1	
2	
3	
4	
	Descinitation Collibustion Decad on Engagonary Matching Mathad
5	Precipitation Calibration Based on Frequency Matching Method
6	(FMM)
7	
8	
9	
10	
11	Yuejian Zhu^{1*} and Yan Luo ^{1,2}
12	
13	1. Environmental Modeling Center/NCEP/NWS/NOAA, College Park, MD
14	2. I. M. Systems Group, Inc. College Park, MD
15 16	
10	
18	
19	
20	
21	
22	
23	
24	
25	
26	
27	
28	
29	
30	
31	
32	
33	
34	
35	To be submitted to Weather and Forecasting
36	0
37	
38	
39	
40	* Corresponding author address:
41	1
42	Yuejian Zhu,
43	Environmental Modeling Center/NCEP/NWS/NOAA
44	5830 University Research Court
45	College Park, MD 20740
46	E-mail: Yuejian.Zhu@noaa.gov
	······································

3

Abstract

4 A post-processing technique is employed to correct model bias for precipitation 5 fields in real time based on a comparison of the frequency distributions of observed and forecast precipitation amounts. Essentially, a calibration is made by defining an 6 7 adjustment to the forecast value in such a way that the adjusted cumulative forecast 8 distribution over a moving time window dynamically matches the corresponding 9 observed distribution accumulated over a domain of interest, e.g., the entire contiguous 10 United States (CONUS), or different River Forecast Center (RFC) regions in our cases. 11 In particular, the Kalman Filter method is used to catch the flow-dependence and bias 12 information. Calibration is done on a point-wise basis for a specified domain. Using this 13 unique technique, the calibration of precipitation forecasts for the National Centers for 14 Environmental Prediction (NCEP) Global Ensemble Forecast System (GEFS) was implemented into operations in May 2004. To further satisfy various users, especially for 15 16 hydro-meteorological and short-range weather forecast applications, a recent upgrade to 17 the May 2004's implementation has been made. It includes application of bias correction 18 for higher resolution forecasts with better analysis, and construction of a cumulative 19 frequency distribution based on each RFC region instead of the entire CONUS domain to 20 take realistic regional climate features into account. This study focuses on one degree 21 spatial and 6-hour temporal resolution out to a 384 hour (about 16-day) forecast to 22 provide detailed information on precipitation events, using the newly developed NCEP 23 Climatology-Calibrated Precipitation Analysis (CCPA) as the proxy for the truth. Mean 24 forecast errors and skill are evaluated with respect to CCPA over the CONUS and each

1	RFC for the period 2009-2010 for 6-hour accumulations at one-degree spatial resolution.
2	From this study it was found that this frequency matching algorithm substantially
3	improves NCEP GFS/GEFS model precipitation forecast biases over a wide range of
4	forecast amounts and produces more realistic precipitation patterns. Moreover, this
5	approach improves the forecast prediction skill measured by most verification scores. In
6	addition, the skill of probabilistic quantitative precipitation forecast (PQPF) has been also
7	improved by applying this method to the individual GEFS ensemble members.
8	

- 1 1. Introduction
- 2 3

4 There are many important applications that require a more accurate quantitative 5 precipitation forecast (QPF) and probabilistic quantitative precipitation forecast (PQPF). 6 One of these applications is the daily forecast. The QPF and ensemble based PQPF 7 forecast products were implemented into NCEP operations in the late 1990's (Zhu et al. 8 1998, Zhu 2005). A better calibrated PQPF could benefit the short- and medium-range 9 forecasts, and extend the forecast predictability (Eckel and Walters 1998, Zhu and Toth 10 1999). There are several studies on calibration of PQPF; some focus on the methodology 11 (Krzysztofowicz and Sigrest 1998; Christopher et al. 2008) and others use reforecast 12 information (Hamill et al. 2002, Fundel et al. 2009). An analog method has been 13 developed by using large samples of reforecasts (Hamill and Whitaker 2006), and is 14 experimentally run at ESRL and provides additional guidance for NCEP Weather 15 Prediction Center (WPC) forecasters. Another important application of this method is for down-stream applications. Water management decisions are crucially dependent on 16 17 forecast information regarding the possible future evolution of precipitation. On the other 18 hand, hydrologic models need accurate precipitation forecasts from numerical weather 19 prediction (NWP) as forcing inputs. Therefore, a realistic representation of the 20 precipitation field in forecasts is very important. However, many studies have 21 demonstrated systematic biases in the model precipitation products due to model 22 deficiencies. It has long been recognized that model precipitation uncertainty affects the 23 accuracy of hydrologic modeling (Demargne et al. 2013), because the performance of 24 distributed, physically based hydrologic models depends greatly on the quality of the precipitation input data. For both these reasons, post-processing techniques have been 25

1 developed and applied to reduce these biases in the precipitation. Many studies have 2 demonstrated some success with precipitation forecasts through statistical post-3 processing. For instance, Yuan et al. (2007) applied an artificial neural network as a 4 postprocessor to calibrate Probabilistic Quantitative Precipitation Forecasts (PQPF) from 5 the NCEP Regional Spectral Model (RSM) ensemble forecast system. Voison et al. 6 (2010) described two bias correction methods with spatial disaggregation (BCSD) and an 7 analog technique for downscaling and calibrating errors from ensemble precipitation 8 forecasts. In this study we developed a method for precipitation calibration in real time 9 called the "frequency matching method". Basically the methodology employed here is a 10 statistical adjustment based on cumulative frequency distributions of forecast and 11 observed precipitation amounts. Two steps are undertaken in calibration with frequency 12 matching. As first, it requires an observation dataset at the same spatial and temporal 13 resolution as the model forecast output and a reasonable number of days of prior forecasts 14 to construct their respective cumulative frequency distributions for forecasts and 15 observations. In addition, it introduces a time moving window for sampling appropriate 16 historical bias information that makes the cumulative frequency distribution of forecasts 17 match that of the observations. The second step in this method makes use of the 18 cumulative frequency distributions of observations and forecasts, in this way a frequency match is performed between prior observations and forecasts. The resulting correction 19 20 factor is applied to adjust a target forecast value at each grid point and each grid point is 21 treated individually.

In this paper, we first briefly review the background of the 4 May 2004 implementation at NCEP. The 2004 implementation was first developed as a pioneer

1 version of precipitation calibration with frequency matching for application in 2 precipitation forecasts with 24 hour accumulations at 2.5 degree resolution (Zhu and Toth 3 2004). Just as with any other numerical weather prediction (NWP) model, Quantitative 4 Precipitation Forecasts (QPF) from the Global Forecast System (GFS) at NCEP suffer 5 from biases due to model deficiencies. Probabilistic Quantitative Precipitation Forecasts 6 (PQPF) based on the Global Ensemble Forecast System (GEFS) at NCEP are biased as 7 well due to imperfections in the model and ensemble formation. Typically, model 8 precipitation bias is dependent on the model version, lead time and location. In most 9 cases, small amounts of precipitation are over-forecasted while large amounts are under-10 forecasted. By calibrating each member of the ensemble based on verification statistics 11 accumulated over the continental US (CONUS), the bias in QPF (first moment) is 12 practically eliminated, and the PQPF (second moment) is substantially improved. By 13 following the approach of the 2004 implementation with timely availability of higher 14 resolution model output and a better analysis, named the Climatology-Calibrated 15 Precipitation Analysis (CCPA, Hou et al. 2013), we pursue a similar application at one 16 degree resolution and every 6-hours out to 384 hours (about 16 days) globally.

To provide a better proxy of the truth for the precipitation field over CONUS at high spatial and temporal resolutions, CCPA has been developed and evaluated at NCEP by Hou et al. (2013). The dataset takes advantage of the higher climatological reliability of the CPC dataset (Xie et al. 2010) and the higher temporal and spatial resolution of the Stage IV dataset (Lin and Mitchell 2005). Thus, CCPA is reliable and quality controlled, with a high spatial and temporal resolution. It is available as 6 hour accumulations from 2002 onwards. The CCPA data are first produced on the 4 km HRAP (Hydrologic

Rainfall Analysis Project) grid, the same as the NCEP Stage IV over CONUS, as a
primary product and then interpolated to 1, 0.5, 0.125 degree and NDGD (5km) grids by
a volume conservation scheme as by-products. The 1 degree CCPA is applied in this
study as it exactly matches the model output grid.

5 We continue to investigate here the method that applies to the NCEP GFS/GEFS 6 precipitation model output with CCPA. Then we analyze aspects of the bias correction of 7 ensemble precipitation forecasts, including precipitation forecast skill and reliability. Our 8 objective is to produce bias-corrected precipitation ensemble forecasts through post 9 processing for near real time forecast applications.

10 The reminder of the paper is organized as follows. Section 2 describes the 11 frequency matching method for precipitation calibration. Section 3 reviews the 12 background of 2004 implementation. A few cases to demonstrate the success of this 13 method will be presented. Section 4 applies and evaluates the bias correction approach 14 for higher resolutions using CCPA, and in the last section we present our conclusions 15 with suggestions for future work that will further improve the calibration of precipitation.

16

17 **2. Methodology**

18

A systematic difference (or 'bias') between forecast and observed precipitation amounts can be progressively removed using information provided by observations. In this study, the bias information can be estimated through comparing forecast and observed precipitation frequency distributions. The general frequency matching method proceeds as follows. First, we conduct a bias assessment by constructing a cumulative distribution function (CDF) for the preceding forecast and corresponding observed

precipitation amounts. Given a set of precipitation thresholds in ascending order, the CDF is calculated as the count of numbers of grid points over a given domain where the forecast or observed precipitation values exceed a threshold. The CDF is updated with the Kalman filter method, which is similar to the bias correction method in the NAEFS (Northern American Ensemble Forecast System) (Cui et al. 2011, 2013), expressed as:

7
$$\overline{\mathbf{CDF}}_{i,j} = (\mathbf{1}-\mathbf{W}) * \overline{\mathbf{CDF}}_{i,j-1} + \mathbf{W} * \mathbf{CDF}_{i,j}$$

8

9 where $\overline{\mathbf{CDF}_{i,j}}$ is the decaying averaged CDF at threshold i for day j, while $\overline{\mathbf{CDF}_{i,j-1}}$ is the 10 prior decaying averaged CDF for day j-1. $\mathbf{CDF}_{i,j}$ is the newly counted CDF at threshold i 11 for day j. W is the decaying weight between 0 and 1, defined simply by an approximated 12 time moving window nd (nd cannot equal zero).

(1)

13
$$W = 1 / nd$$
 (2)

14 Here a time moving window (or decaying weight) is chosen to make a weighted average 15 of these CDFs over the domain depending on how far it is from the target forecast day, 16 which is illustrated in Figure 1. The higher the weight the faster the decaying speed 17 (which indicates there is a higher weight on the most recent data and less on the oldest 18 data) and vice versa. Our strategy is to specify prior forecast days (an approximated time 19 moving window, or decaying weight) for each grid point and each lead time as a pool for sampling appropriate historical bias information from forecasts and observations. For 20 21 instance, a 50-day window (W=0.02) means training data is accumulated over the most 22 recent 50-day period with the most weight on the most recent data (See Figure 1 for 23 W=0.02). Thus, the idea behind the adaptive method is to catch the dynamic flow-24 dependence and statics of observations. The time moving window (or decaying weight) 25 can be tuned from short (or large) to long (or small) times (weights) to ensure the best performance of the method. In our adaptation of the frequency matching method, there
are two ways to construct CDFs for forecasts and observations. We call the CDF based
on the whole CONUS domain the CONUS CDF, and the CDF based on each RFC region
(See Figure 2) is the RFC CDF. For each grid point within a specific domain (e.g.,
CONUS or any RFC) and for each forecast lead time, the observed and forecast CDFs are
derived using the same time moving window (or decaying weight). To be useful for
applications, this method needs to handle the initial CDFs, which is termed spin-up.

8 Second is the bias adjustment. In order to keep the spatial and temporal coherence 9 of a forecast as similar as possible to that of the observation, we match the cumulative 10 frequency distribution of the forecast to that of the observation using a frequency 11 matching algorithm. Here the updated CDFs from Equation (1) form cumulative 12 frequency distributions. As illustrated in Figure 3, according to this pair of distributions, 13 for a raw forecast value ("RAW") we find and assign an observed value that has the same 14 frequency within a given domain as the forecast value to the correspondent calibrated 15 forecast ("CAL"). Consequently, the bias information is estimated based on the paired 16 and updated CDFs for the forecast and corresponding observed values. For example, in 17 Figure 3 in the case of a CDF (forecast) greater than the CDF (observed), model 18 precipitation tends to be over-forecasted, so to match the frequency a correction factor of 19 less than one will be expected to reduce a forecast value. In doing this matching process, 20 linear interpolation is applied twice in real calculations to derive a correction factor for 21 each grid point. Mathematically, given an array of thresholds T₁, T₂, ..., T_n in ascending order as the abscissas, an array of observed CDFs O1,O2, ..., On and an array of forecast 22 CDFs $F_1, F_2, ..., F_n$ as ordinates, an array of calibrated thresholds $T_1^*, T_2^*, ..., T_n^*$ are 23

1 derived through the first linear interpolation. Consequently, the forecast CDF F_i^* at T_i^* 2 (i=1,..., n) is equal to observed CDF O_i at T_i (i=1,..., n) just as in what we call 3 frequency matching. That is:

4 $O_1(T_1) = F_1^*(T_1^*),$

5 $O_2(T_2) = F_2^*(T_2^*),$

.

6

7

 $O_n(T_n) = F_n^*(T_n^*).$

8 Next, a correction factor is calculated as the ratio of a calibrated threshold to its related threshold, i.e., $Ri = T_i^* / T_i$, i=1,..., n. Once again, given an array of thresholds $T_1, T_2, ...,$ 9 T_n as the abscissas and the array of correction factors $R_1, R_2, ..., R_n$ as ordinates, for a 10 11 forecast value ("RAW") at any grid point a correction factor ("r"), the ratio of a 12 calibrated forecast value ("CAL") to its corresponding raw forecast value at a grid point, 13 is derived by linear interpolation. Then the correction factor ("r") is applied to the raw forecast value ("RAW") to compute the final calibrated forecast value ("CAL= r * 14 15 RAW"). This correction is applied to each model grid point which implies that the 16 correction is a function of forecast value. No adjustment of a zero precipitation forecast value is made in order to prevent an unrealistic negative precipitation value due to 17 18 interpolation.

19 This calibration technique with frequency matching should work with any model 20 output as long as observations are available and are processed to be at model grid points. 21 However, our experience with this technique indicates some important considerations 22 must be addressed. That is, precaution must be taken about the selections of thresholds 23 and number of decay days, particularly when the CDF is calculated for each RFC rather

1 than the CONUS because there will be a much smaller sample size as implied from Table 2 1. For example, an insufficient amount of non-zero sample data is very likely to cause 3 more than two equal values of zero as CDFs for adjacent highest thresholds, though this 4 situation is not allowed in this method as it may lead to a failure in the interpolation. To 5 deal with this problem, selecting a reasonable range of thresholds is necessary to produce 6 non-equal CDF values. Another solution is choosing a proper number of decaying days. 7 If the number of decaying days is too small it will be problematic since there will not be 8 sufficient sample data, especially when dry-climate regions experience a long duration 9 drought. Therefore, there is an inevitable trade-off as to the number of decaying days 10 when tuning for optimal calibration performance. It is believed that potential difficulties 11 in CDF construction in dry regions are related to the small number of days with 12 precipitation, imposing a practical challenge to this method. When the above statistical 13 deficiencies and operational limitations are avoided, the method should be 14 computationally realistic and feasible for real-time implementation.

15

17

16 **3. Background review**

In the 2004 implementation (Zhu and Toth 2004) the calibration system was designed to apply a bias correction globally to all 00 UTC forecasts, including high and low resolution control forecasts and all ensemble member forecasts for 24 hour amounts at 2.5 degree resolution. The operational NCEP GFS/GEFS forecast system runs four times per day (00, 06, 12 and 18 UTC) and produces 1 degree global ensemble precipitation forecast products for 6-hour accumulations. It contains twenty-two ensemble members - a high resolution GFS run, low resolution GEFS control run and ten pairs of perturbed runs using the ET method (Wei et al. 2006, 2008). Once generated,
precipitation forecasts from the 00 UTC cycle only are processed into 24 hour
accumulations and aggregated to 2.5 degree resolution prior to bias correction. Technical
information about NCEP's latest GEFS ensemble forecast system is available online (Zhu
et al. 2012)

6 Bias assessment is approached separately for the GFS high resolution and 7 ensemble control (low resolution) forecasts at each lead time to save computational time. 8 Data are sampled from prior forecasts and observations with a 30-day average of the 9 whole CONUS domain as the cold start sampling. Later, the corresponding decaying 10 weight used is 1/30. The observations with 24 hour accumulations come from the US 11 RFC rain gauge network with about 10,000 observation station reports after re-gridding 12 to the common 2.5 degree model grid. A set of thresholds of 0.2, 2.0, 5.0, 10.0, 15.0, 13 25.0, 35.0, 50.0, 75.0 mm/day were carefully selected for the 24 hour accumulation 14 amount to ensure no failure of interpolation in the calibration procedure, as detailed in 15 Section 3. The bias assessment based on CONUS CDF may be applied to the global 16 domain, when assuming that the bias information over CONUS is much the same as over 17 other parts of the globe, which may not be an optimum application. This application can 18 be improved when global precipitation observations become available in real time. The 19 calibration system runs once daily at the 00 UTC cycle and typically the daily runs are 20 completed within a minute in real time on a supercomputer.

The evaluation period for this implementation was chosen to be 1 Dec. 2000 - 28Feb. 2001. Comparisons of the calibrated forecast against the raw forecast in terms of some scores were made and are shown in Figure 4. Figure 4(a) presents equitable threat

1 scores (ETS) and bias scores at the 2.0mm threshold for each forecast lead time. Figure 2 4(b) provides the 36-60 hour reliability diagram at the 2.5mm threshold, validated for all 3 grid points in the CONUS. The calibrated forecast shows a remarkably improved bias 4 score over CONUS at all thresholds. Not only is the bias reduced, the post-processing 5 through frequency matching helped increase the probabilistic forecast skill, such as with 6 the Brier score (not shown). There was a much reduced PQPF (mean) bias in the 7 calibrated forecasts, indicating a dramatic improvement in reliability relative to the raw 8 forecasts. The reliability curve approaches the diagonal line, which indicates that the 9 biases in POPF were removed to some degree. The Brier score was also improved 10 dramatically at all lead times. In general, these calibrated forecasts were much more 11 skillful than the raw forecasts at all lead times.

12 13

14

4. Applications and evaluations

In this section, we expand on earlier work to upgrade the calibration system and make it capable of bias correction at higher temporal and spatial resolutions. More specifically, we use the current application at 1 degree resolution with 6 hour accumulations. This section describes how the higher resolution precipitation forecasts are calibrated so that their cumulative frequency distribution matches that of the observations.

The operational NCEP GFS/GEFS 6-hourly precipitation forecast (up to a 384 hour lead time) has a spatial resolution of one degree latitude and longitude and runs up to real time. There is a high resolution GFS run, a low resolution GEFS control run and 20 ensemble members for each forecast. Unlike in the 2004 implementation, here all 6hourly one-degree forecasts for the four cycles are directly bias corrected with respect to

1 the gridded precipitation analysis CCPA at the same resolution as the forecasts. To be 2 more realistic and better capture regional climate regimes, 12 RFC CDFs are derived for 3 each lead time to construct cumulative frequency distributions. For each category of the 9 4 thresholds (0.2, 1, 2, 3.2, 5, 7, 10, 15, 25 mm/6hr), a CDF is calculated as the number of 5 grid points over each RFC where the forecasts or observed precipitation amounts are 6 greater than the threshold. Again, to reduce the computational burden, we only derive one 7 set of CDFs from the high resolution GFS run and another set of CDFs from the low 8 resolution GEFS control run. Then the latter set of CDFs is applied to the 20 ensemble 9 members since all of them are low resolution forecasts from the same forecast model, 10 resulting in 2 rather than 22 sets of CDFs per lead time per threshold per RFC region. In 11 each bias correction run, there are a total 1536 forecast-observation CDF pairs for 64 12 forecast lead times for the low high resolution runs and 768 pairs for 30 forecast lead 13 times for the high resolution runs, summed for a total of 9 thresholds and 12 RFC 14 regions. Because there is no high quality global precipitation analysis available, the CDF 15 of CONUS (is sum of 12 CDFs for all the RFCs) is using for a grid point outside of 16 CONUS, therefore the quality of precipitation calibration for these areas is limited. Bias 17 information is sampled with an approximate 50-80 day moving time window, and thus 18 the decaying weight selected is 0.02. The bias correction is applied four times per day to 19 each 6-hourly forecast at each grid point globally and to each forecast lead time 20 independently.

The operational forecasts initialized daily at 00 UTC from 1 March 2009 through Rebruary 2010 will be assessed. These forecasts produced with the same modeling suite were used to produce the calibrated forecasts. Both sets of forecasts will be

examined out to 384 hours with precipitation accumulation output available every 6 hours. Although the method we developed can apply to global forecasts, in this study our evaluation domain is the CONUS, which allows evaluations of this method using the one degree CCPA dataset. The evaluation focuses on the biases and skill levels of the calibrated ensemble precipitation forecasts with respect to raw forecasts. We analyze several examples and present some verification statistics. The verification statistics will be stratified by either lead time or threshold.

8 Figure 5 shows one application of this calibration for the high resolution GFS 9 forecast for selected forecast lead times (78-hr, 84-hr, 90-hr and 96-hr). The comparison 10 is of 6 hourly accumulated precipitation (mm) initialized at 00UTC 24 January 2010 for 11 the raw GFS forecast (left), calibrated forecast (middle) and observation (CCPA, right). 12 Apparently the GFS over-forecasted for the CONUS in general, and the calibrated 13 forecast reduced the forecast amount accordingly. Figure 6 shows the ensemble PQPF 14 (same time period) for the 0.254mm/6 hours threshold where raw ensemble PQPF is on 15 the left, calibrated PQPF (CPQPF) is in the middle and the observation is on the right. 16 The forecast area of the PQPF is reduced; the quantity (value) of PQPF is smaller in the 17 calibrated PQPF, which matches better with the observations.

To demonstrate the benefits from this calibration, several different scores have been presented for the seasonal and yearly averages. The bias scores and ETS for CONUS for the period of 1 December 2009 - 28 February 2010 are shown in Figure 7 and Figure 8. Figure 7 (a) is for the 0-6 hour forecast bias of the different thresholds, and Figure 7 (b) shows forecast lead times out to 180 hours for greater than 0.2mm/6 hours. The numbers above the thresholds in Figure 7 (a) indicate the sample size of the one by

one degree forecast box we have verified. Overall, the bias is reduced and ETS is increased in the calibrated forecasts for both the GFS and GEFS control for all lead times, and the improvement of ETS tends especially to be more effective for shorter lead times. Similar improvements in bias scores and ETS are also observed for the RFC regions, such as the MBRFC and NERFC shown in Figure 9 and Figure 10, respectively, although they exhibit slightly larger diurnal variability.

7 The RMSE (root mean square error) and ABSE (absolute error) of CONUS for 8 the period of 1 March 2009 – 28 February 2010 (one year) for every 6-hr accumulated 9 precipitation forecast are shown in Figures 11 and 12. Figure 11 is for the GFS forecast 10 and Figure 12 is for the GEFS control forecast. Based on this year of statistics, RMSE is 11 reduced significantly for the GFS, but not for the (lower resolution) GEFS control. This 12 difference might be related to the model resolutions and model versions (the operational 13 GFS model version is slightly different from GEFS for this period due to different 14 implementation times). In particular, the higher resolution model produces larger errors 15 compared to the lower resolution model due to resolution and forecast sharpness (Figure 16 11 and Figure 12). It may be better to separately verify the forecast intensity and pattern 17 (or position). The results could be different if different verification methods are applied, 18 such as MODE (the Method for Object-Based Diagnostic Evaluation; Davis et al. 2006a, 19 2006b). However, the RMSEs are very similar after calibration for both the higher and 20 lower resolution model forecasts. Meanwhile, for this one year of statistics, ABSE is 21 reduced for both the GFS and GEFS control at all lead times.

For the ensemble forecast, RMSE and ABSE of the ensemble mean, ensemble spread and CRPS (Continuous Ranked Probability Score; Zhu and Toth 2008) have been

1 calculated for the period of 1 March 2009 - 28 February 2010 and displayed in Figure 13. 2 This is one year verification against CCPA for every 24-hr accumulated precipitation 3 forecast. The results indicate that 1) the RMSE is marginally reduced (similar to the 4 ensemble control in Figure 11) and the ABSE for ensemble mean is significantly 5 reduced; 2) CRPS is improved; and 3) ensemble spread is increased for longer lead time 6 forecasts. The improved spread and CRPS could be explained as a by-product of the 7 frequency matching method. The algorithm not only matches the precipitation frequency 8 (reducing the bias), but also adjusts the amount of precipitation forecasted by each 9 ensemble member (adjusting the distribution). A comparison of the Brier scores between 10 raw and calibrated forecasts is also shown in Figure 14. The Brier score is negatively 11 oriented, which means the smaller the score value the better the results. As expected, the 12 score is reduced after bias correction (dotted curves) for all lead times.

13

5. Conclusions and future plans

14 The frequency matching method is developed and applied to the NCEP QPF and 15 PQPF forecasts for the first precipitation calibration since 2004. The latest version will be implemented in 2013 for finer temporal (every 6 hours out to 16 days) and spatial (1*1 16 17 degree) resolutions. The prior CDFs of the forecast and observation can be easily 18 generated from the GFS/GEFS precipitation forecast and CCPA through applying the 19 Kalman filter method (or decaying average). The performance of this method has been 20 investigated with respect to one year of operational GEFS precipitation products. Results 21 show that model bias has been effectively reduced and some skill scores have been 22 improved in the calibrated forecasts. The good performance of the bias correction is 23 obviously due to the fact that it can dynamically catch systematic model biases in most

1 cases. Another attractive advantage of this method is that it saves a significant amount of 2 both computer and human resources. Unlike other statistical post-processing methods, it 3 is not heavily reliant on a huge amount of data for model bias training, so it takes up 4 much less disk space on the computer systems and is able to update the model bias when 5 a model is upgraded as well.

6 One important issue is the validity of the frequency matching method. The 7 method used in this study is based on a certain knowledge of model bias information 8 drawn from past verification statistics. Remember that as mentioned in Section 2, this 9 method is not perfect as it is unable to make adjustments to areas that have no 10 precipitation; therefore, this kind of dry bias can never be removed, though this is also the 11 case with other traditional precipitation bias correction methods. Generally, model 12 forecasts include two kinds of errors, intensity error and pattern errors. This method 13 appears to have a positive impact on intensity error dominated cases. However, it has a 14 neutral or negative impact on pattern error dominated cases (Figure 12), causing a poorer 15 sampling of bias information. In this case bias is reduced at the expense of an increase in 16 random error. Further investigation is needed to fully understand the performance of this 17 method and to determine where and when it has a significantly positive impact and the 18 usefulness of the calibrated products.

In this study the decaying average weight is constantly selected as 0.02 (except for the 2000-2001 application) for all lead times. Actually the decaying average weights really depend on experiments which could range from 0.01 to 0.5. In general, the weight is varied for different forecast lead times; a larger weight is good for short lead times which can catch up quick moving systems and a smaller weight is more favorable for

long lead time forecasts (not shown). Therefore, choosing an optimum weight for each lead time could be a constructive way to improve the calibration system in the future. Meanwhile, the weight is varied for geographical locations and seasons. There are two improvements we are expecting to validate through future study. One is an optimum weight, which will need large samples for experiments. The weights should be a function of lead time, location and season. The second is a down-scaling process to produce a much finer resolution forecast (5km and 2.5km resolutions).

8

9 Acknowledgements:

10 The authors thank Drs. Bo Cui and Dingchen Hou and the other members of ensemble 11 and post processing team at EMC/NCEP for helpful suggestions during this work. The 12 authors also thank Mr. Binbin Zhou for useful comments. The first author gratefully 13 acknowledges Drs. Zoltan Toth and Stephen Lord for their long time support of this 14 calibration work. We also thank Ms. Mary Hart (NCEP) and Dr. Glenn White for editing 15 our English.

16 **References:**

17

18	Cui, B., Z.	Toth, Y.	Zhu and D	. Hou, 2	2012: Bias	Correction	for Globa	l Ensemble
----	-------------	----------	-----------	----------	------------	------------	-----------	------------

- 19 Forecast. *Weather and Forecasting* Vol. 27 396-410
- Cui, B., Y. Zhu, Z. Toth and D. Hou, 2013: Statistical Post Process for NAEFS. Summited
 to *Weather and Forecasting*.
- 22 Davis, C.A., B.G. Brown, and R.G. Bullock, 2006a: Object-based verification of
- 23 precipitation forecasts, Part I: Methodology and application to mesoscale rain
- areas. *Monthly Weather Review*, 134, 1772-1784.

1	Davis, C.A., B.G. Brown, and R.G. Bullock, 2006b: Object-based verification of			
2	precipitation forecasts, Part II: Application to convective rain systems. Monthly			
3	Weather Review, 134, 1785-1795.			
4	Demargne, J., L. Wu, S. Regonda, J. Brown, H. Lee M. He, D-J Seo, R. Hartman, M.			
5	Fresch, and Y. Zhu, 2013: The Science of NOAA's Operational Hydrologic			
6	Ensemble Forecast Service. Submitted to Bulletin of American Meteorological			
7	Society.			
8	Eckel, F. A., and M. K. Walters, 1998: Calibrated Probabilistic Quantitative Precipitation			
9	Forecasts Based on the MRF Ensemble, Weather and Forecasting, 13, 1132-1147			
10	Fundel, F., A. Walser, M. A. Liniger, C. Frei, and C. Appenzeller, 2009: Calibrated			
11	Precipitation Forecasts for a Limited-Area Ensemble Forecast System Using			
12	Reforecasts, Monthly Weather Review, 138, 176-189			
13	Hamill, T. M., and J. S. Whitaker, 2006: Probabilistic quantitative precipitation forecasts			
14	based on reforecast analogs: theory and application, <i>Monthly Weather Review</i> , 134,			
15	3209-3229			
16	Hamill, T. M., R. Hagedorn, and J. S. Whitaker, 2008: Probabilistic Forecast Calibration			
17	Using ECMWF and GFS Ensemble Reforecasts. Part II: Precipitation, Monthly			
18	Weather Review, 136, 2620-2632			
19	Hou, D., M. Charles, Y. Luo, Z. Toth, Y. Zhu, R. Krzysztofowicz, Y. Lin, P. Xie, D. J.			
20	Seo, M. Pena and B. Cui, 2013: Climatology-Calibrated Precipitation Analysis at			
21	Fine Scales: Statistical Adjustment of STAGE IV towards CPC Gauge-Based			
22	Analysis, Journal of Hydrometeorology (in press).			

1	Krzysztofowicz, R. and A. A. Sigrest, 1999: Calibration of Probabilistic Quantitative			
2	Precipitation Forecasts, Weather and Forecasting, 14, 427-442			
3	Lin, Y., and K. E. Mitchell, 2005: The NCEP Stage II/IV Hourly Precipitation Analyses:			
4	Development and Applications. Preprints, 19th Conf. on Hydrology, San Diego,			
5	CA, Amer. Meteor. Soc., 1.2. [Available online at			
6	http://ams.confex.com/ams/pdfpapers/83847.pdf]			
7	Christopher, C. P., A. T. Ferro, I. T. Jolliffe, and D. B. Stephenson, 2008: Calibration of			
8	Probabilistic Forecasts of Binary Events, Monthly Weather Review, 137 1142-1149			
9	Voisin N., J. C. Schaake, and D. P. Lettenmaier, 2010: Calibration and Downscaling			
10	Methods for Quantitative Ensemble Precipitation Forecasts. Weather and			
11	Forecasting, 25, 1603-1627.			
12	Yuan, H., X. Gao, S. L. Mullen, S. Sorooshian, J. Du, and H. H. Juang, 2007: Calibration			
13	of Probabilistic Quantitative Precipitation Forecasts with an Artificial Neural			
14	Network. Weather and Forecasting, 22, 1287-1303.			
15	Xie P, M. Chen M and W. Shi, 2013: CPC unified gauge analysis of global daily			
16	precipitation. (to be submitted)			
17	Wei, M., Z. Toth, R. Wobus, and Y. Zhu, C. H. Bishop, X. Wang, 2006: Ensemble			
18	Transform Kalman Filter-based ensemble perturbations in an operational global			
19	prediction system at NCEP. Tellus 58A, 28-44			
20	Wei, M., Z. Toth, R. Wobus, and Y. Zhu, 2008: Initial Perturbations Based on the			
21	Ensemble Transform (ET) Technique in the NCEP Global Operational Forecast			
22	System. Tellus 59A, 62-79			

1	Zhu, Y., Z. Toth, E. Kalnay, and S. Tracton, 1998: Probabilistic Quantitative Precipitation
2	Forecasts based on the NCEP Global Ensemble. Preprints, 12th Conf. on
3	Numerical Weather Prediction, Phoenix, AZ, Amer. Meteor. Soc., 286–289.
4	Zhu, Y. and Z. Toth, 1999: Calibration of Probabilistic Quantitative Precipitation
5	Forecast, Preprints of the 17th AMS Conference on Weather Analysis and
6	Forecasting 13-17 September 1999, Denver, CO, Amer. Meteor. Soc.
7	Zhu, Y. and Z. Toth, 2004: May 2004 Implementation of a QPF Bias-Correction
8	Algorithm, [Available online at:
9	http://www.emc.ncep.noaa.gov/gmb/ens/ens_imp_news.html]
10	Zhu, Y., 2005: Ensemble forecast: A New Approach to Uncertainty and Predictability,
11	Advance in Atmospheric Sciences, Vol. 22, No. 6, 781-788
12	Zhu, Y. and Z. Toth, 2008: Ensemble based Probabilistic Forecast Verification, Preprints,
13	19th Conference on Predictability and Statistics, 20-24 January 2008, New
14	Orleans, Louisiana, Amer. Meteor. Soc.
15	Zhu, Y., D. Hou, M. Wei, R. Wobus, J. Ma, B. Cui and S. Moorthi, 2012: [Available
16	online at: <u>http://www.emc.ncep.noaa.gov/gmb/yzhu/html/imp/201109_imp.html</u>]
17	
18	
19	
20	
21	
22	
23	

index	RFC	count
1	CNRFC	67
2	CBRFC	83
3	MBRFC	152
4	ABRFC	56
5	WGRFC	75
6	NCRFC	105
7	LMRFC	51
8	OHRFC	47
9	NERFC	31
10	MARFC	21
11	SERFC	61
12	NWRFC	95
Total	CONUS	844

4 Table 1. Total grid point counts of CONUS and each RFC at 1 degree spacing.



Figure 1. Decaying averaged weight as a function of preceding days (weighting function for decaying average of preceding days). The dashed curve denotes a weight beginning with a maximum value of 0.01 at day 0. The solid curve denotes a weight beginning with a maximum value of 0.02 and the dotted curve denotes a weight beginning with a maximum value of 0.03 at day 0. All curves gradually approach zero depending how far away the preceding days are from day 0. The larger the weight at day 0 the faster the decaying speed, which indicates greater weight on the most recent data and less on the oldest data.



Figure 2. The domains of the twelve River Forecast Centers (RFC). Note that the CCPA analysis covers the twelve RFCs over the Contiguous United States (CONUS).



2 Figure 3. Schematic of the frequency matching algorithm demonstrated as precipitation

3 distributions normalized by observation frequency varying with threshold. The dashed

4 line is for observed precipitation and the solid line is for forecast precipitation. See text5 for details.

- 5 10
- 6
- 7

8



4 (a)



1

Figure 4. Examples from the 2004 implementation. Results were selected for the period
of 1 December 2000 - 28 Feburary 2001. (a) Averaged Equitable Threat Score (ETS) and
bias scores of the raw GFS (mrf) and GEFS control (ctl) forecasts and their calibrated
forecasts at a threshold of 2.0mm/day. (b) Reliability of the 2.5mm/day GEFS raw (ens,
red) and calibrated (ens_br, blue) forecasts at 36-60 hour lead time. The inset histogram
denotes the frequency of forecast usage of each probability bin.



NCEP/GFS Quantitative Precipitation Forecast (QPF) Ini: 2010012400

Figure 5. Comparisons of 6 hourly accumulated precipitation (mm) initialized at 0000
UTC 24 January 2010 from the raw GFS forecasts (left column) and calibrated forecasts
(middle column) against the CCPA product (right column) that are valid at corresponding
time periods.



Ens Prob of Precip Amount Exceeding 0.01 inch (0.254 mm/6hrs) Ini: 2010012400

Figure 6. GEFS probabilities (left), calibrated probabilities (middle) of the 6-hr
precipitation amount exceeding 0.01 inch initialized at 00 UTC 24 January 2010, and
CCPA precipitation estimates (right) for 6-h precipitation that are valid at the
corresponding time periods.







Figure 7. Bias scores of raw forecasts (GFS-black; GEFS/CTL-green) and calibrated

- forecasts (GFS-red; GEFS/CTL-blue) with increasing lead times for 6-h precipitation
- averaged between 1 December 2009 and 28 February 2010 (a) as a function of threshold

1 and (b) at a 0.2mm threshold. The numbers in the plot above x-axis are total number of

2 cases verified.



48 60 72 84 96 108 120 132 144 156 168 180 Leading forecast (hrs)



Figure 9. Comparison of raw forecasts (GFS-black; GEFS/CTL-green) and calibrated

- forecasts (GFS-red; GEFS/CTL-blue) with increasing lead times for 6-h precipitation
- averaged between 1 December 2009 and 28 February 2010 and analyzed for the MBRFC
- region for (a) Equitable Threat Score and (b) Bias score at a 0.2mm threshold.





5 Figure 11. RMSE with increasing lead times for 6-h precipitation from the GFS high

- 6 resolution raw forecasts (black) and calibrated forecasts (red); and ABSE with increasing
- 7 lead times for 6-h precipitation from the GFS raw forecasts (green) and calibrated
- 8 forecasts (blue).



2 3 4 5 6 7 8



11 Figure 14. The Brier score at a 0.2mm threshold with increasing lead times for 24-h

12 precipitation from the GEFS ensemble mean raw forecast (solid) and calibrated forecasts

13 (dotted).