



# Updates for AQM V5.1 for O<sub>3</sub> and PM2.5 48 hour predictions

Verification Stats:

<http://www.emc.ncep.noaa.gov/mmb/aq/fvs/web/html/regular.html>

Spatial Maps:

<http://www.emc.ncep.noaa.gov/mmb/aq/cmaqparabc/web/html/index.html>

04/19/2018



# AQM Version 5.1 Upgrade (FY18Q4) Status as of 4/12/18



Schedule



## Project Information & Highlights

**Leads:** Jeff McQueen/Jianping Huang (EMC), Steven Earle(NCO)

**Scope:** Upgrade area source oil and gas emissions; Add ozone bias correction and update unified PM bias correction; Transition to vlab; **transition latest unified emission preprocessor.**

**Expected benefits:** AQM is improved and unified through improved emissions and post-processing.

**Dependencies:** Transition code, emissions from ARL and ESRL/PSD; BlueSky smoke outputs from Hysplit.

Milestones & Deliverables	Date	Status
ARL Code Delivery and evaluations presented	10/15/17	Completed
Freeze system code / (EE meeting Jan 23, 2018)	2/16/18	Completed
Complete full retrospective/real time runs/evaluation/CCB	4/19/18	On Track
Conduct OD Brief and deliver code to NCO	4/24/18	On Track
Issue Technical Information Notice	TBD	On track
Complete IT testing	TBD	TBD
Operational Implementation	9/23/18	TBD



## Issues/Risks

**Issue:** Increased run time for premaq/cmaq extension to 72 h may exceed delivery time deadline; codes not delivered by deadline

**Mitigation:** Descoped, ARL to run a parallel this summer.

**Issue:** Updates to NEI mobile emissions delivered too late and evaluations were incomplete; **Mitigation:** Descoped, ARL to run a parallel this summer for AQ forecasters.



## Resources

**Staff:** 0.7 Fed (J. McQueen) + 2.2 contract (1.0 J. Huang + 0.9 H-C Huang + 0.3 P. Shafran), incl. dev

**Funding Source:** STI/NAQFC

**Compute: Dev:** 120 nodes; **Para:** 40 nodes ;

**Ops:** CONUS: 24 nodes/cycle for 35 mins; AK: 4 nodes/cycle for 25 mins ; HI: 1 node/cycle for 7 mins

**Archive: Ops:** 450 gb/day (+1 gb)



Management Attention Required



Potential Management Attention Needed



On Target



# Team



- Jianping Huang, EMC : code design/transition
- Ho-Chun Huang, EMC: wild fire smoke emission development
- Jeff McQueen, EMC: system evaluation, graphics web page support
  
- Pius Lee, ARL: team leader, oil/gas emissions development
- Youhua Tang & Daniel Tang, ARL: Emissions development
  
- Jim Wilczak, Irina, Djalalova, Dave Allerud, ESRL/PSD: bias correction development
  
- Li Pan, ARL/EMC: research system development and testing
- Sarah Lu, SUNY/Albany: Global model LBCs
- Perry Shafran, EMC: O3/PM grid2obs verification
- Boi Voung, EMC: WMO Header generation for new products
  
- Ivanka Stajner, Sikchya Udaphayay, Jose Tirado-Delgado, NWS/STI: AQ program management



# Summary of Upgrades



- Updates to anthropogenic emissions (NOAA/ARL)
  - *Oil & gas sector emission update to 2017 from NEI 2011*
  - *Species ratio adjustment for Colorado winter VOC emissions*
- Updates to Kalman Filter ANalog (KFAN) Bias Correction (ESRL/PSD)
  - *Included ozone bias correction*
  - *Added processing for rare events (V8)*
  - *Increased number of sites to over 900 sites*
  - *Consistent CMAQ V5 predictions training data*
  - *Add the use of downward SW radiation for analog selection*
- *Update Alaska/Hawaii system to same CONUS V5.0.2*
  - *Moved to Cray (same as CONUS)*
  - *Small impact, was to be delivered in FY17 upgrade*



# Emission Upgrades NOAA/ARL



- **Point source** Static for outside the border:
  - Canada 2011 Environment Canada Emission Inventory (ECEI);
  - Mexico inventory (MI) 2012 version 2.2
  
- ➔ **Upgrade** based on 2016 Continuous Emission Monitoring (CEM ) & scaled by the Energy Outlook released by DoE in Jan 2018

- **Area source: Upgrade** for Oil and Gas Sector by including:
  - ➔ Activity data from 2016 production inventories
  - ➔ - Contributions from fracking
  - Latest Formaldehyde to total-VOC ratios

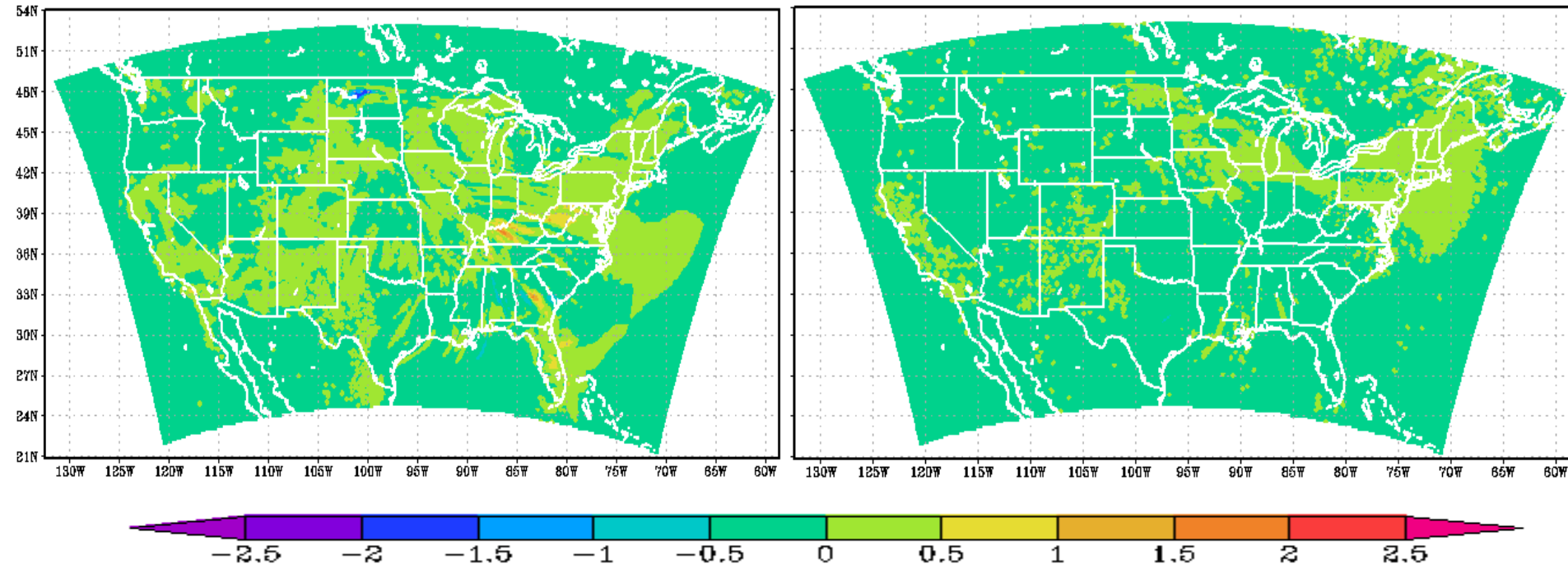
# Point Source Upgrade

- Annual upgrade
- *Expect small impact*

## Difference plots (upgraded minus prod) for 4/4/2018

### Maximum 8 h ave O<sub>3</sub> (ppbv)

### 24 h ave PM<sub>2.5</sub> (μg m<sup>-3</sup>)



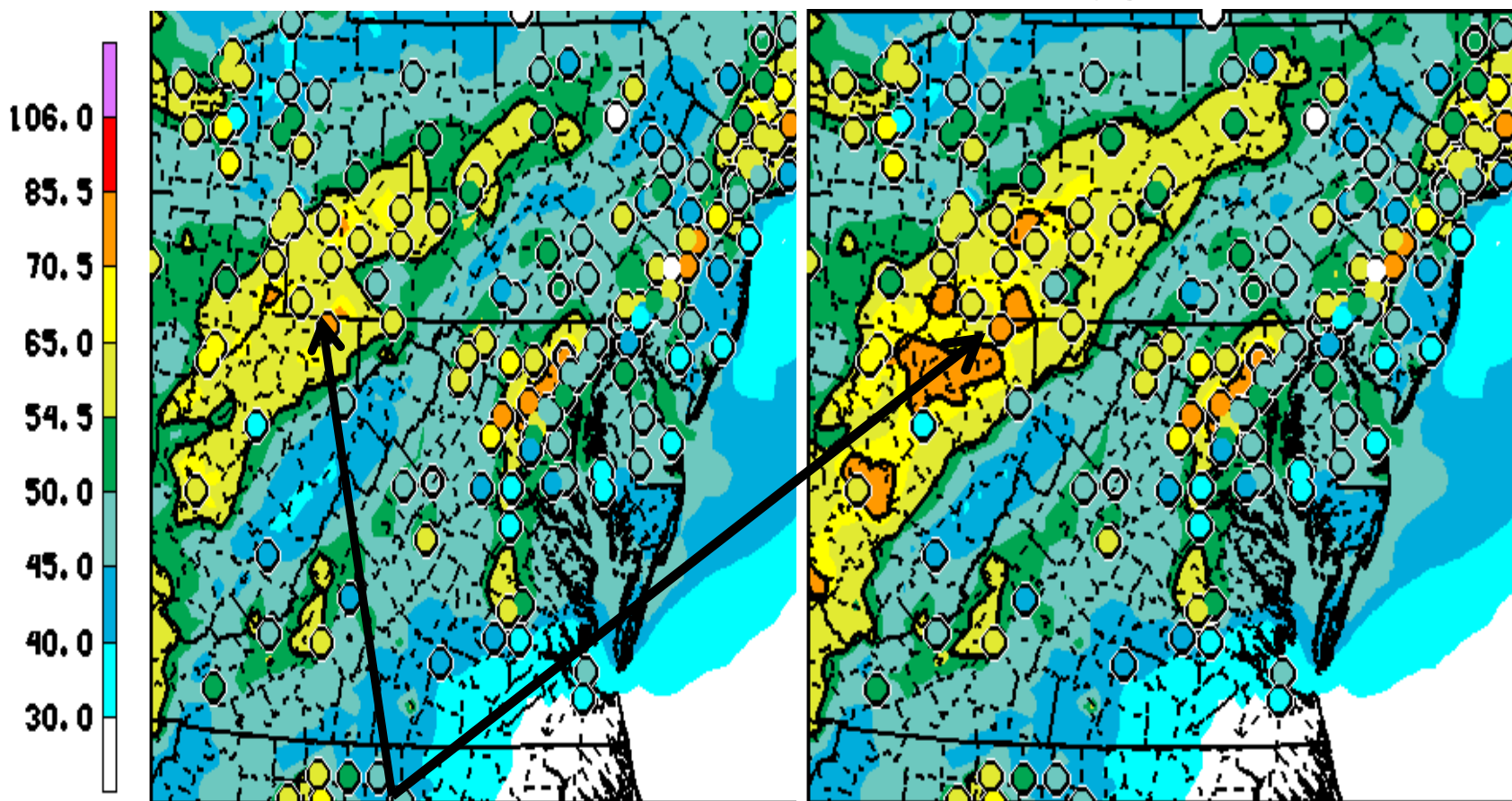
# Source: Oil and gas activity upgrade

– *expect significant local impact*

## O<sub>3</sub> (ppb) distribution valid at 18Z July 18 2017

Prod

➔ Upgraded

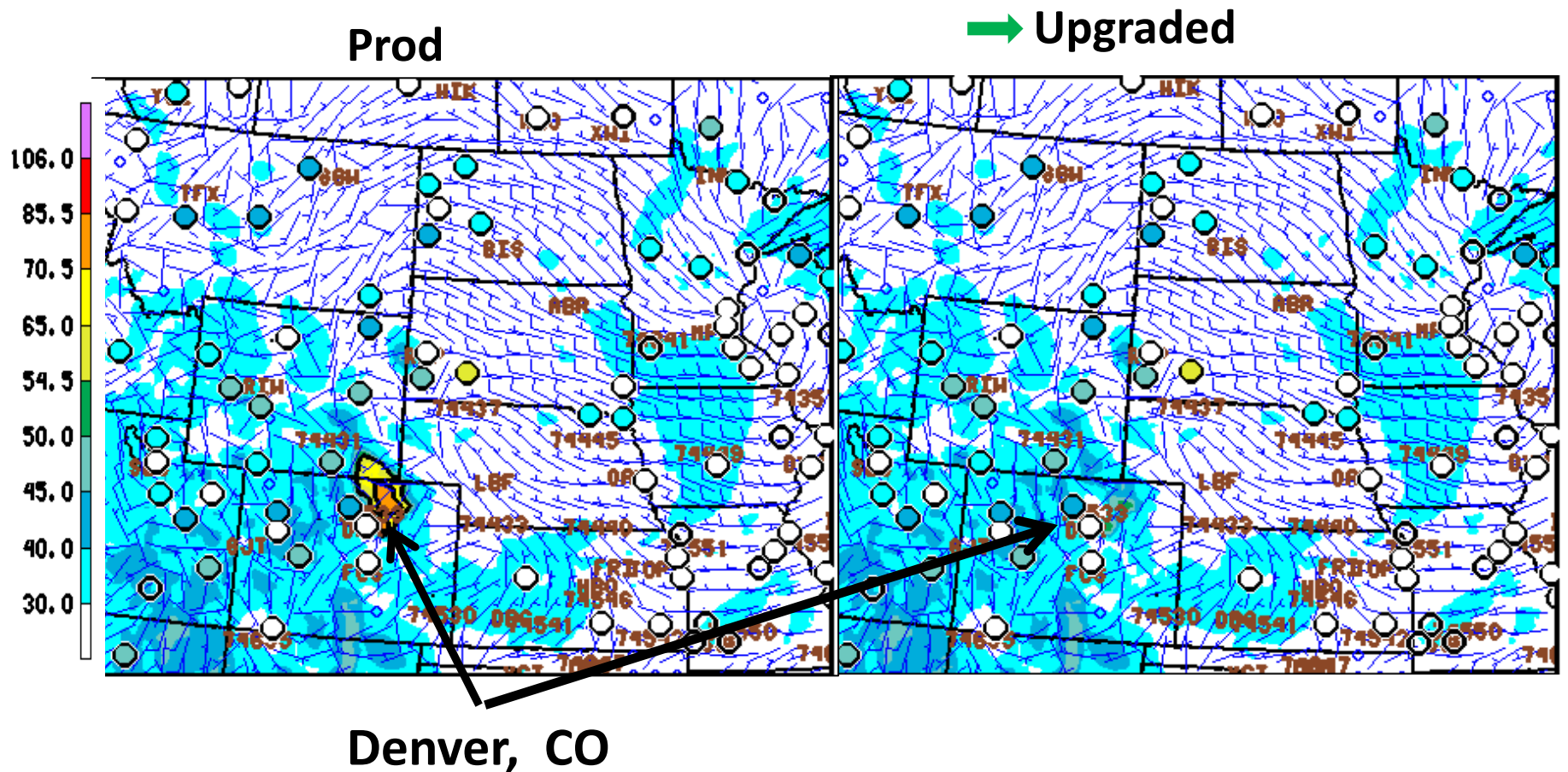


Morgan Town, WV



Source: Oil and gas speciation upgrade  
 – *expect significant local impact*

## O<sub>3</sub> (ppb) distribution valid at 13Z Feb 23 2018







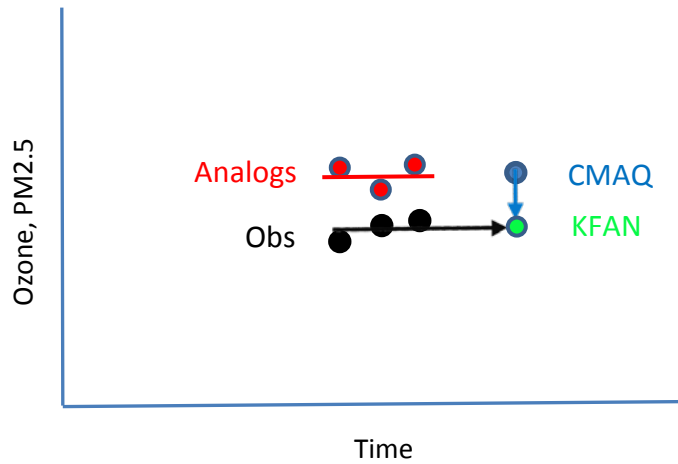
# ESRL Bias Correction

## Jim Wilczak, ESRL/PSD

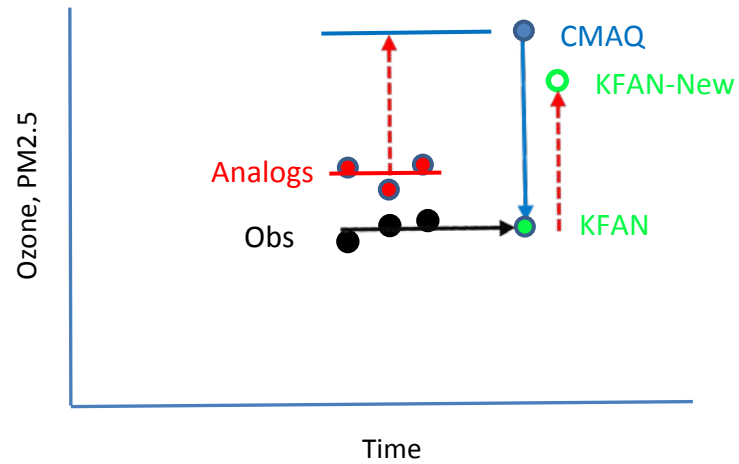
- Analog post-processing schemes require the ability to find good analogs from past forecasts in the post-processing training data. Short training data sets are less likely to have extreme (rare) events.
- Forest fires are extremely episodic, and can create extreme values of PM2.5 and other AQ variables including ozone.
- CMAQ has some skill at forecasting forest fire effects because of the inclusion of satellite-derived data assimilation of fire position, size and intensity. Therefore when an extreme high PM2.5 concentration is forecast, it is likely to be true.
- A standard analog post-processing technique will mistakenly reduce the forecast high PM if the best historical analogs it can find are from non-fire days.
- New algorithm: if algorithm is unable to find good analogs, compute the difference between the current forecast and its analogs, and add this difference to the standard KFAN forecast.



# KFAN modification for extreme event correction



Standard KFAN bias correction with good analogs



New KFAN bias correction with very poor analogs



# Bias correction configuration



	Ozone	PM2.5
Variables used to determine analogs	<ol style="list-style-type: none"><li>1) Ozone concentration</li><li>2) Wind direction, 10 meters</li><li>3) Wind speed, 10 meters</li><li>4) Temperature, 2 meters</li><li>5) Solar radiation reaching surface</li><li>6) <i>PBL height (ACM2 based Richardson number)</i></li><li>7) <i>NOx concentration</i></li><li>8) <i>NOy concentration</i></li></ol>	<ol style="list-style-type: none"><li>1) PM2.5 concentration</li><li>2) Wind direction, 10 meters</li><li>3) Wind speed, 10 meters</li><li>4) Temperature, 2 meters</li><li>5) Solar radiation reaching surface</li></ol>
Number of analogs used	10	10
Training period	Rolling 12 months	Rolling 12 months (skip July 4 and July 5)
Training model configuration	CMAQ 5.0.2	CMAQ 5.0.2



# AQM V5.1 Cray Testing

- Emissions tests
  - Retrospectives: July-August 2017
  - Near Real-time: December-Present
- KFAN Bias Correction tests for ozone and PM2.5
  - Retrospectives: July 2017-Jan. 2018
  - Near Real-Time (w/ emissions): Feb-Present

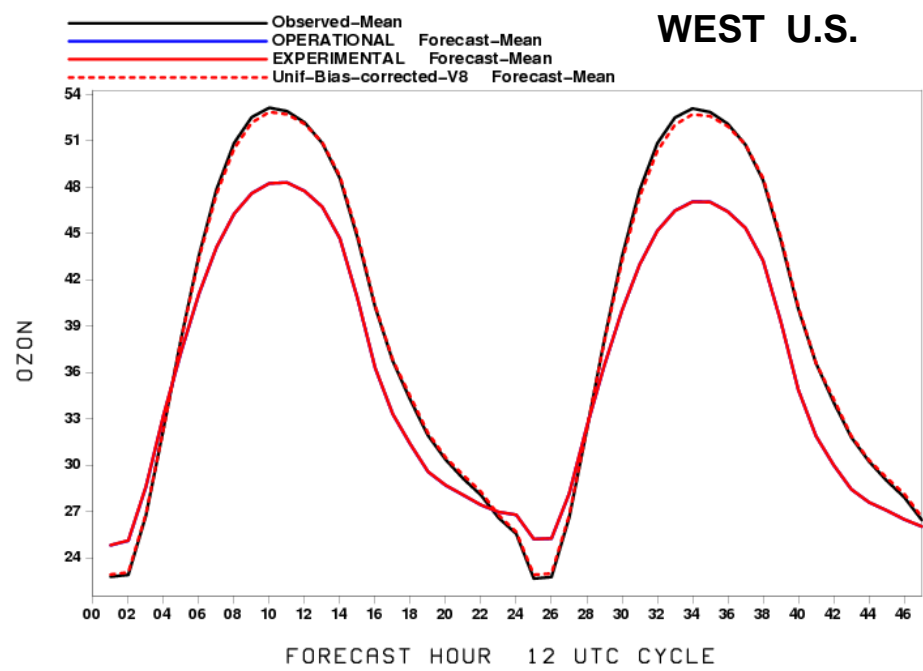


# Prod, EXP and *EXP bias corrected* O<sub>3</sub>

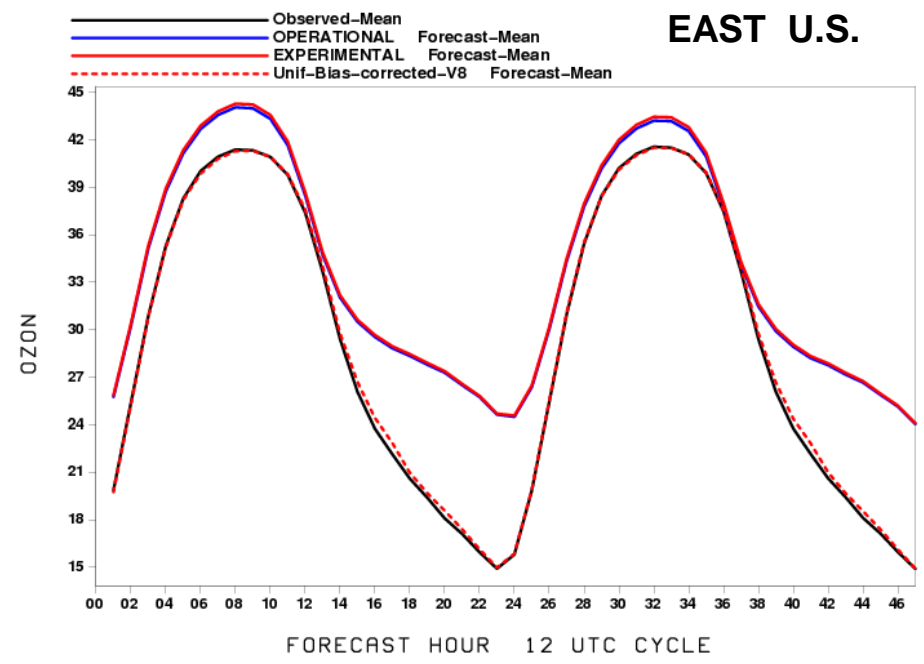
## Diurnal time-series

### July 2017

1-h Avg OZON obs (PPB) avged by fcst hrs  
20170701 to 20170731  
West-US



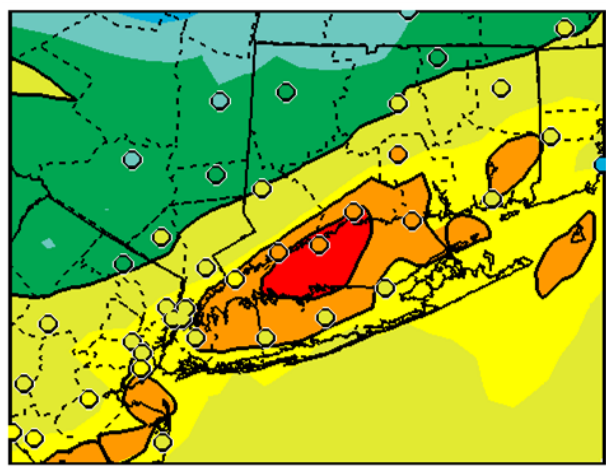
1-h Avg OZON obs (PPB) avged by fcst hrs  
20170701 to 20170731  
East-US



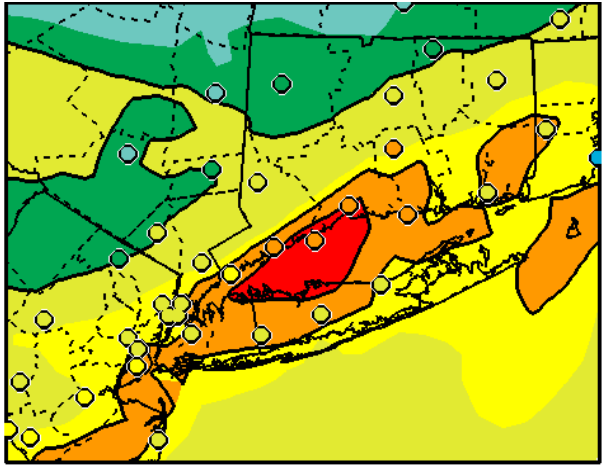
*Exp Unified Bias Correction* near perfect  
 Prod & V5.1: - typical over-prediction East  
 - under-prediction West



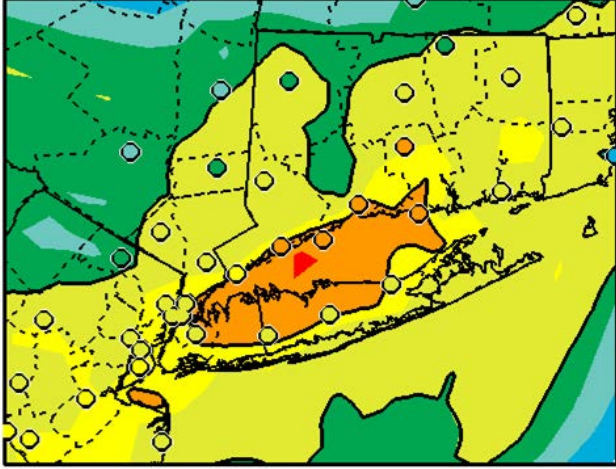
# Day 2 8 hr daily max O<sub>3</sub> Valid July 19, 2017



Production



Experimental



Exp. Bias Corrected

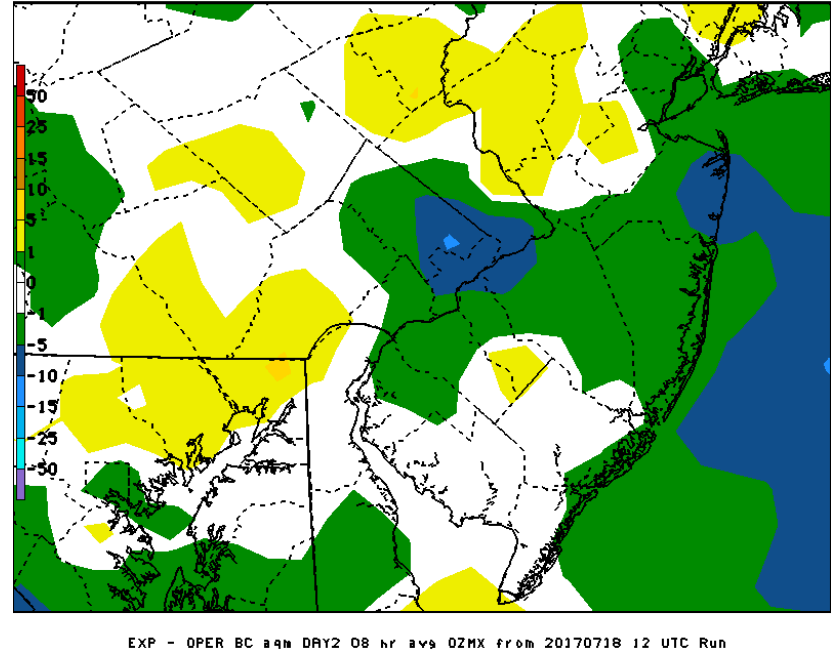
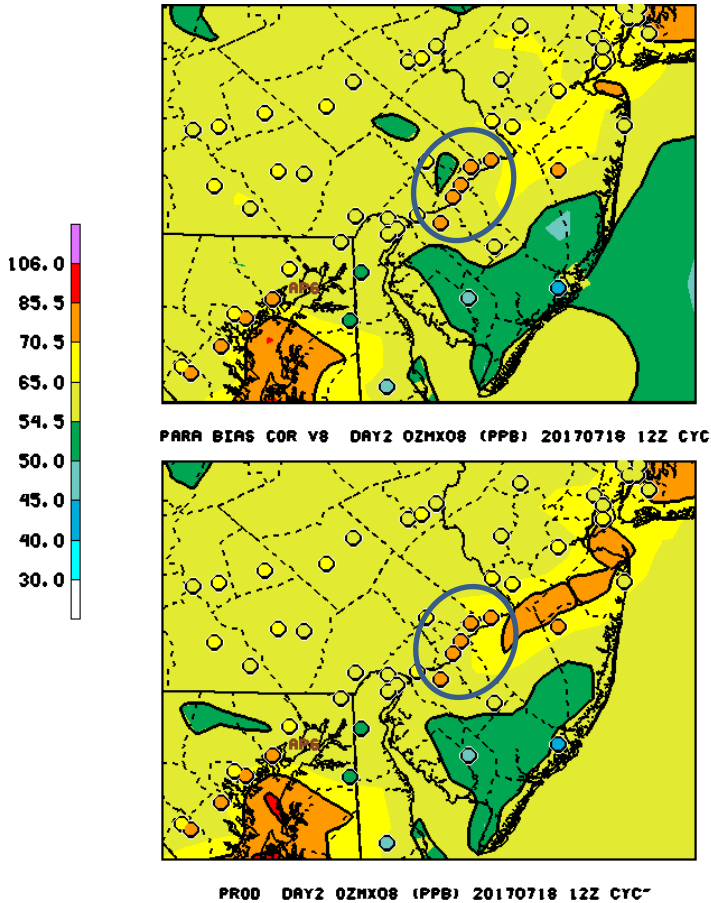
PARA5 4X-DAY DAY2 OZMX08 (PP8) 20170718 12Z CYC

Bias Correction with rare event update:  
• No longer overcorrects daily max O3 over LIS



# Day 2 8hr daily max O<sub>3</sub>

## Valid July 19, 2017



EXP BC - Production

Philadelphia experimental bias-corrected O<sub>3</sub> predictions degraded from Raw production predictions by 5-10 ppb

OBS:	77 ppb
Prod:	67
<u>Exp BC:</u>	<u>61</u>



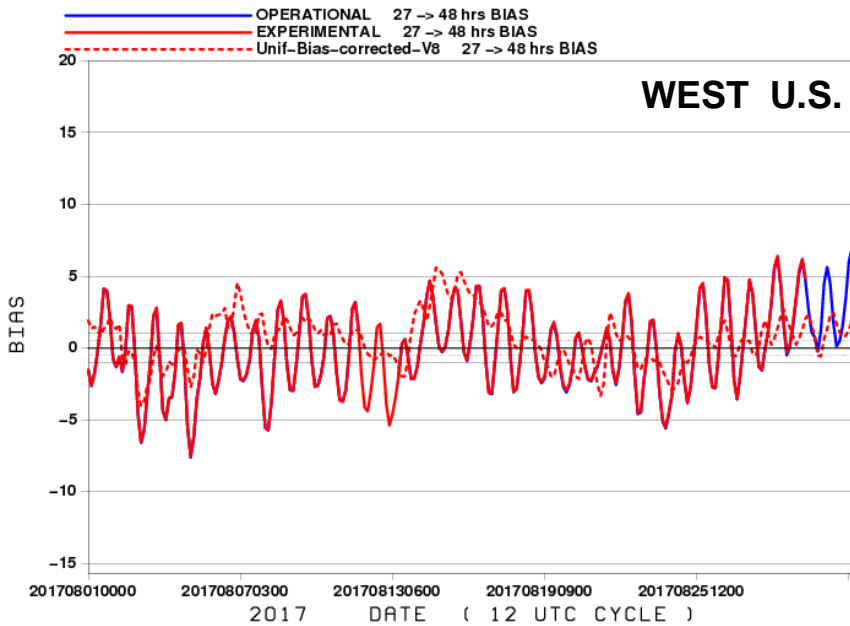
# Prod, V5.1, *Exp bias corrected* O<sub>3</sub>

## Day 2 Daily Time Series

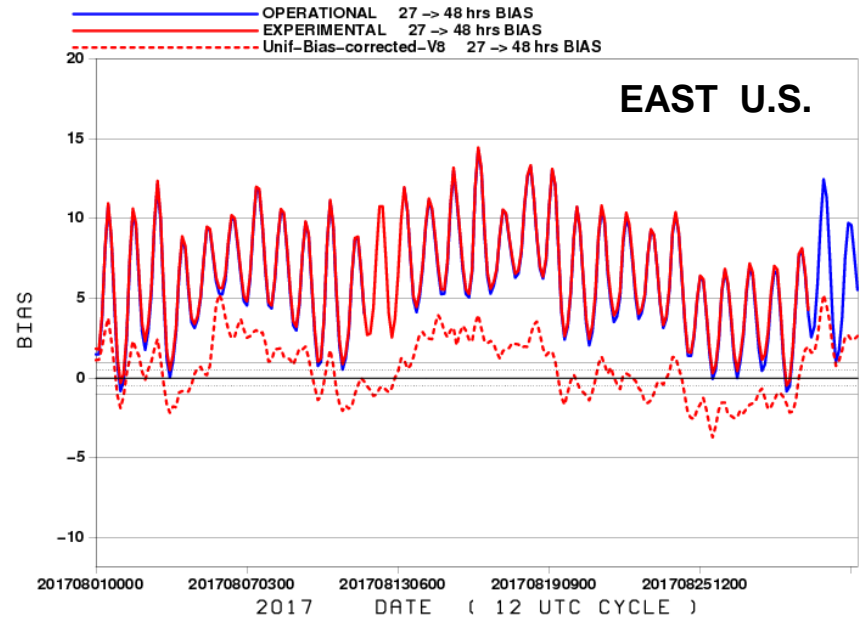
### August, 2017



DAY 2 -8 Avg OZON BIAS (PPB) 1500 -> 1200 UTC  
West-US



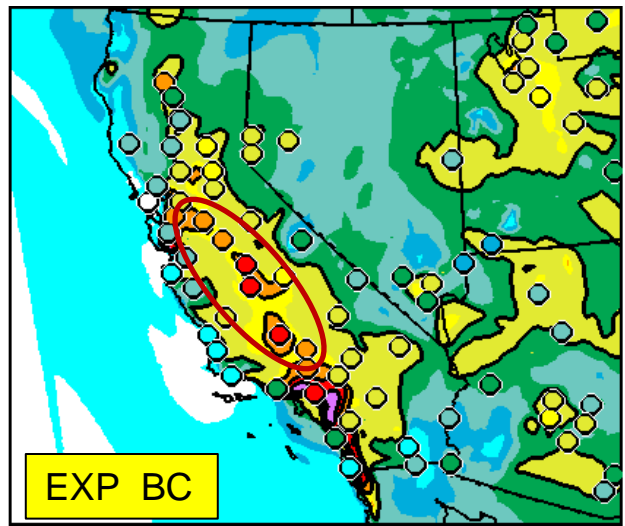
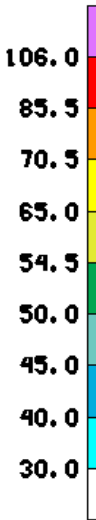
DAY 2 -8 Avg OZON BIAS (PPB) 1500 -> 1200 UTC  
East-US



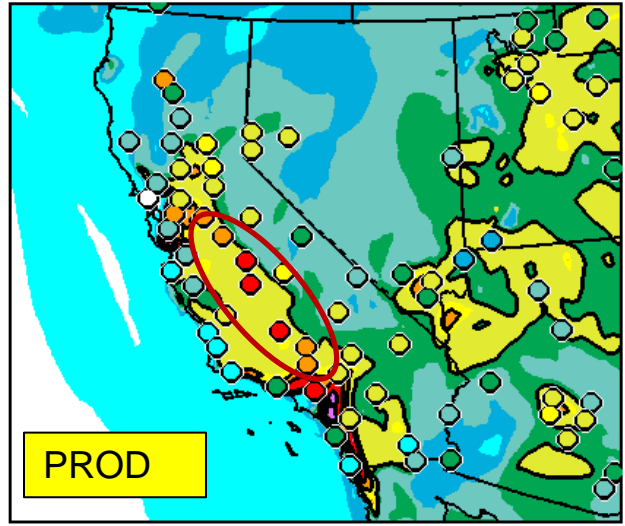
*Exp Bias Correction* reduces large overpredictions esp. over East

# Day 2 8 hr daily max O<sub>3</sub>

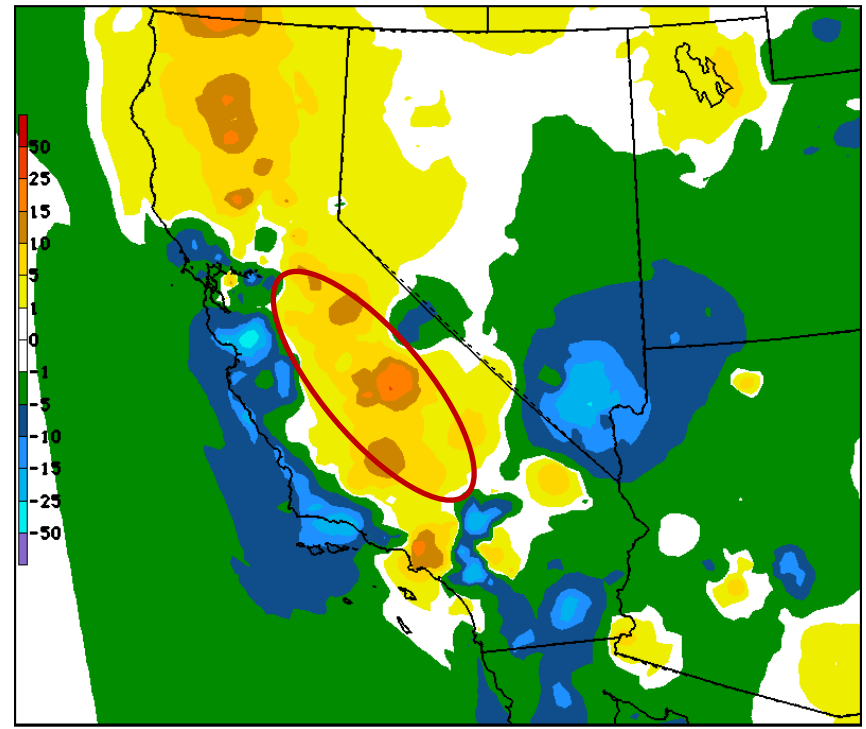
Valid Aug 28, 2017



PARA BIAS COR V8 DAY2 OZMX08 (PPB) TUE 170829/12C



PROD DAY2 OZMX08 (PPB) TUE 170829/1200V048 -



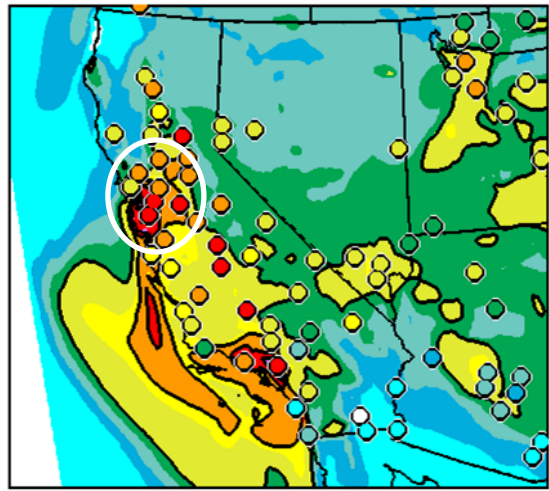
EXP - OPER BC 89M DAY2 08 hr avg OZMX from 20170827 12 UTC Run

EXP BC - Production

BC: Helps correct underprediction over California valleys from fire influenced O<sub>3</sub> production

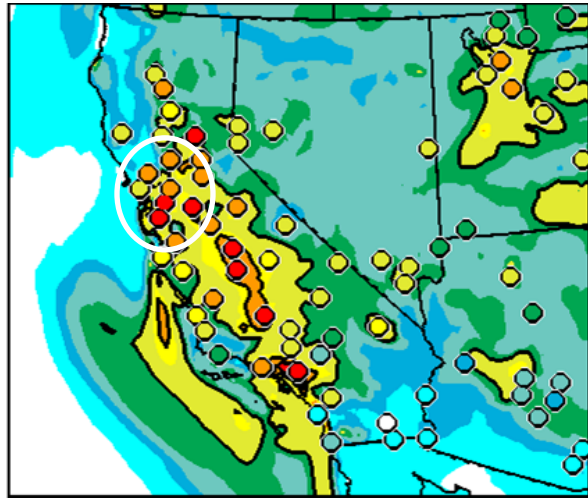


# Day 1 8hr daily max O<sub>3</sub> Valid Sept. 2, 2017



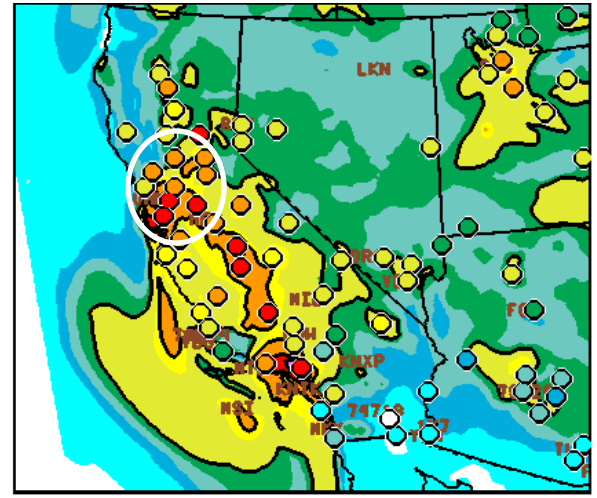
PARA5 4X-DAY DAY1 OZMX08 20170902 12Z CYC-

EXP Raw



PARA PARABC BIAS COR DAY1 OZMX08 20170902 12Z

Old EXP BC



PARA BIAS COR V8 DAY1 OZMX08 (PPB) 20170902 12Z

V8 EXP BC

- BC: helps correct under-prediction over California valleys
- New ozone BC w/ calibration for rare events captures enhanced ozone from fires East of San Francisco better than old EXP BC



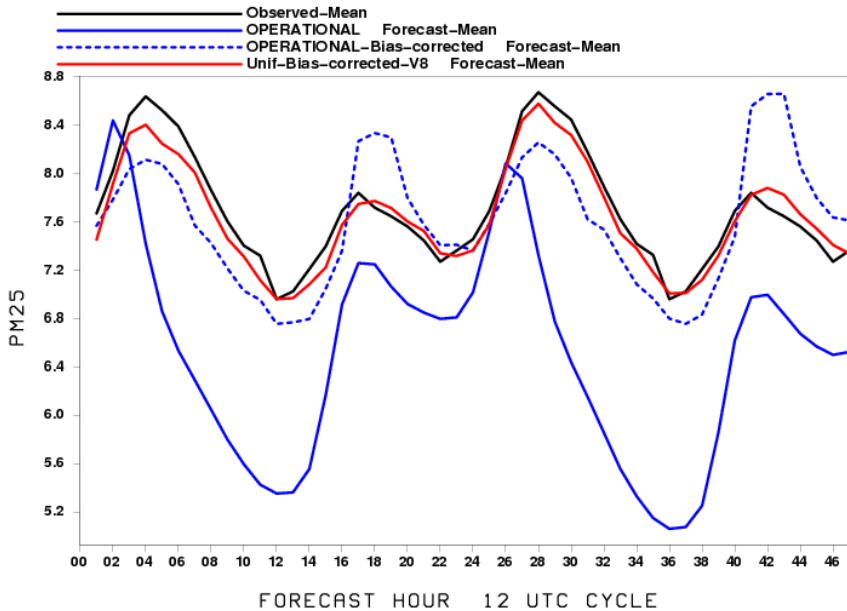
**Raw PM Production vs**  
***PM Production Bias correction* vs**  
***Experimental Bias Correction (V8)***  
**Predictions**



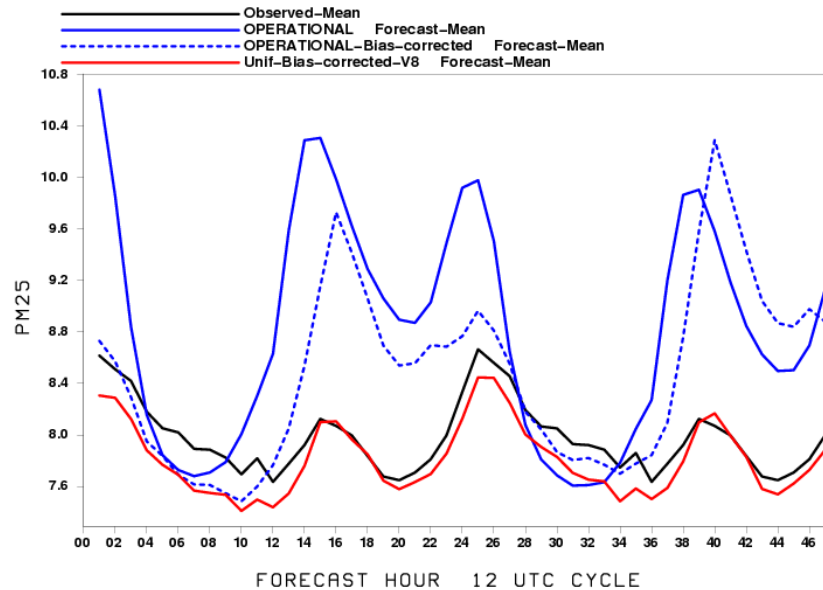
# Prod, *Prod BC*, **Exp BC** PM2.5 Diurnal Time Series July, 2017



1-h Avg PM25 obs (ug-m3) avged by fcst hrs  
20170701 to 20170731  
West-US



1-h Avg PM25 obs (ug-m3) avged by fcst hrs  
20170701 to 20170731  
East-US



- Large improvement with **Exp. Bias correction** esp. over East
- *Note: Operational bias correction still using old V4 training predictions*





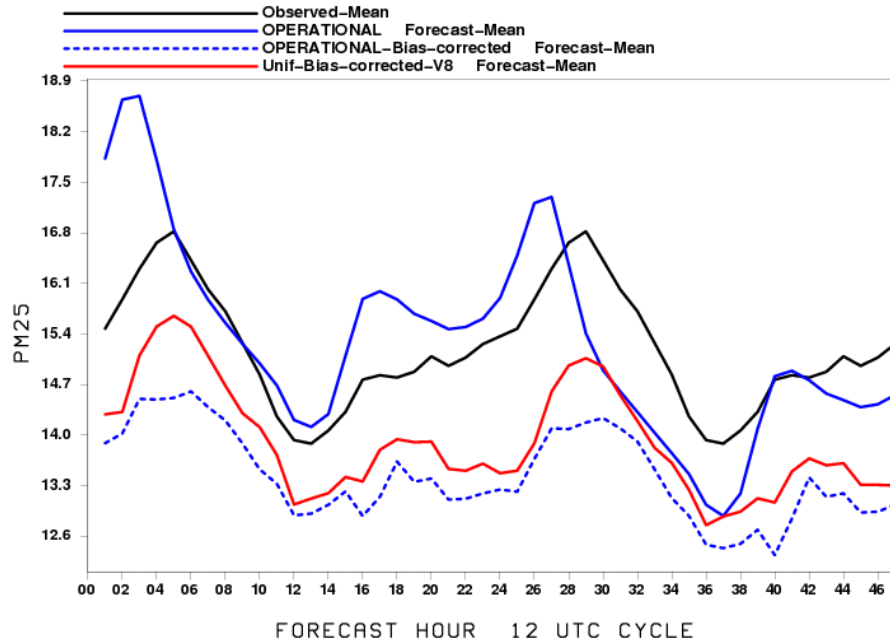
# Prod, Prod BC, Exp BC PM2.5

## Diurnal Time Series

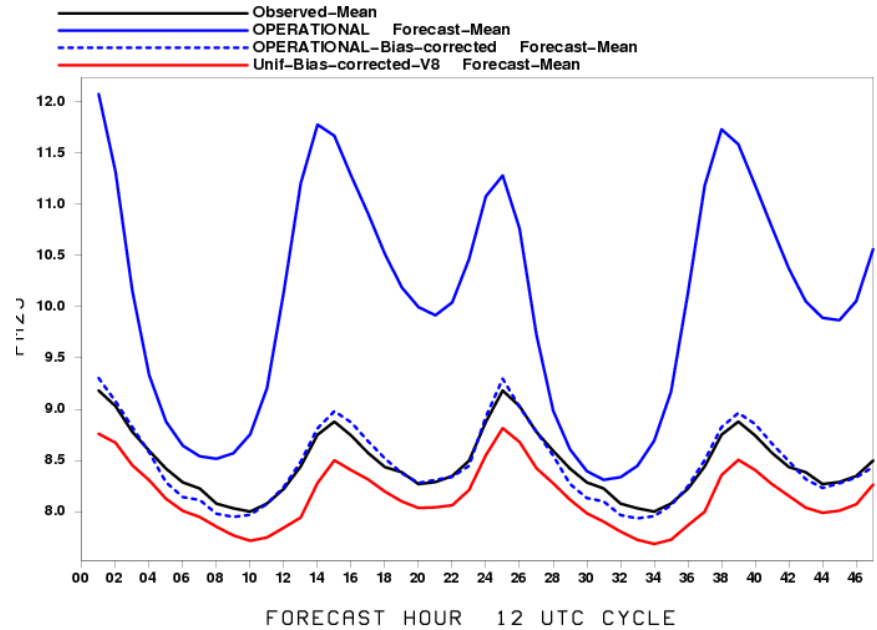
### August, 2017



1-h Avg PM25 obs (ug-m3) avged by fcst hrs  
20170801 to 20170831  
West-US



1-h Avg PM25 obs (ug-m3) avged by fcst hrs  
20170801 to 20170831  
East-US



- Improvement with **Exp. Bias correction** over West compared to **Prod BC**
- All BC results are degraded compared to raw predictions over West  
- Extensive fires over NW US
- *Note: Operational bias correction still using old V4 training prediction*



# Prod, Prod BC, Exp BC PM2.5

## Daily Time Series

### August, 2017



DAY 1 -1 Avg PM25 obs (ug-m3) 1500 -> 1200 UTC  
West-US

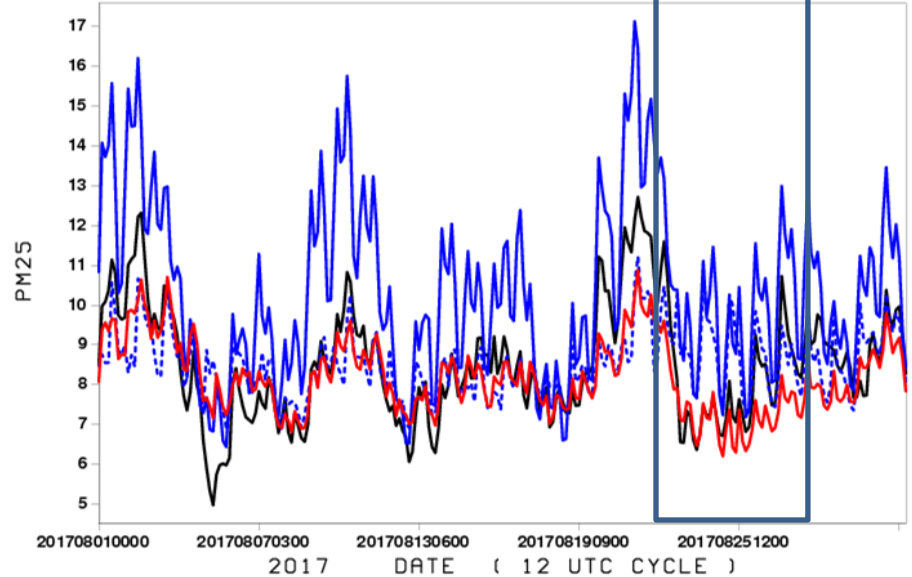
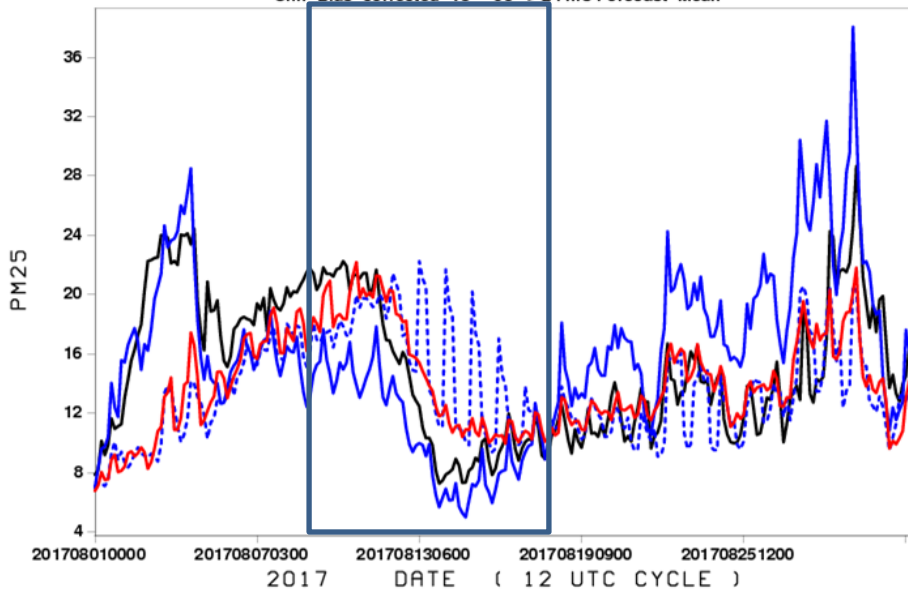
WEST

DAY 1 -1 Avg PM25 obs (ug-m3) 1500 -> 1200 UTC  
East-US

EAST

— 03 -> 24 hrs Observed-Mean  
 — OPERATIONAL 03 -> 24 hrs Forecast-Mean  
 - - - OPERATIONAL-Bias-corrected 03 -> 24 hrs Forecast-Mean  
 — Unif-Bias-corrected-V8 03 -> 24 hrs Forecast-Mean

— 03 -> 24 hrs Observed-Mean  
 — OPERATIONAL 03 -> 24 hrs Forecast-Mean  
 - - - OPERATIONAL-Bias-corrected 03 -> 24 hrs Forecast-Mean  
 — Unif-Bias-corrected-V8 03 -> 24 hrs Forecast-Mean



#### Exp Bias correction

- West: - Removes post wild-fire event noise  
- Overcorrects some fire events esp. early Aug
- East: Similar to prod BC, some improvement around 8/25/17



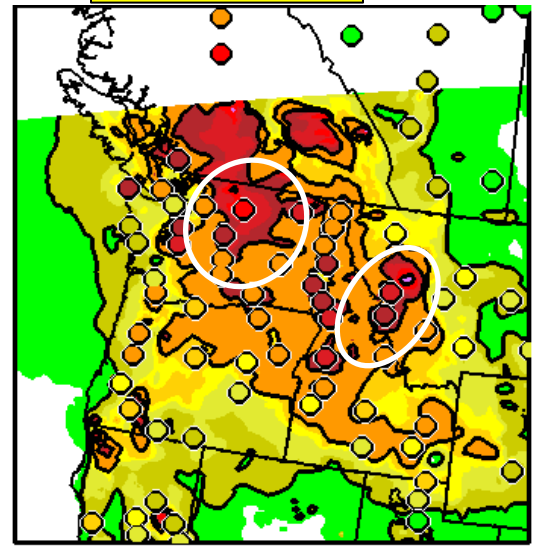
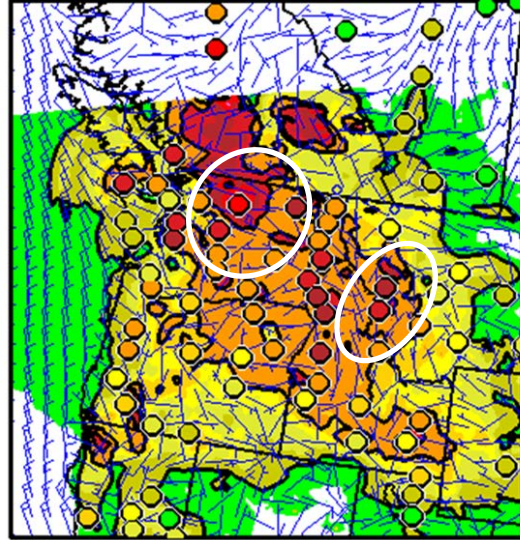
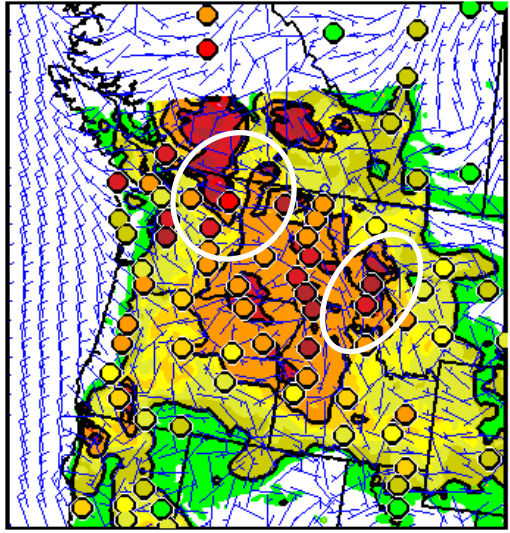
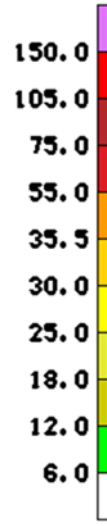
# Day 2 1hr daily max PM2.5 Valid August 9, 2017



PROD

PROD BC

EXP V8 BC

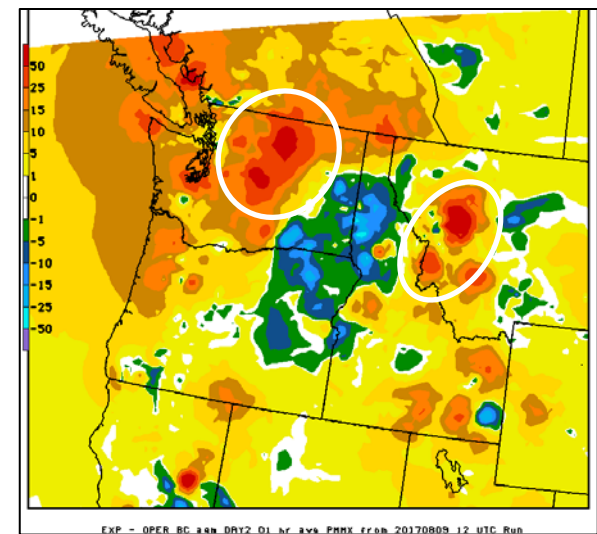


ARR PROD DAY2 PMX01 20170809 12Z CYI

PROD BIAS COR DAY2 PMX01 20170809 12Z

COR V8 DAY2 PMX01 (UG/M3) FRI 1708

Fire case: some improvement over Washington and Western Montana w/ EXP bias correction



EXP - OPER BC arr DAY2 01 by arr PMX from 20170809 12 UTC Run



# Prod, Prod BC, Exp BC PM2.5

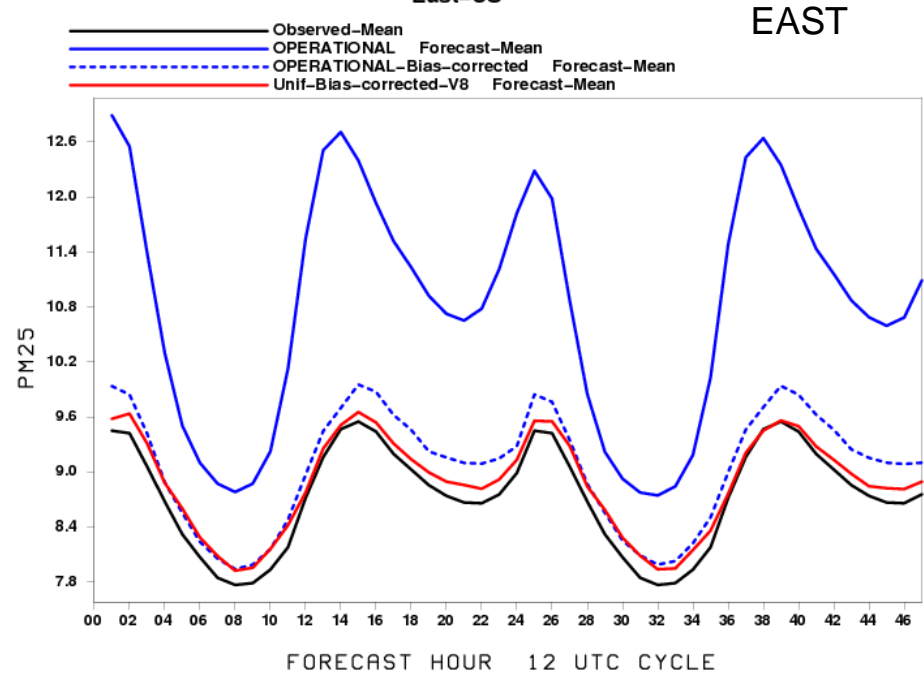
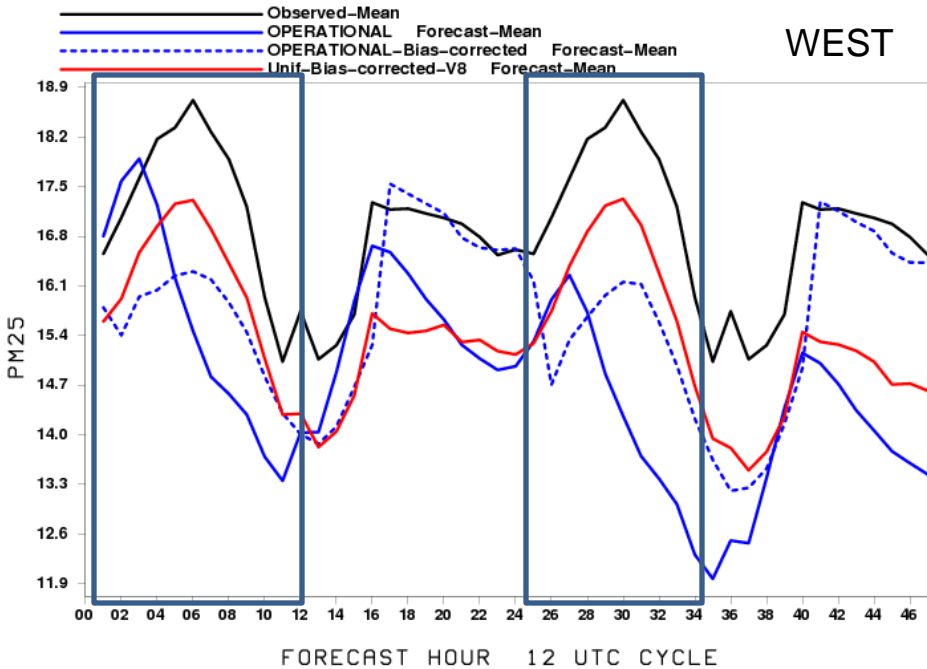
## Diurnal Time Series

### September 2017



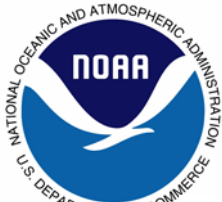
1-h Avg PM25 obs (ug-m3) avged by fcst hrs  
20170901 to 20170930  
West-US

1-h Avg PM25 obs (ug-m3) avged by fcst hrs  
20170901 to 20170930  
East-US



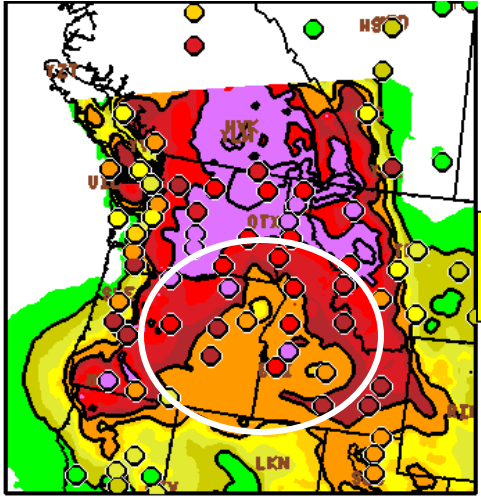
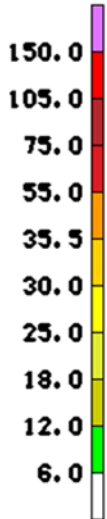
- Improvement with Exp. Bias correction over West during the daytime compared to prod BC
- Best improvement over East with bias correction
- *Note: Operational bias correction using old V4 training prediction*





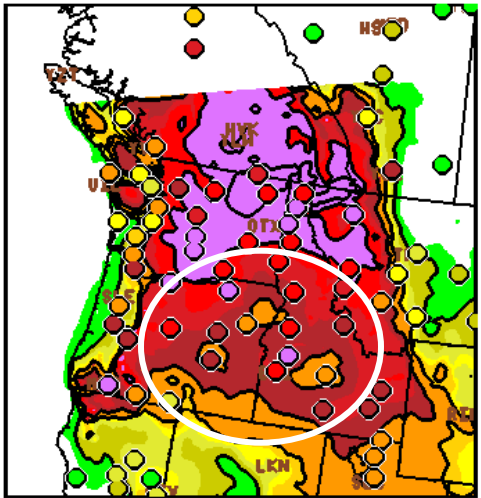
# Day 2 24hr daily AVE PM2.5

## Valid Sept. 6, 2017



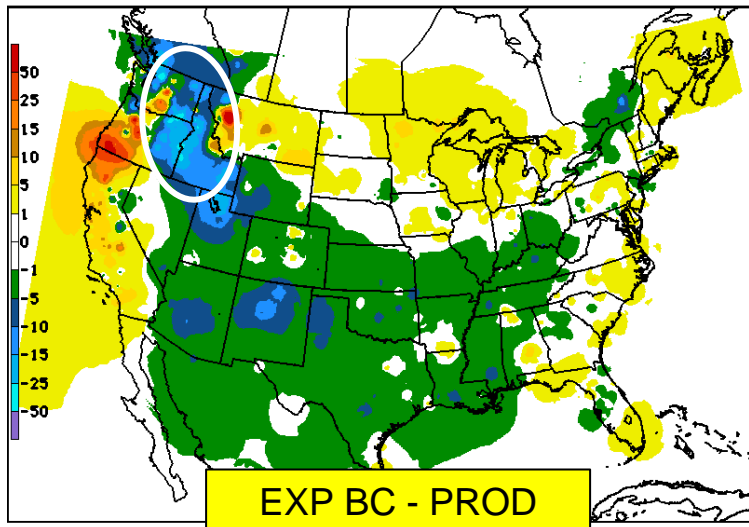
EXP BC V8

PARA BIAS COR V8 DAY2 PMAV24 (UG/M3) 20170905 12i



PROD "raw"

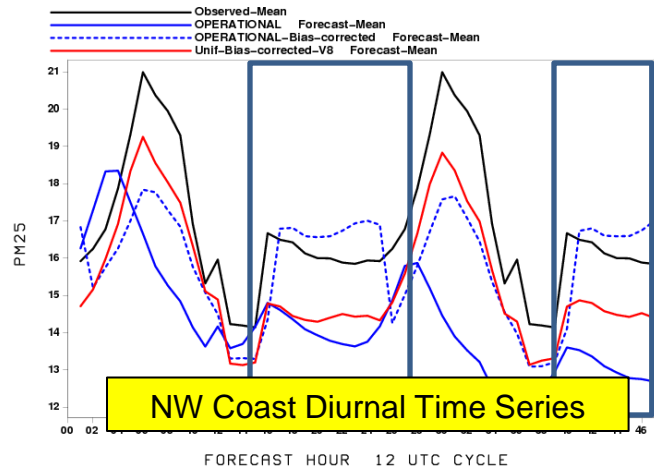
PROD DAY2 PMAV24 (UG/M3) 20170905 12Z CYC\*



EXP BC - PROD

EXP - OPER BC aqn DAY2 24 hr av9 PMAV from 20170905 12 UTC Run

1-h Avg PM25 obs (ug-m3) avged by fcst hrs  
20170901 to 20170930  
NWEST-Coast



NW Coast Diurnal Time Series

EXP PM bias correction overcorrects raw forecasts over East OR/West ID (due to night-time overcorrection ?)



# Prod, Prod BC, Exp BC PM2.5



## Diurnal Time Series

### January 2018

1-h Avg PM25 obs (ug-m3) avged by fcst hrs  
20180101 to 20180131  
West-US

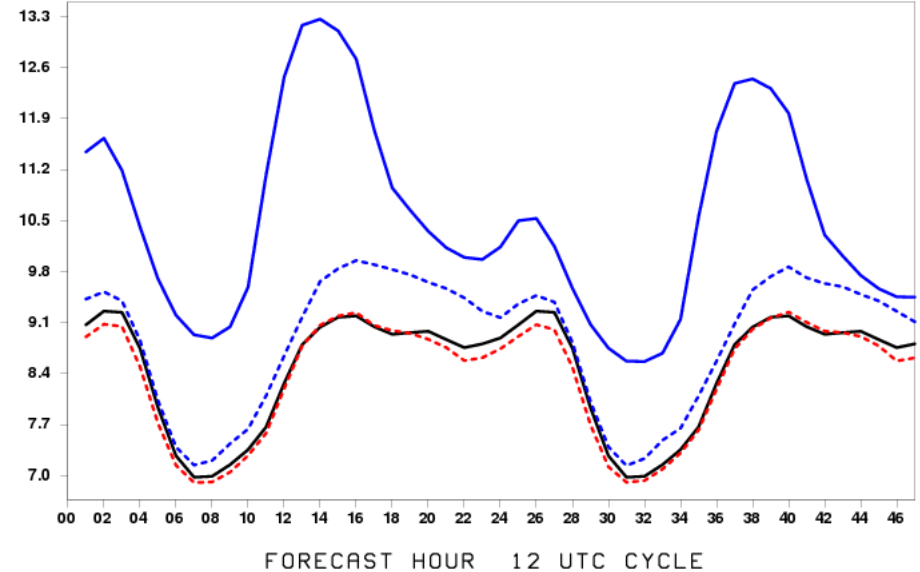
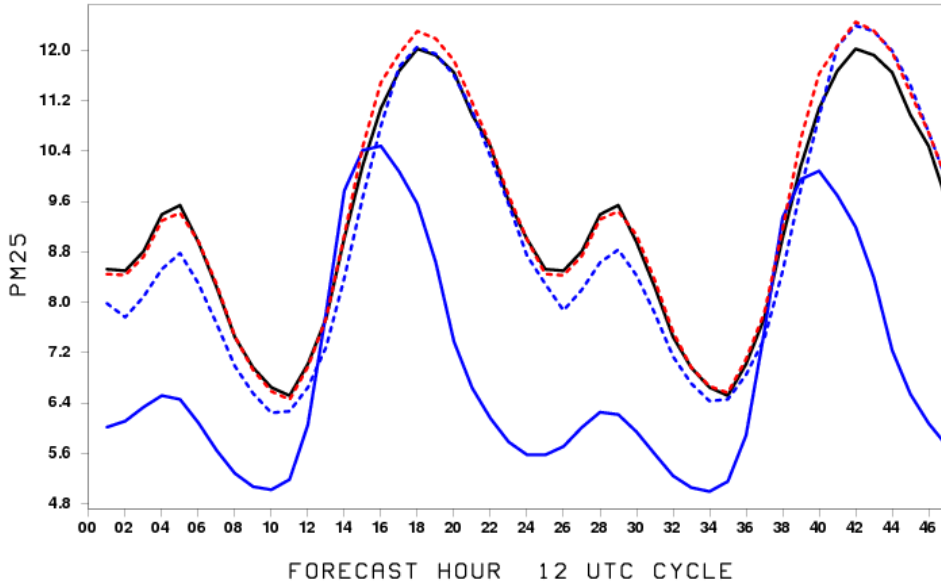
1-h Avg PM25 obs (ug-m3) avged by fcst hrs  
20180101 to 20180131  
East-US

— Observed-Mean  
 — OPERATIONAL Forecast-Mean  
 - - OPERATIONAL-Bias-corrected Forecast-Mean  
 — EXPERIMENTAL Forecast-Mean  
 - - Unif-Bias-corrected-V8 Forecast-Mean

WEST

— Observed-Mean  
 — OPERATIONAL Forecast-Mean  
 - - OPERATIONAL-Bias-corrected Forecast-Mean  
 — EXPERIMENTAL Forecast-Mean  
 - - Unif-Bias-corrected-V8 Forecast-Mean

EAST



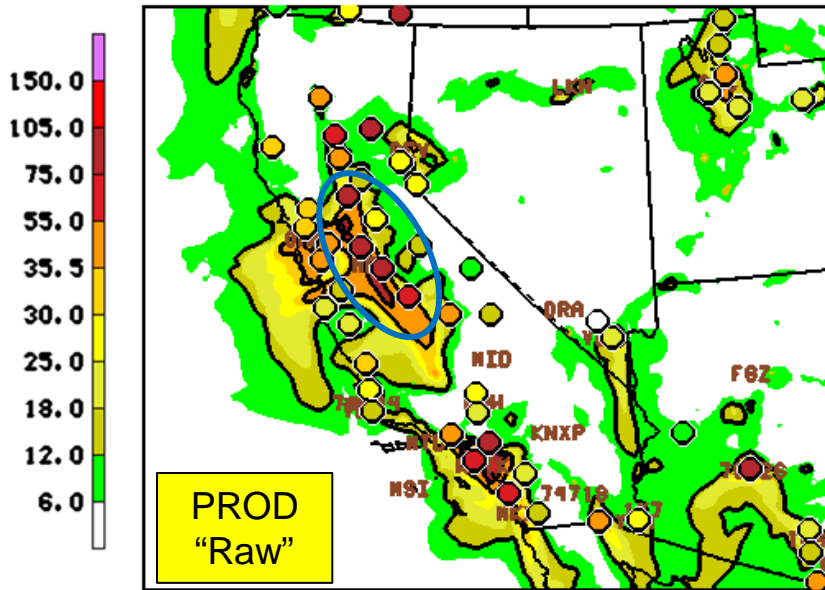
- Less PM (improved) over East with experimental bias correction processing  
*Note: Operational bias correction now using correct V5 training predictions*



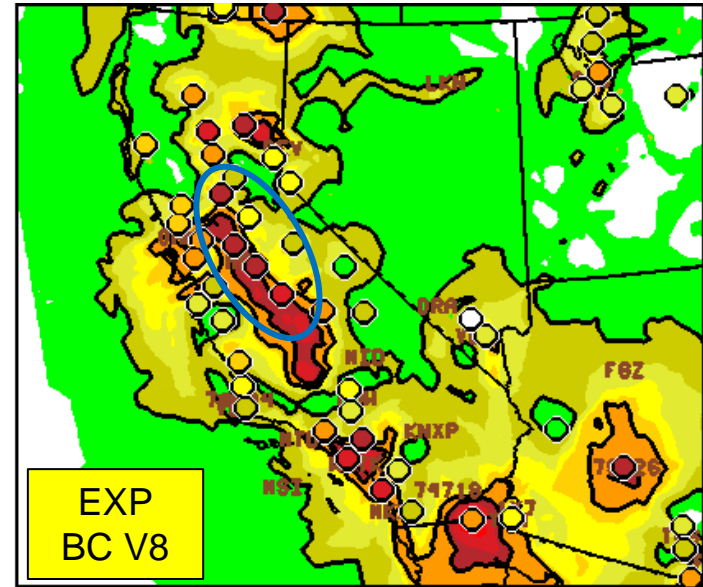


# Day 2 daily 1hr Max PM2.5

Valid Jan.2, 2018

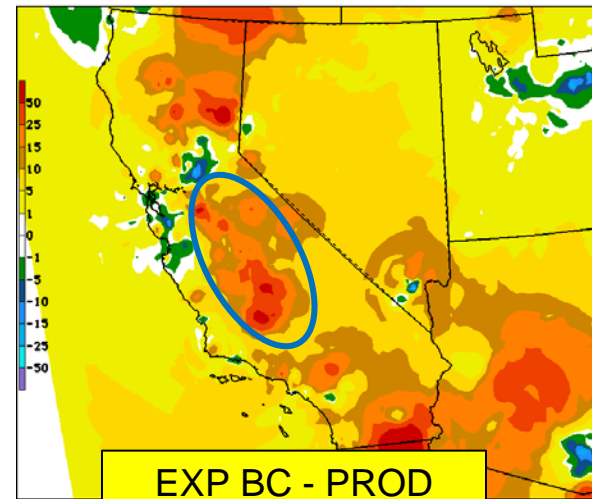


PROD DAY2 PMX01 (UG/M3) 20180101 12Z CYC-



EXP BIAS COR V8 DAY2 PMX01 (UG/M3) 20180101 12Z

Bias correction better captures stagnation episode in Central Valley



EXP - OPER BC 34m DAY2 01 hr 34m PMX from 20180101 12 UTC Run

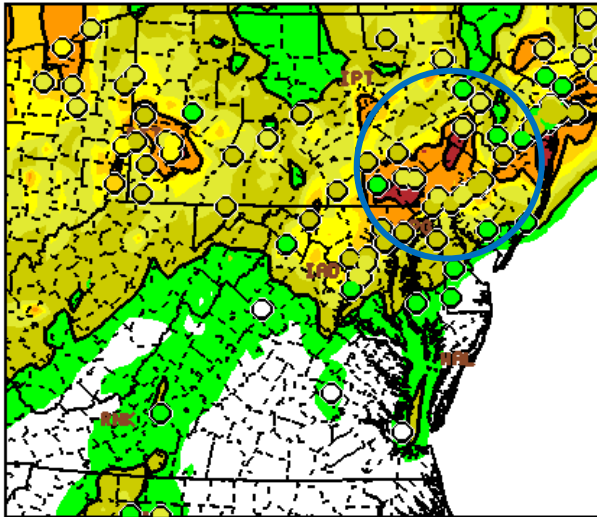


# Day 2 daily 1hr Max PM2.5

Valid Jan.28, 2018

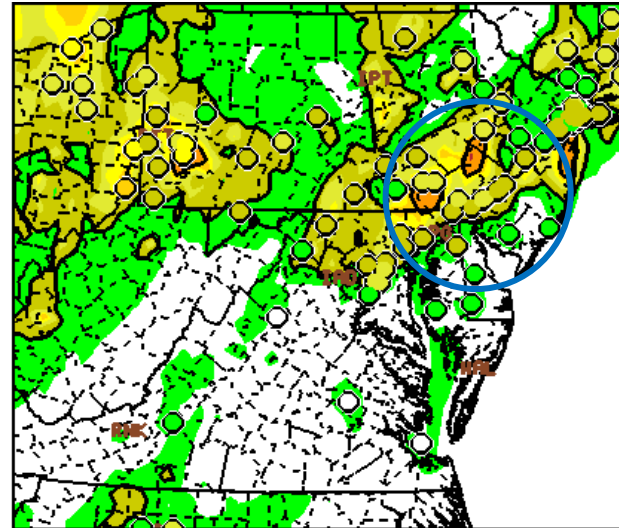


PROD "Raw"



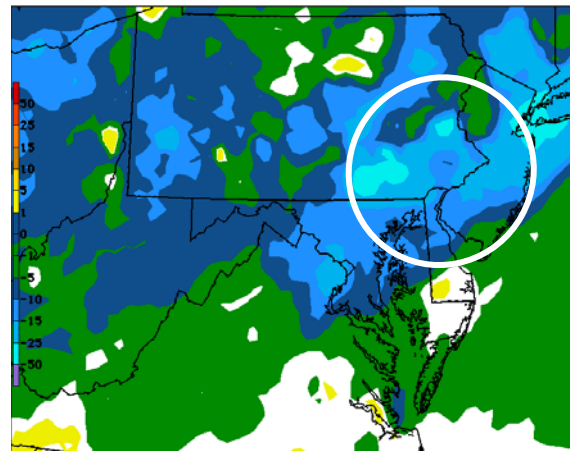
PROD DAY2 PMX01 (UG/M3) 20180127 12Z CYC

EXP BC V8



PARA BIAS COR V8 DAY2 PMX01 (UG/M3) 20180127 12Z I

Significant improvement with Bias correction



EXP BC - PROD

EXP - OPER BC BAN DAY2 01 hr 846 PMX from 20180127 12 UTC Run



# EMC Case Analysis



- **EXP Ozone Bias Correction:** Large improvement without degrading most exceedance cases in Summer
  - *Philadelphia exceptions noted*
- **Summer PM Bias Correction :**
  - Overall improvement statistically correcting raw model over-predictions (still too much PM near fires at night)
  - Still some overcorrection during fire events but improvements after high PM events
- **Winter PM Bias Correction:**
  - Improved over West and North East with bias correction especially during strong stagnation episodes



# Statistical performance of ozone prediction

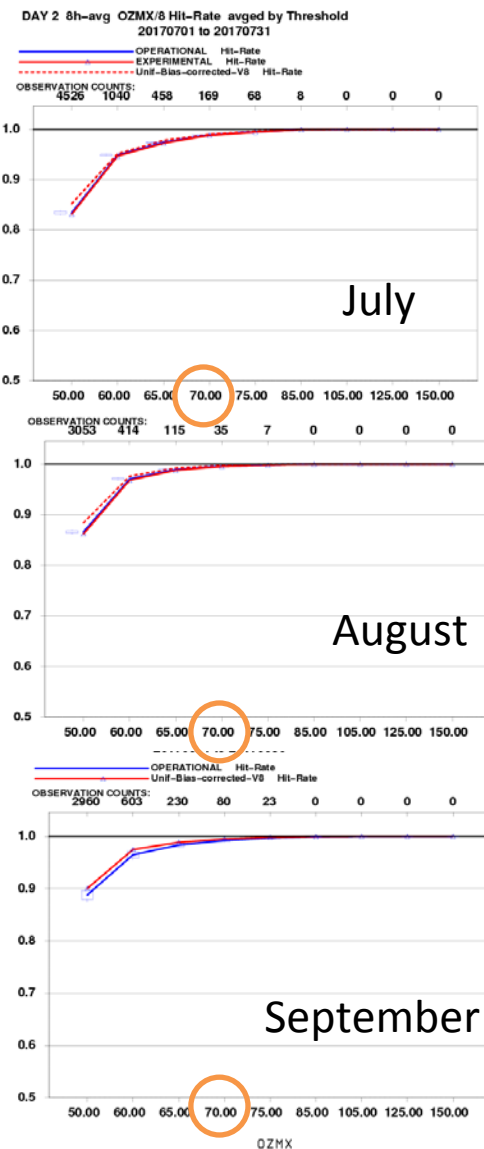
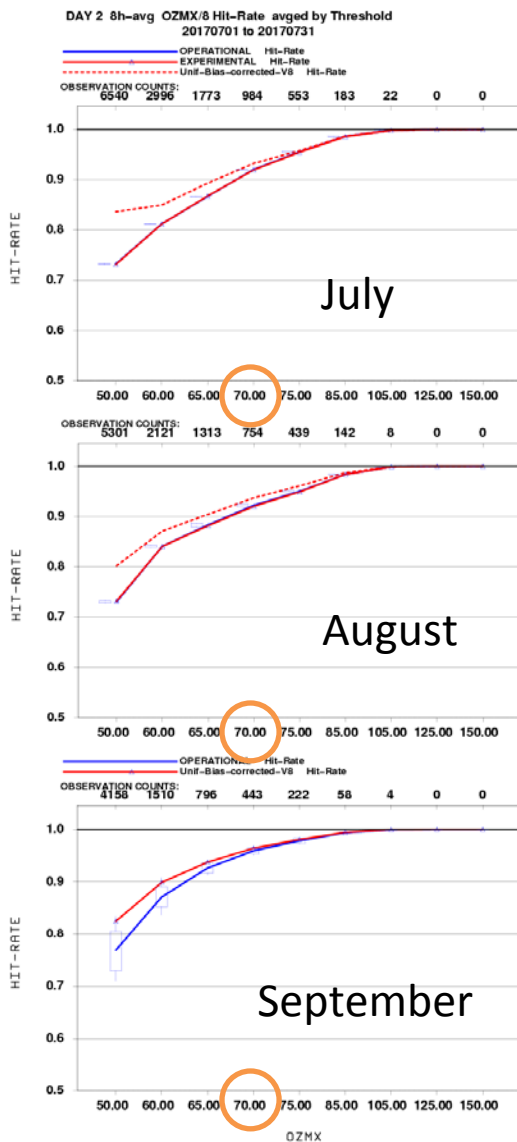


## NWS/STI

### Fraction correct for 8h ozone maximum (day 2)

#### Western US

#### Eastern US



BC compared to Raw	Exper. ozone 8h max BC	
	West	East
Day 2	West	East
July	++	+
August	++	+
September	++	+

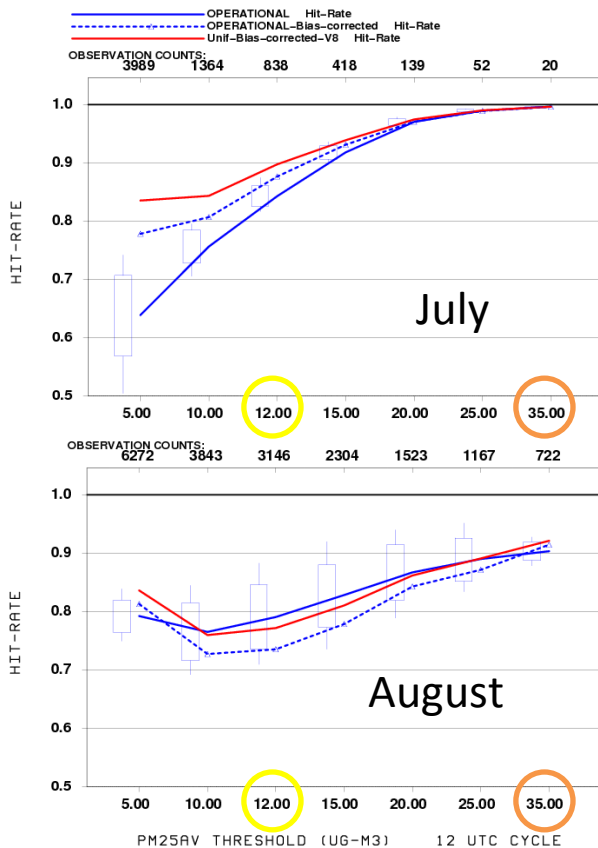
- Experimental bias correction for ozone is better than raw model, especially in the Western US

# Statistical Performance of PM2.5 predictions (July-Aug 2017)

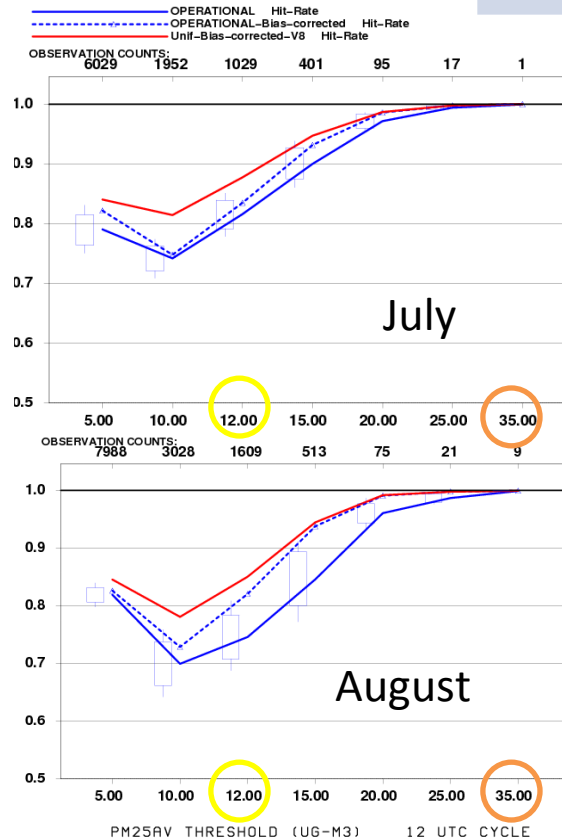
Fraction correct for 24-hour average PM2.5 (day 2)

Day 2	BC compared to Raw		Operational PM2.5 24h avg BC		Exper. PM2.5 24h avg BC	
	West	East	West	East	West	East
July	+	+	++	++	++	++
August	--	+	+/-	++	++	++

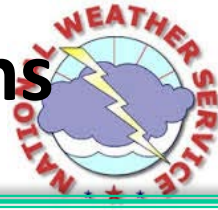
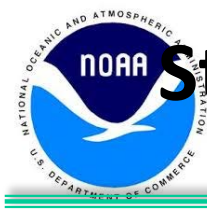
Western US



Eastern US



- Experimental bias correction is better than operational one
- Experimental bias correction is much better than raw model in July and in for Eastern US in August



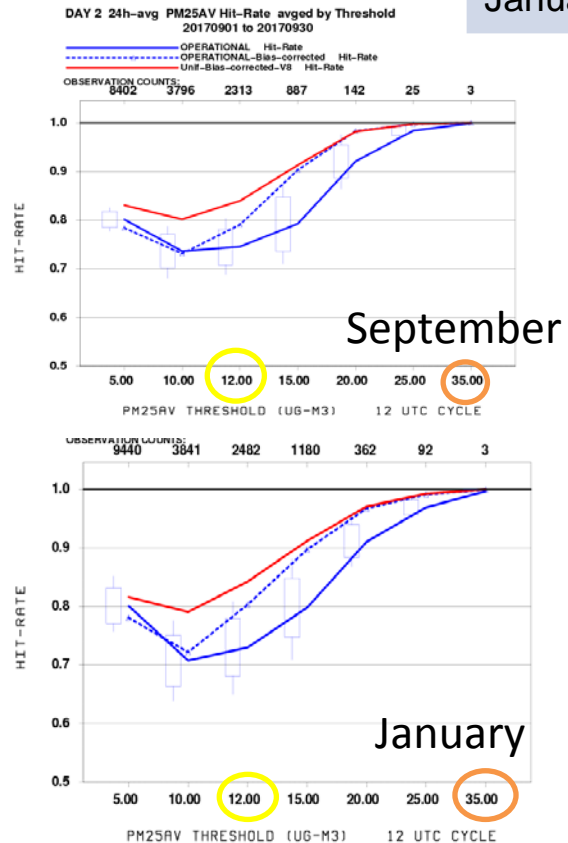
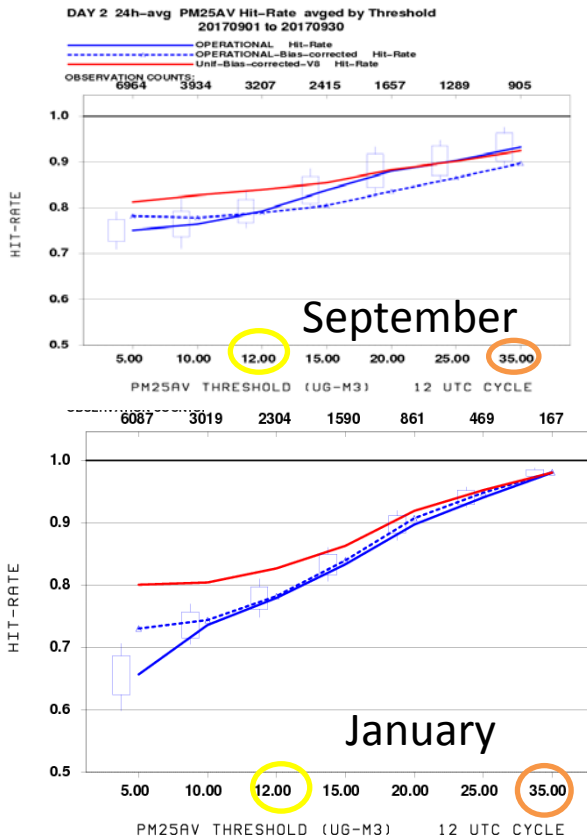
# Statistical Performance of PM2.5 predictions (Sept 2017 & Jan 2018)

Fraction correct for 24-hour average PM2.5 (day 2)

Day 2	Operational PM2.5 24h avg BC		Exper. PM2.5 24h avg BC	
	West	East	West	East
September	-/+	+	+	++
January	+	+	++	++

Western US

Eastern US



- Experimental bias correction is better than operational one
- Experimental bias correction is much better than raw model in January and in for Eastern US in September



# Summary evaluation of bias corrected predictions



BC compared to Raw	Operational PM2.5 24h avg BC		Exper. PM2.5 24h avg BC		Exper. ozone 8h max BC	
	West	East	West	East	West	East
Day 2						
July	+	+	++	++	++	+
August	--	+	+/-	++	++	+
September	-/+	+	+	++	++	+
January	+	+	++	++		

Fraction Correct for day 2 predictions indicates:

- New ozone bias corrected prediction is better than raw model prediction
- Updated PM2.5 bias correction is better than both raw model predictions and operational bias corrected predictions





# Testing with Forecaster Feedback NWS/STI



- Forecasters' feedback was collected after the evaluation period of March 8, 2018 through April 6, 2018.
- Frozen model version predictions were provided by EMC retrospectively for July - September 2017 and January 2018 and in real time since March 2018.
- EMC provides parallel prediction maps and predicted values at monitor sites in text form to air quality forecasters.



# Summary of Forecaster Feedback



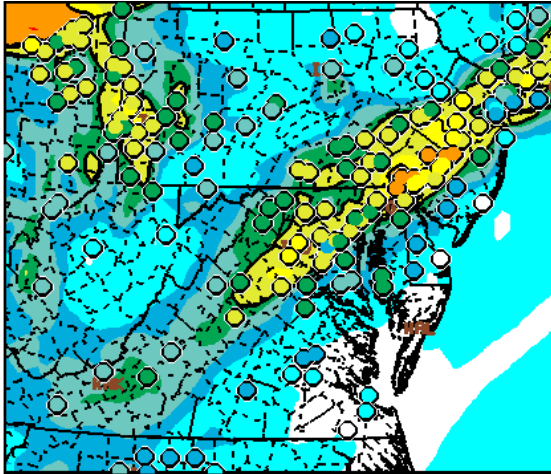
Received recommendation to implement as proposed from AQ forecasters in

- California
- Maryland
- Virginia
- Connecticut
- Alabama

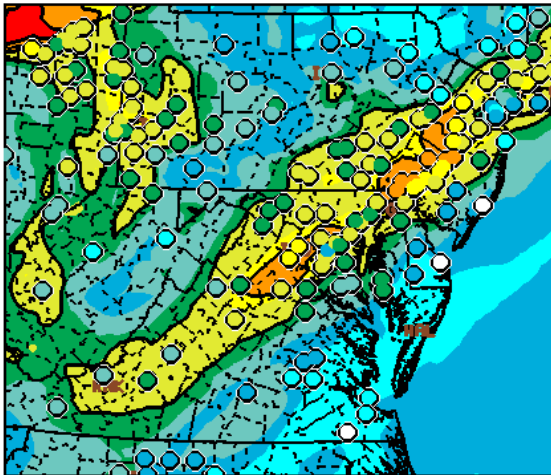
Additional feedback was received from forecasters in Maine, Minnesota, and North Carolina without a recommendation.

Pennsylvania forecaster recommended further testing.

# Ozone Feedback: Maryland/DC



PARA BIAS COR V8 DAY2 OZMX08 (PPB) 20170924 12Z CYC



PROD DAY2 OZMX08 (PPB) 20170924 12Z CYC

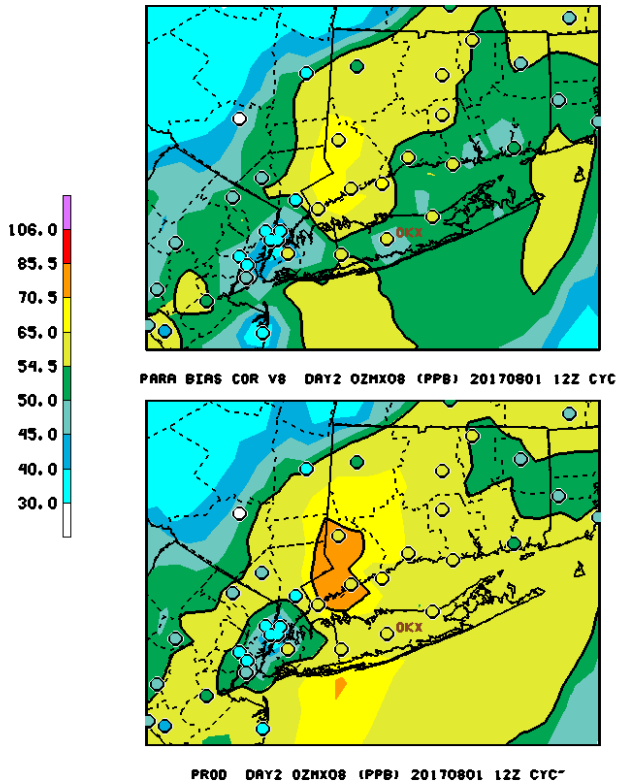
During Exceedance Event on Sept 25, 2017,  
Bias correction was significantly better

- Significant improvement in Bias correction forecast, particularly around DC.
- Bias correction correctly nailed the single exceeding monitor (FairHill) on this day.
- Caveat – the Bias correction appears to over correct west of DC

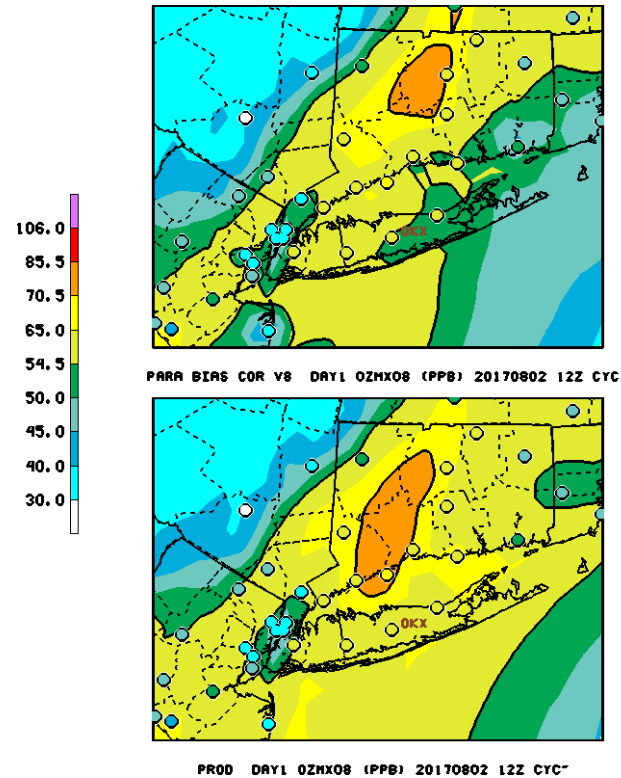
# Ozone Feedback: Connecticut

August 2, 2017 12z

Day 2

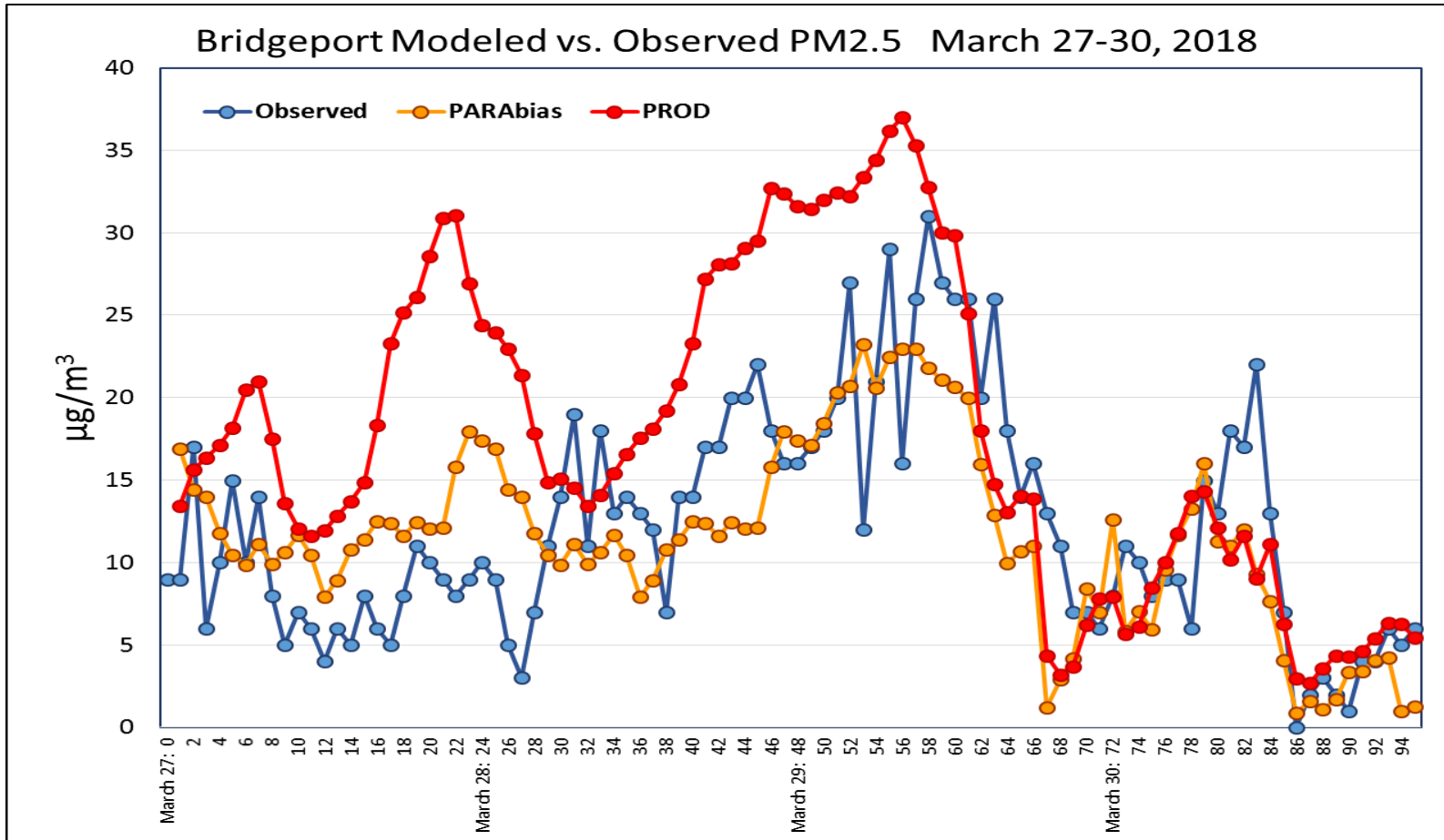


Day 1



- The BIAS tended to be more accurate with higher ozone levels;
- But on some days, e.g. July 2,3,11<sup>th</sup>, both models performed poorly;
- BIAS= 18 **WINNER!**
- PROD= 12

# PM2.5 Feedback: Connecticut



The PARA bias (and PROD bias) correction are almost always a better predictor for PM2.5 levels than the PROD run



# BACKUPS







# FY19 Goals



- Extend forecast to 72 hours
- Update anthropogenic emissions to NEI 2014V2
- Implement diurnal wild-fire smoke emission profiles
  
- CMAQ V5.2.1 update:
  - Extended speciation (marine chemistry)
  - Aerosol impacts on photolysis
  
- Begin transition to FV3-Chem
  - Offline coupling at first ?
  - FV3GFS vs FV3REG met driver
  - BlueSky vs topdown VIIRS/MODIS active fire data
  - Update bias correction for new model behaviors

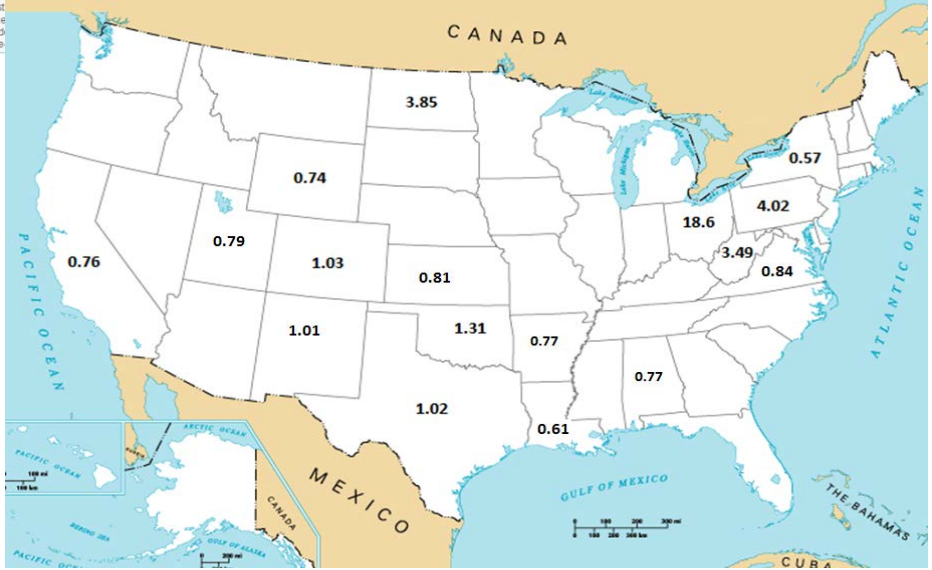
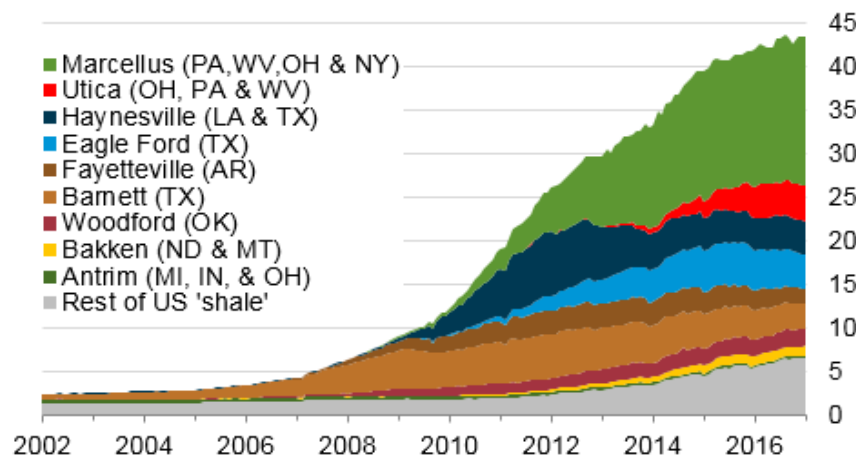
# Emissions updates: oil and gas sector

## 2014 Energy Information Administration on Shale Plays



- Testing of State-specific scaling for Oil\_n\_Gas area source
- July 11-21 sensitivity run confirmed that Marcellus area O3 increased
  - Under-prediction in O3 in the Marcellus area was reduced
  - However the over-prediction in O3 elsewhere was exacerbated

Monthly dry shale gas production billion cubic feet per day



Sources: EIA derived from state administrative data collected by DrillingInfo Inc. Data are through January 2017 and represent EIA's official shale gas estimates, but are not survey data. State abbreviations indicate primary state(s).



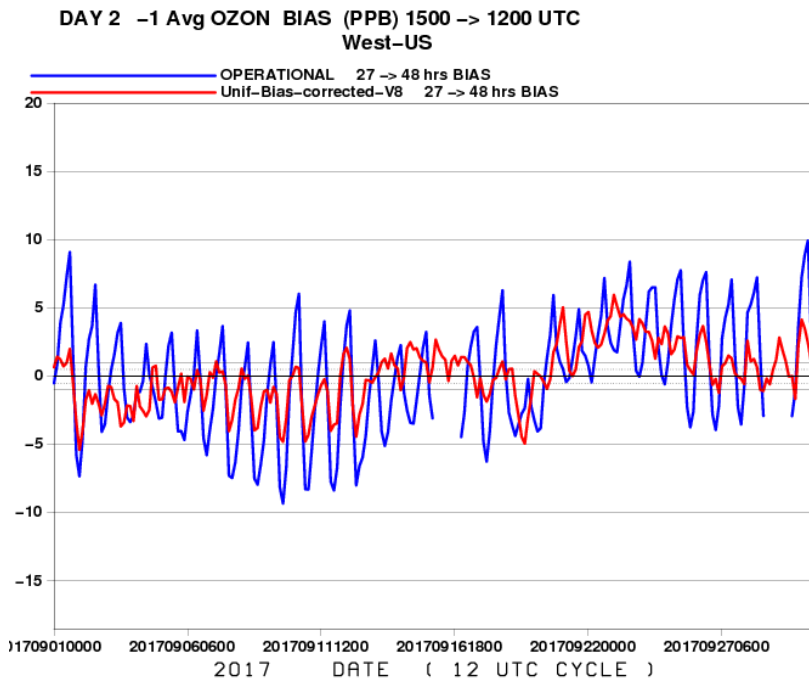
# 1h avg O<sub>3</sub> Day 2 Daily Time Series BIAS

Prod and EXP bias corrected

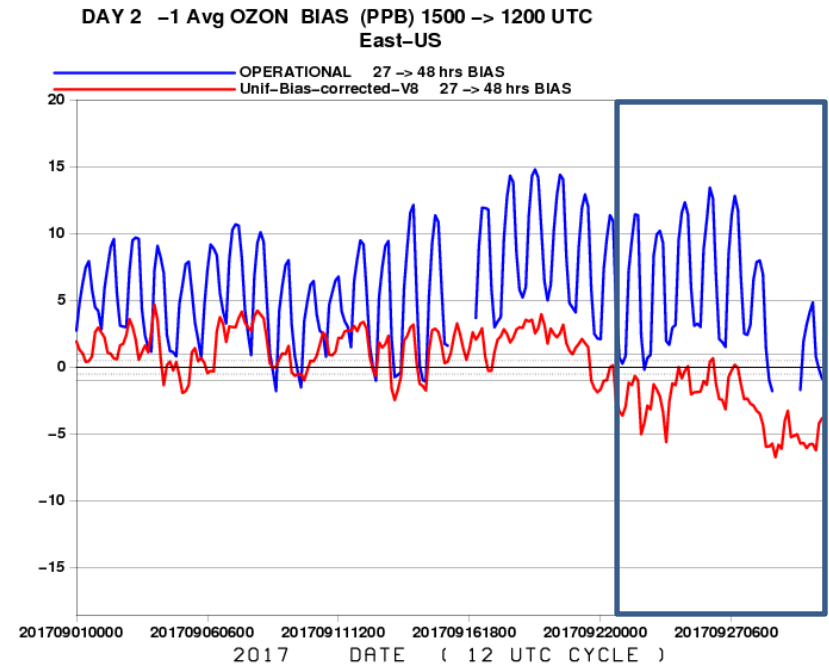


## September 2017

### WEST



### EAST



O3 Bias Correction - Improved, similar trend to raw except underprediction over East later in month