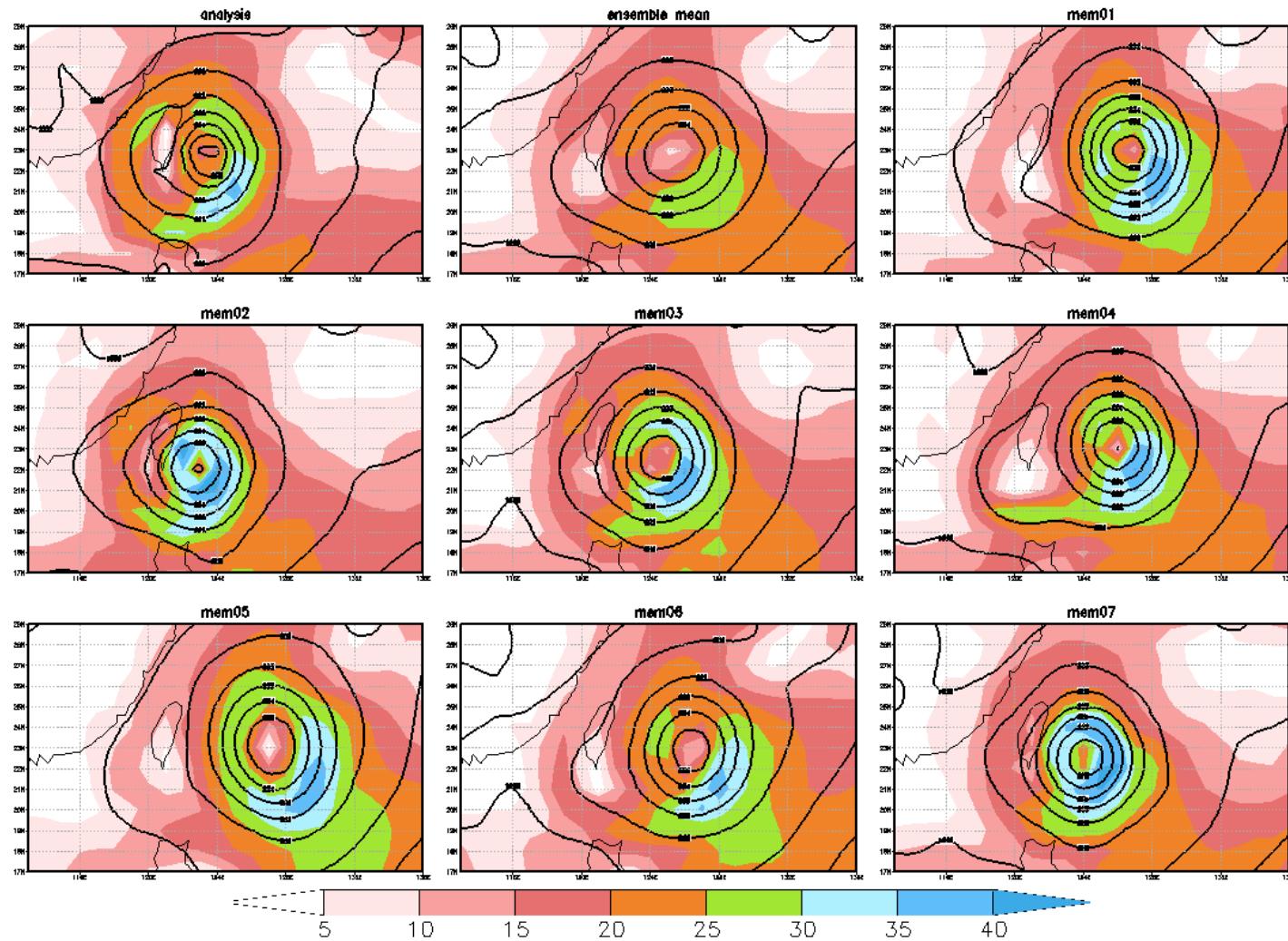
# Typhoon Saola 0z1aug2012

72h fcst ic:0z29jul2012 all



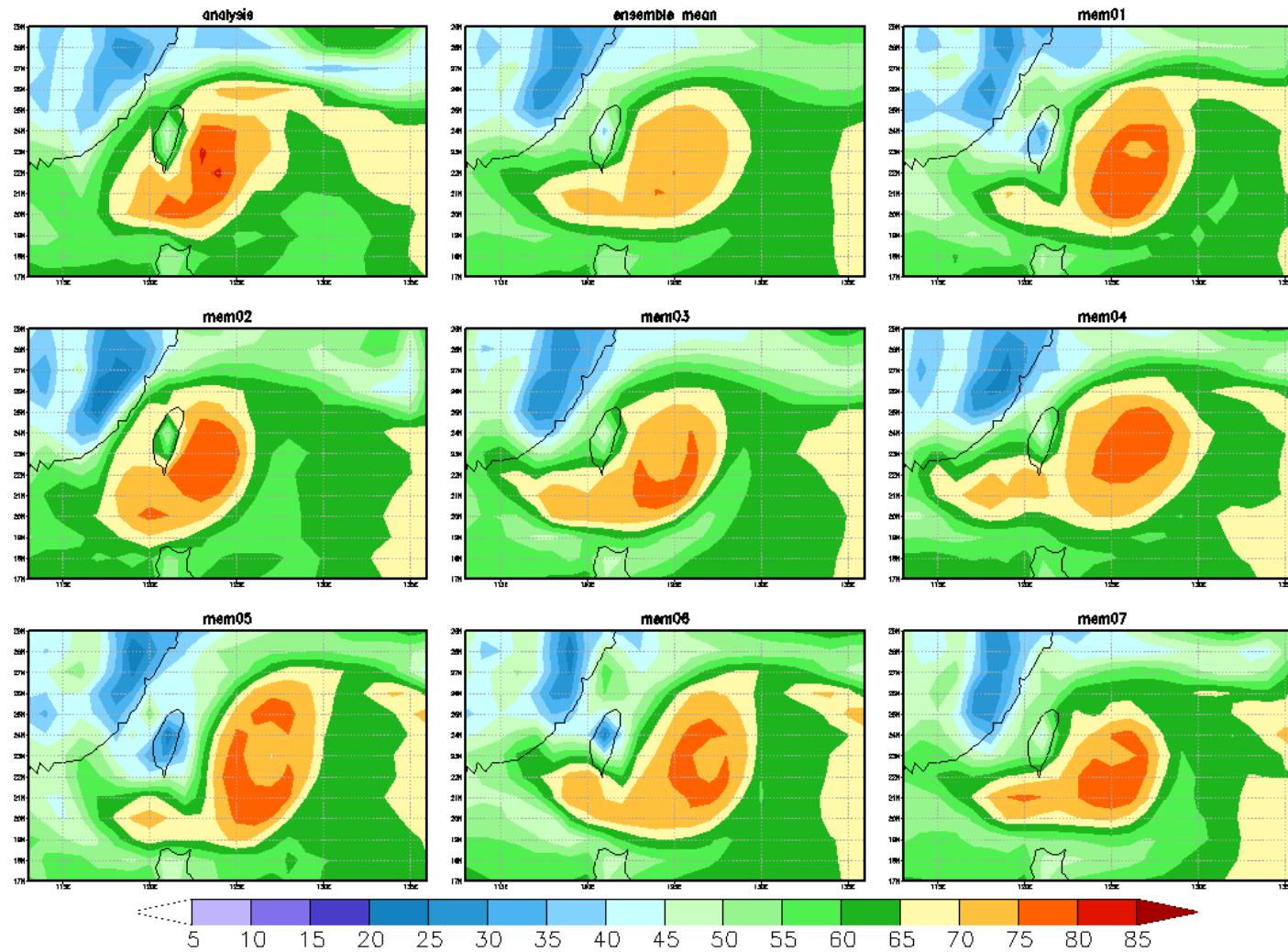
# Typhoon Saola 0z1aug2012

72h fcst ic:0z29jul2012 phil\_sttp



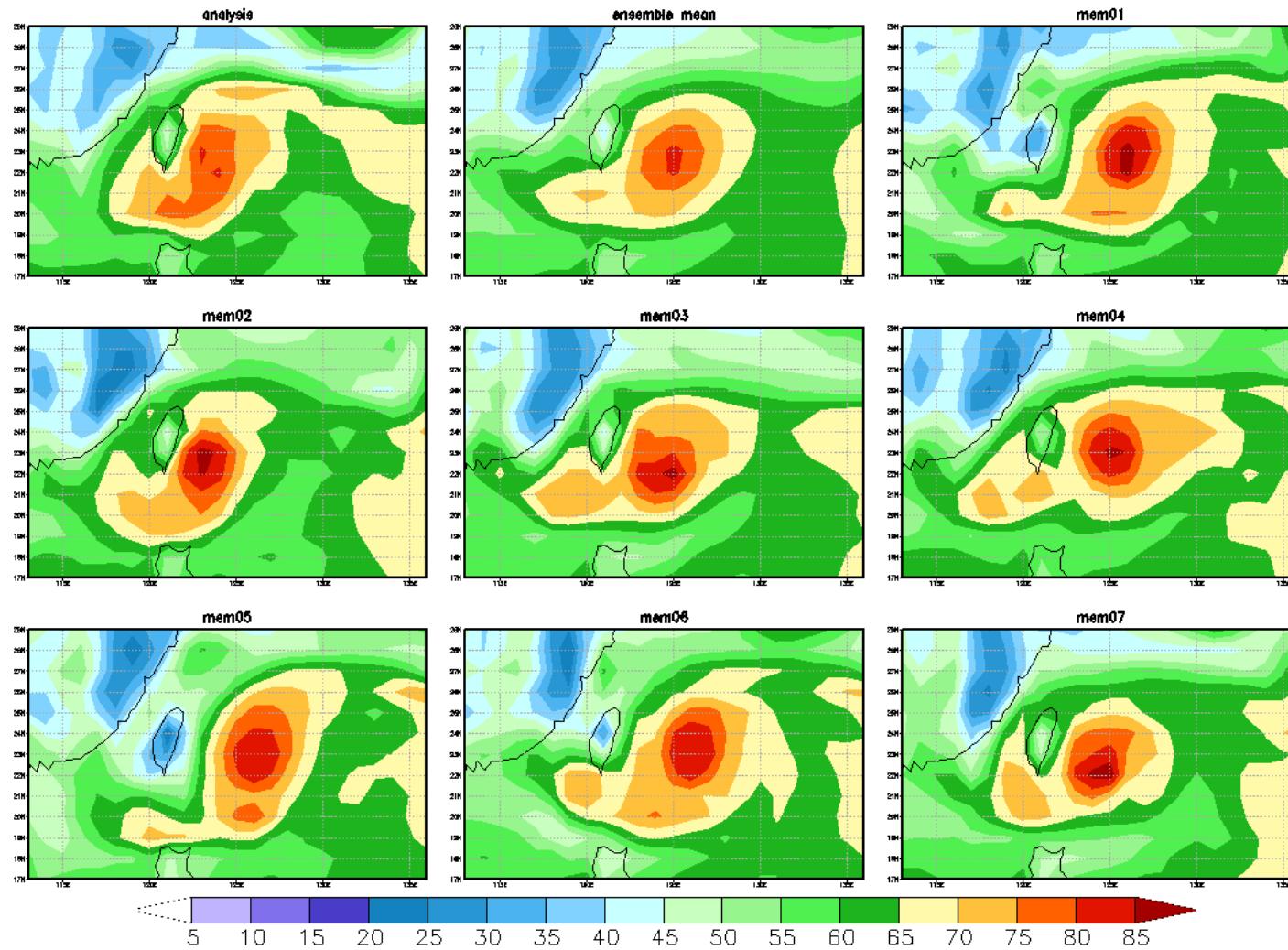
# Typhoon Saola 0z1aug2012

72h fcst ic:0z29jul2012 ctl



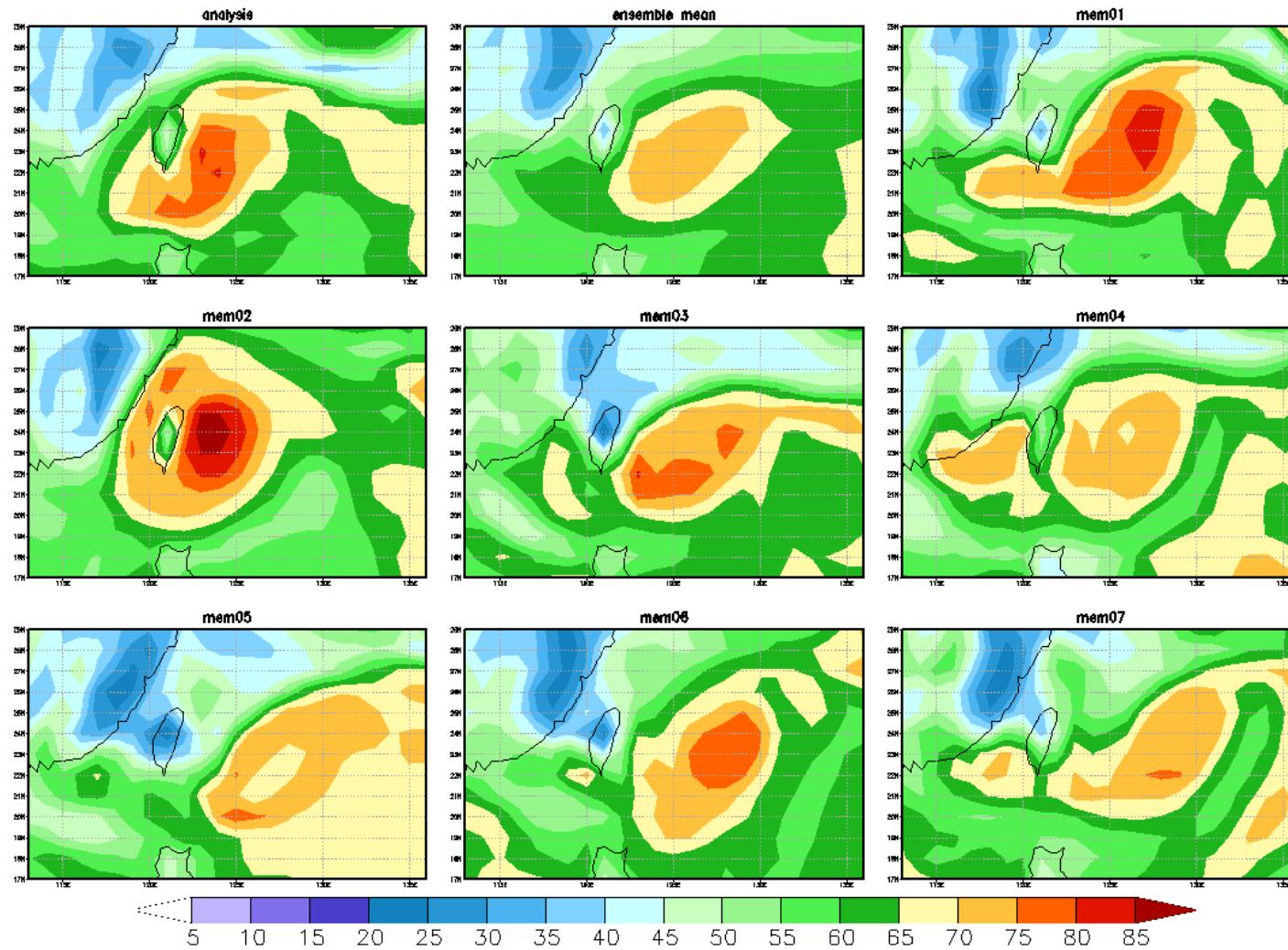
# Typhoon Saola 0z1aug2012

72h fcst ic:0z29jul2012 vc



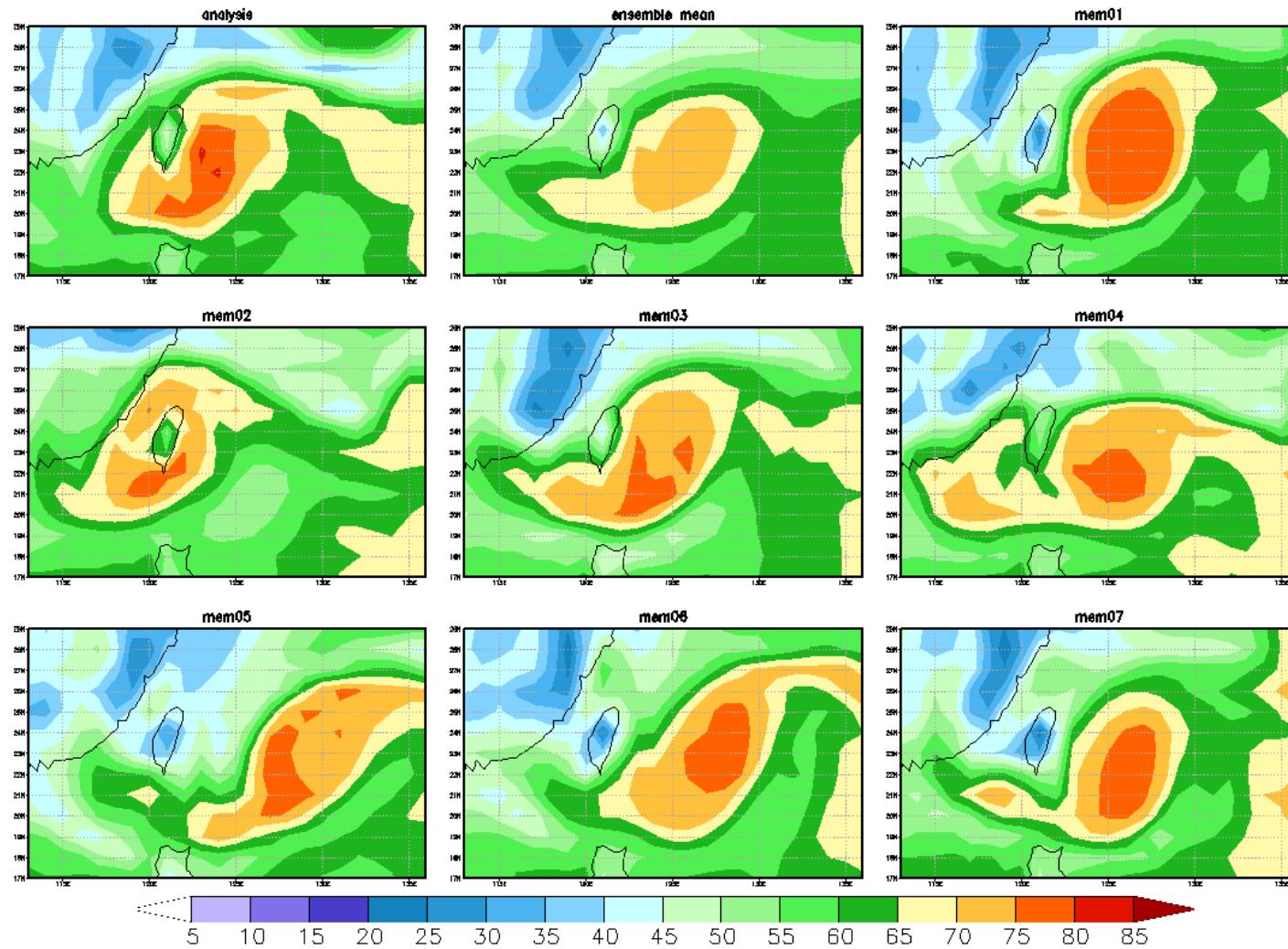
# Typhoon Saola 0z1aug2012

72h fcst ic:0z29jul2012 shum



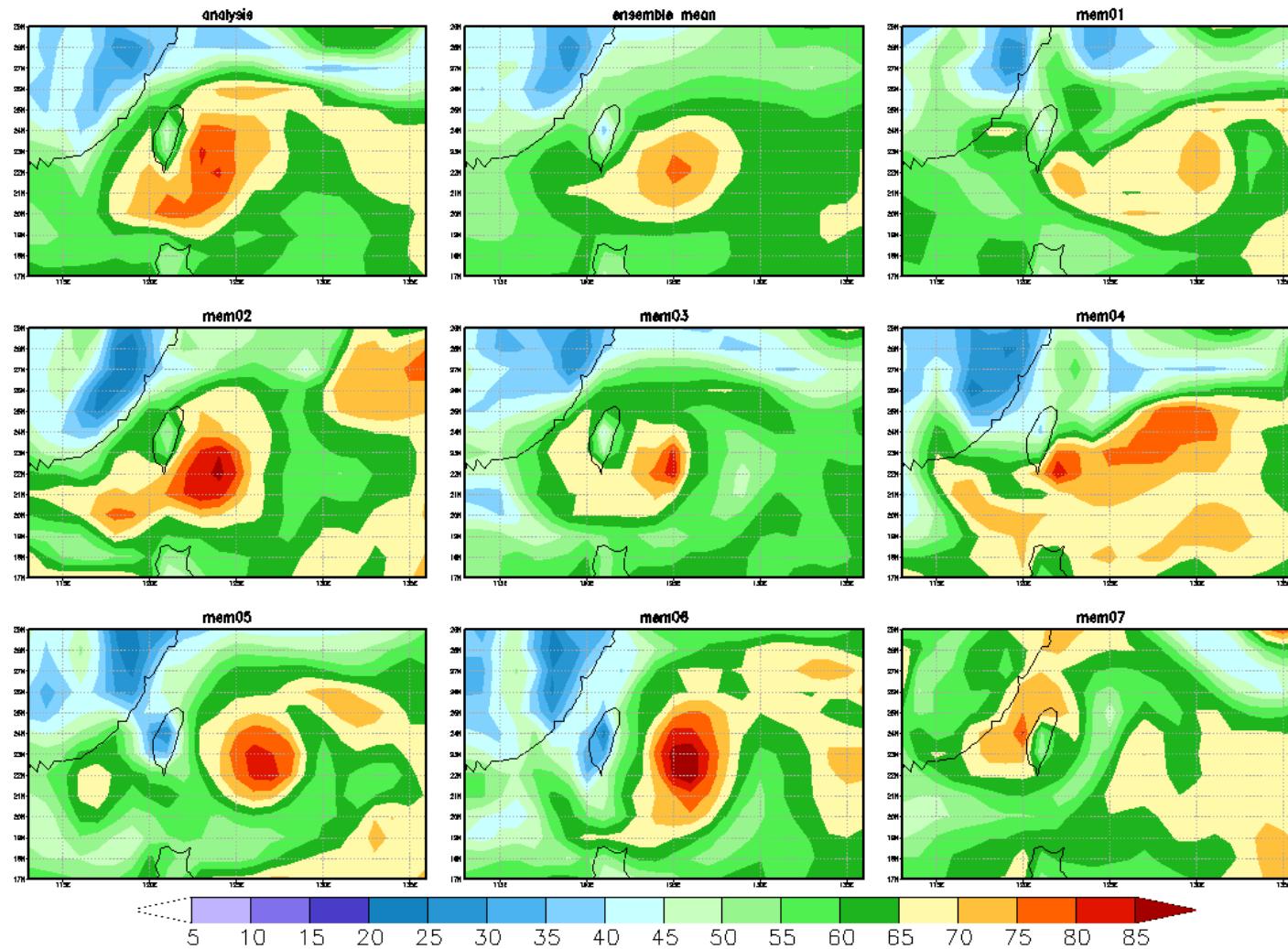
# Typhoon Saola 0z1aug2012

72h fcst ic:0z29jul2012 sppt



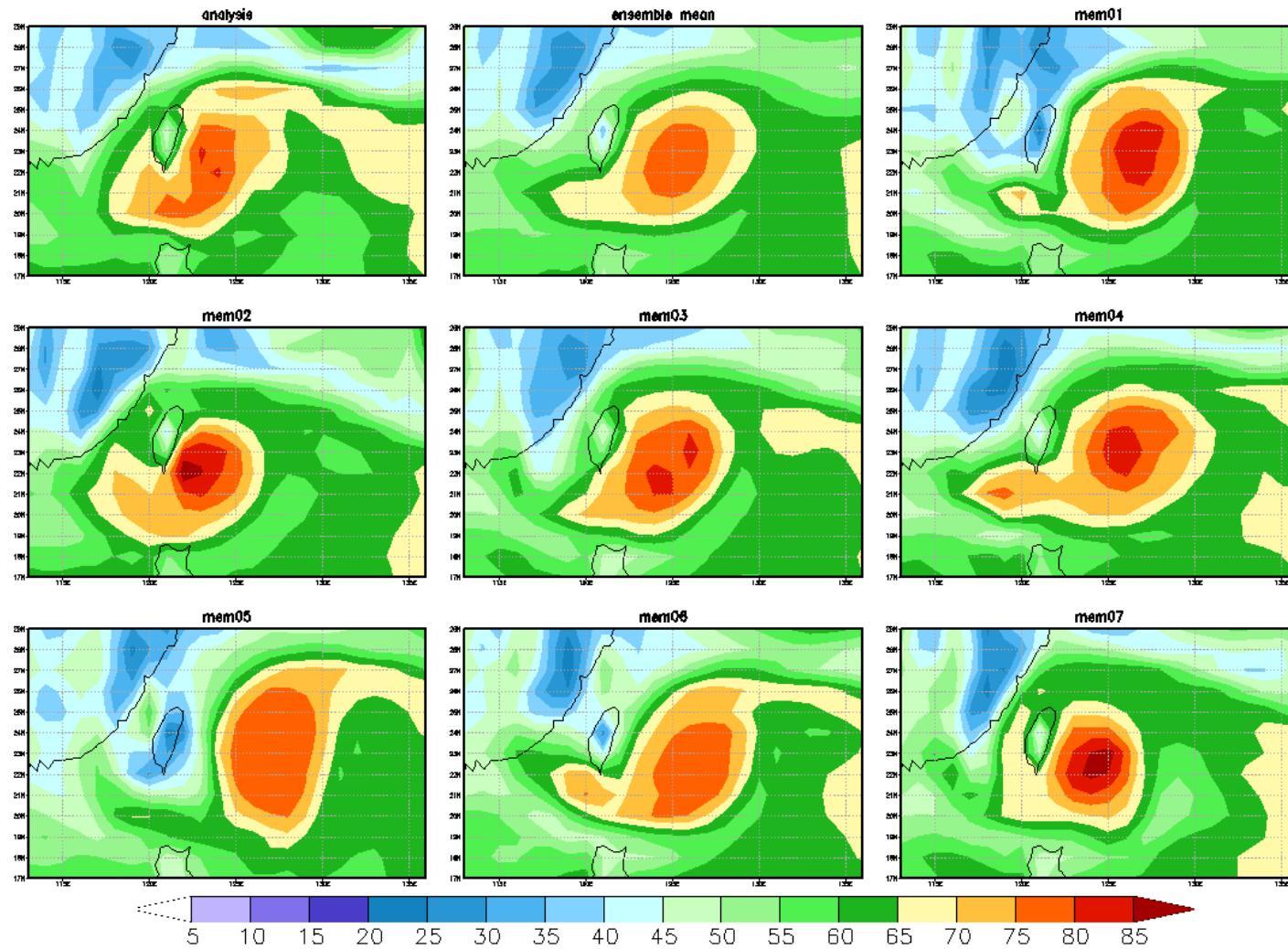
# Typhoon Saola 0z1aug2012

72h fcst ic:0z29jul2012 all

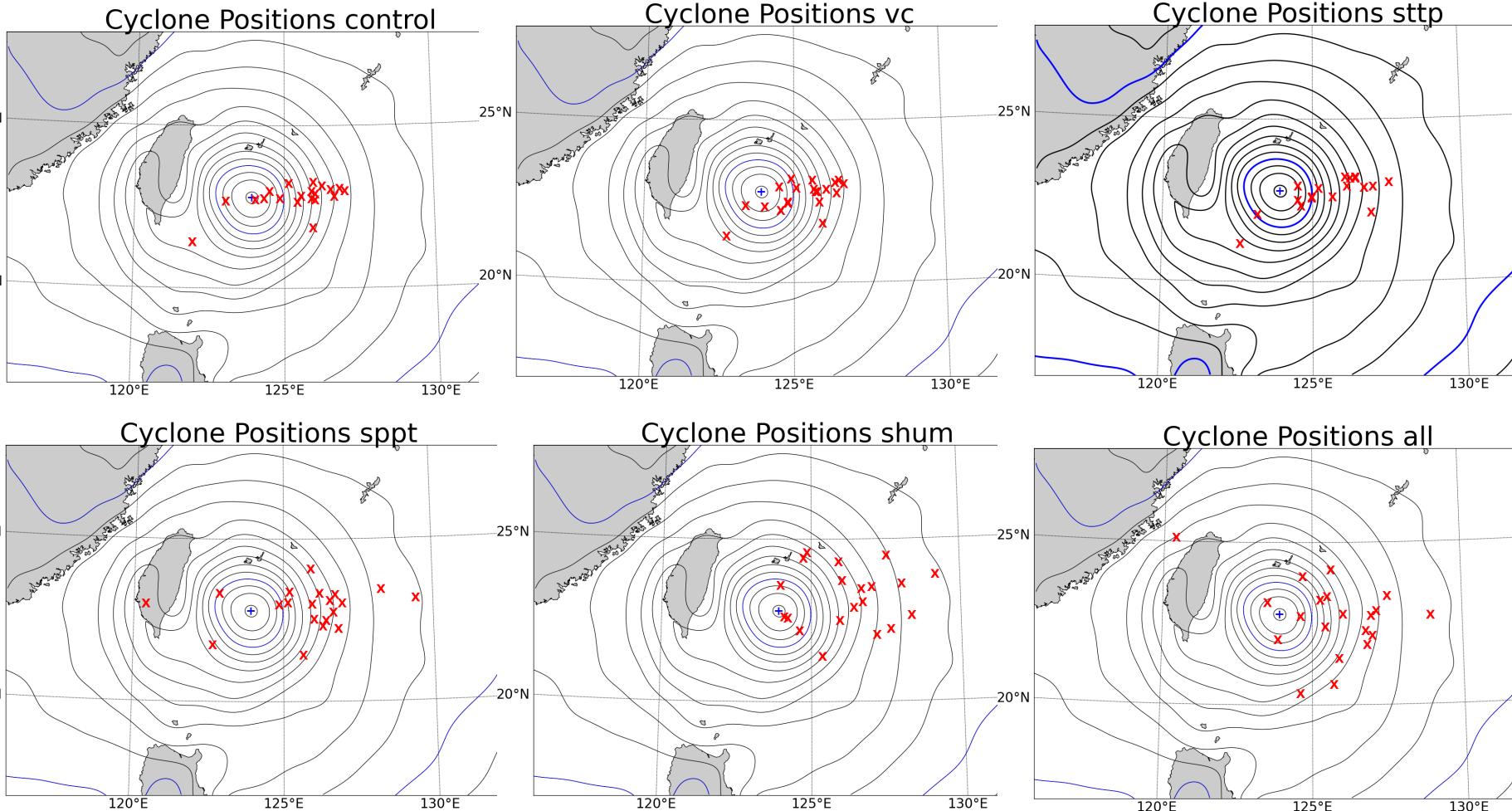


# Typhoon Saola 0z1aug2012

72h fcst ic:0z29jul2012 phil\_sttp



# Effect on 3-d forecast TC position spread



# Summary

- NCEP's STTP scheme mostly affects the extra-tropics in the winter hemisphere where tendencies are largest.
- VC also is most active in extra-tropics, but more equally in winter and summer hemisphere.
  - Slight increase in mid-lat RMS error, strengthens tropical cyclones.
- SPPT and SHUM schemes have more of an impact in the tropics (including TCs) and the summer hemisphere.
  - Complement each other, since SPPT modules amplitude of existing convection while SHUM changes the location of convective precip.
- SHUM creates a warm (dry) bias in lower (upper) tropical troposphere.
  - Slightly increases (decreases) global mean precip (precipitable water).
- TC spread (track and intensity) increases dramatically with combination of VC/SPPT/SHUM. STTP has little impact on TC spread.

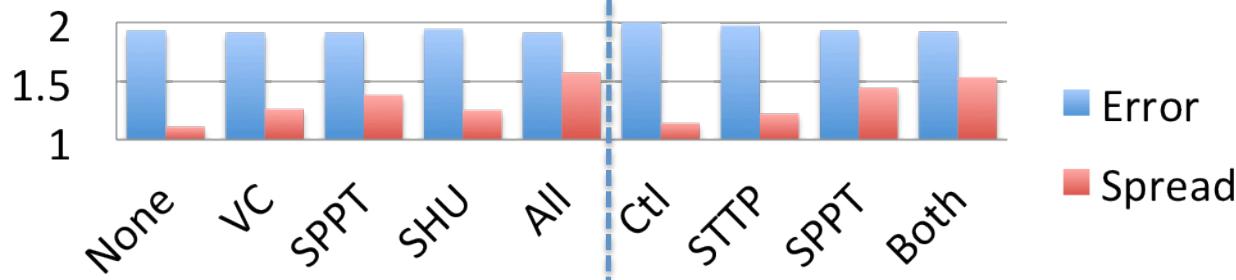
# Next Steps

- Test in ensemble 3DVar DA cycle.
  - Can we decrease additive inflation?
  - Do background-error covariances improve?
- Investigate sources of bias in SHUM scheme.
- More extensive TC verifications.
- Port VC and SHUM to operational GFS codebase? (SPPT already done).

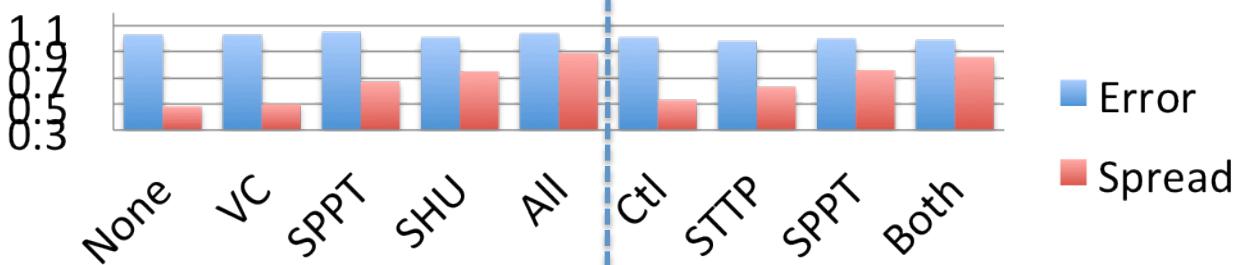
# Extra slides

# 850 mb Temperature forecast statistics

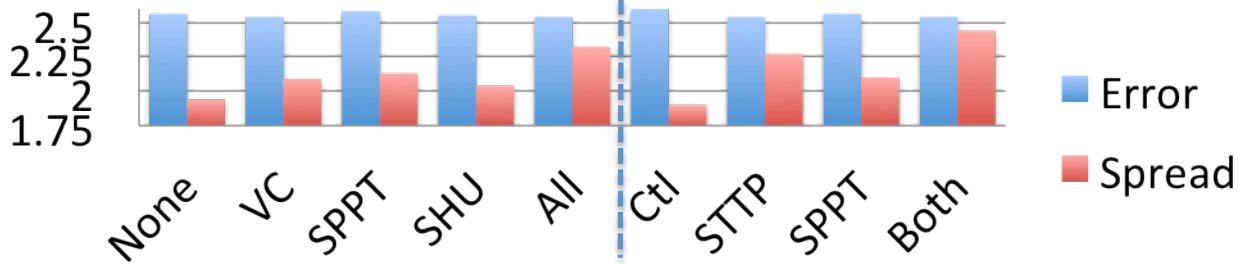
**20N-90N**



**20S-20N**

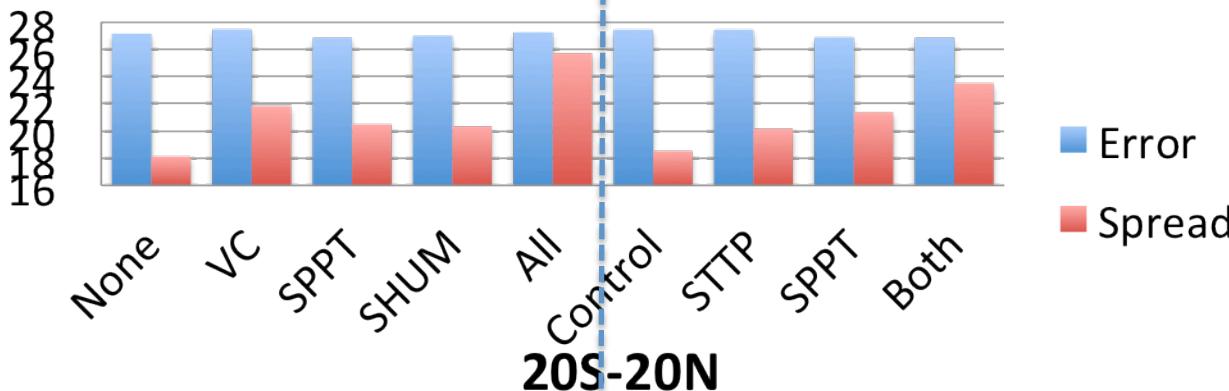


**90S-20S**

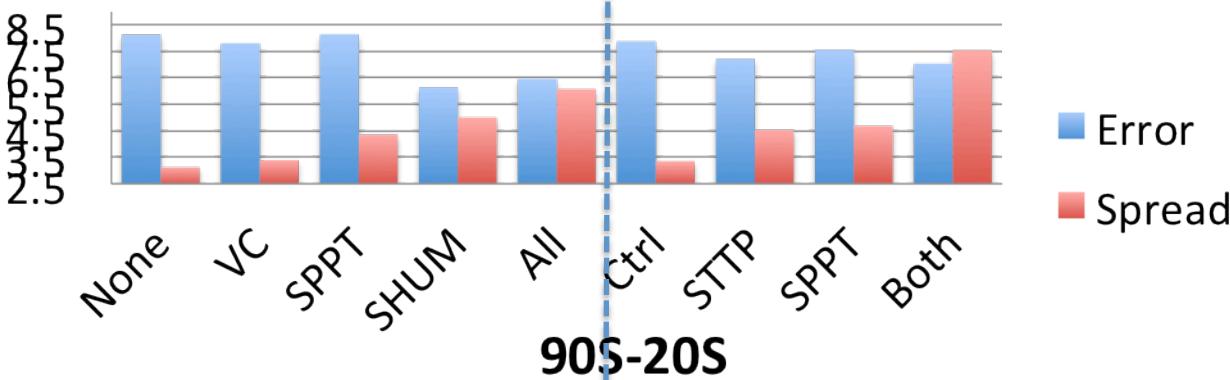


# 500 mb Height forecast statistics

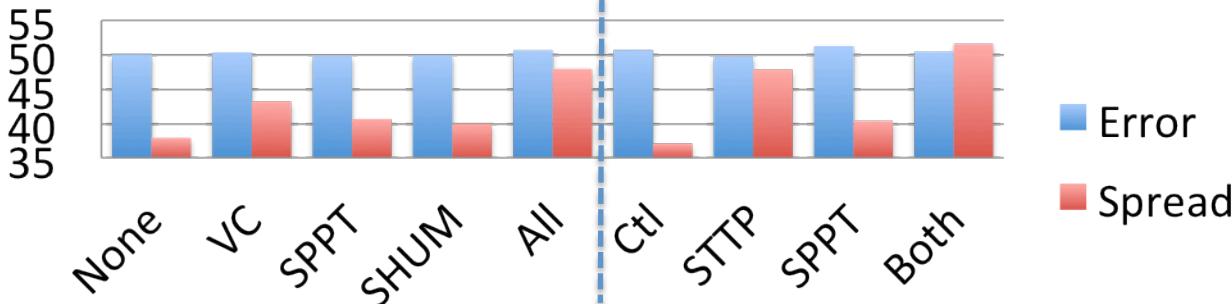
**20N-90N**



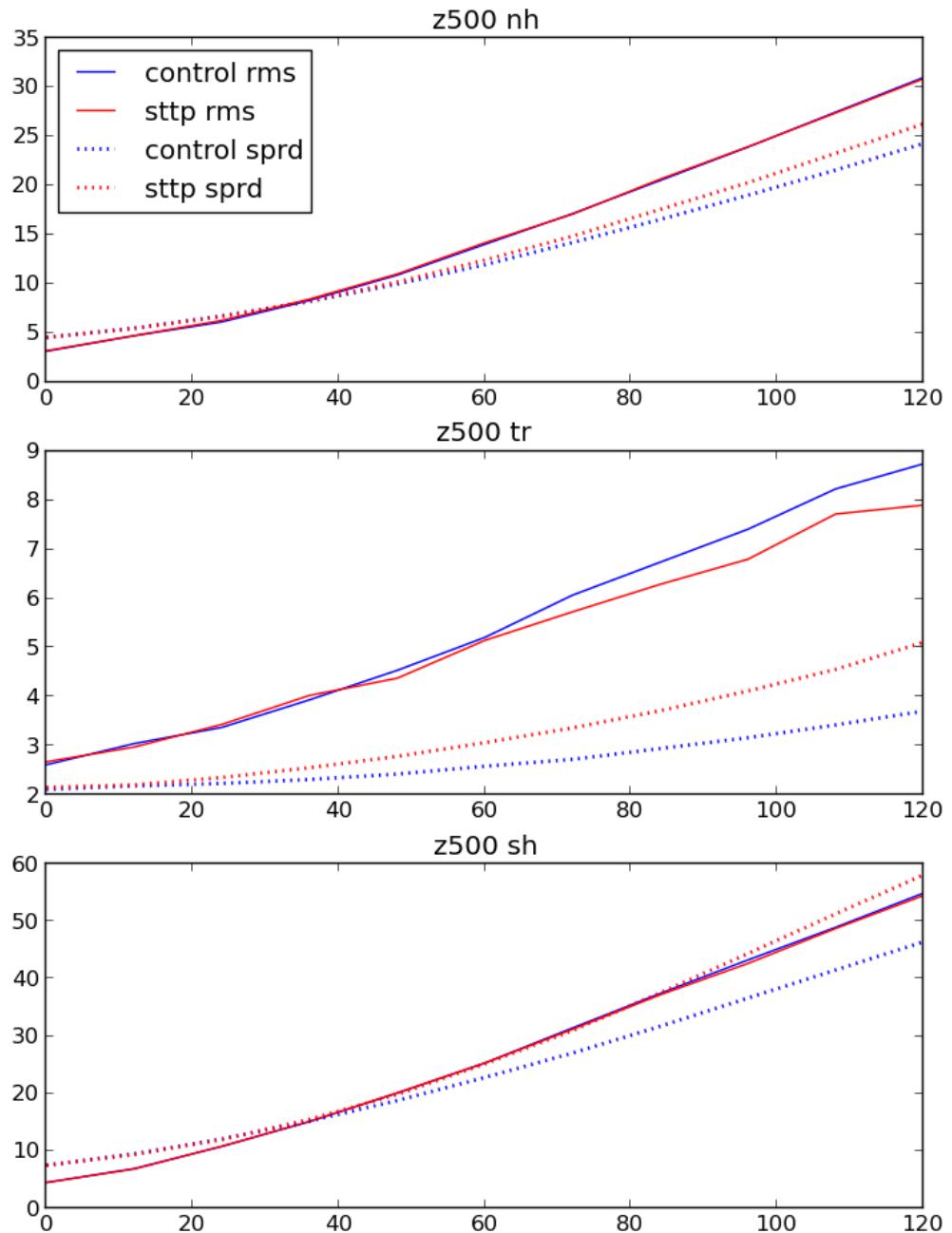
**20S-20N**



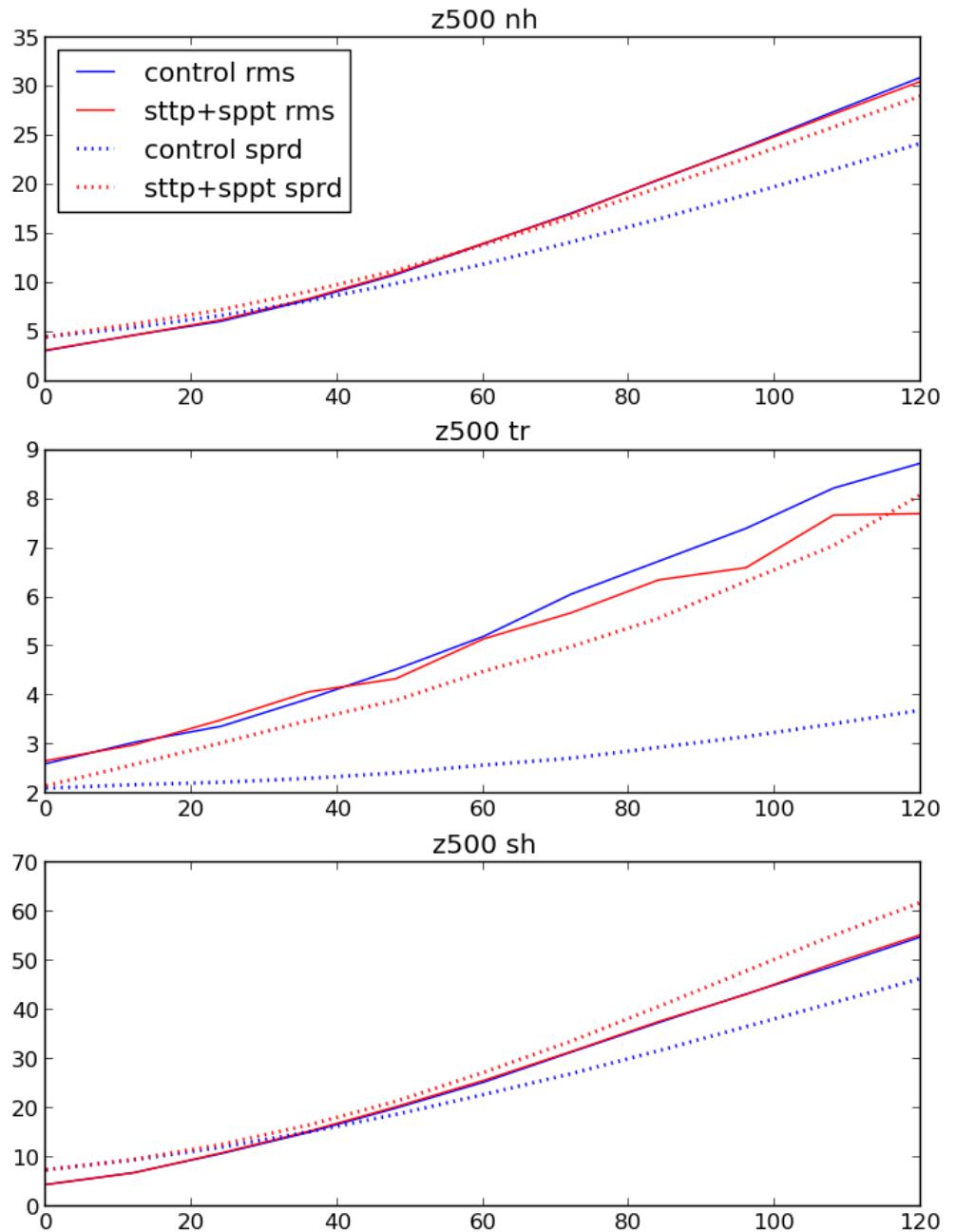
**90S-20S**



# Z500 spread/ error growth (control vs STTP)

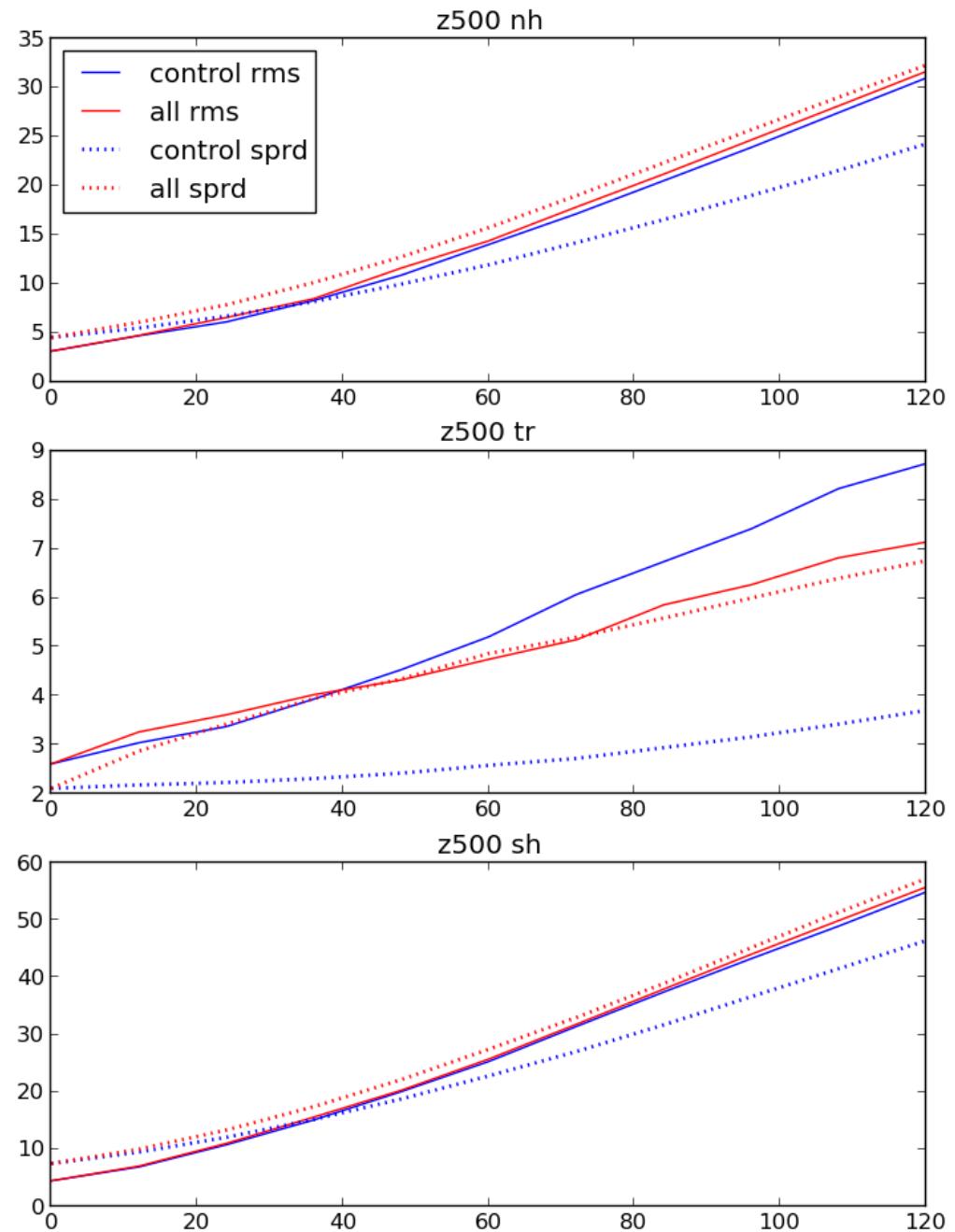


# Z500 spread/ error growth (control vs STTP+SPPT)



# Z500 spread/ error growth

## (control vs SPPT+SHUM +VC)



# z500 spread/ error growth

(all expts)

