
1 **Evaluation of TIGGE ensemble predictions of Northern Hemisphere**
2 **summer precipitation during 2008-2012**

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12 **Abstract**

13 The ensemble mean quantitative precipitation forecasts (QPFs) and probabilistic QPFs
14 (PQPFs) from six operational global ensemble prediction systems (EPSs) in The Observing
15 System Research and Predictability Experiment (THORPEX) Interactive Grand Global
16 Ensemble (TIGGE) dataset are evaluated against the Tropical Rainfall Measuring Mission
17 (TRMM) observations using a series of area-weighted verification metrics during June to
18 August 2008-2012 in the Northern Hemisphere (NH) midlatitudes and tropics. Results
19 indicate that generally the European Centre for Medium-Range Weather Forecasts (ECMWF)
20 performs best while the Canadian Meteorological Centre (CMC) is relatively good for
21 short-range QPFs and PQPFs at light precipitation thresholds. The overall forecast skill is
22 better in the NH midlatitudes than that in the NH tropics. QPFs and PQPFs from China
23 Meteorological Administration (CMA) have very little discrimination ability of different
24 observed rain events in the NH tropics. The day +1 QPFs from Japan Meteorological
25 Administration (JMA) have remarkably large moist biases in the NH tropics, which leads to
26 the discontinuity of forecast performance with the lead time.

27 Performance changes due to the major model upgrades during the five summers are also
28 examined using the forecasts from CMA as the reference to eliminate the interannual variation.
29 After the model upgrade, the excessively enlarged ensemble spread of CMC increases the
30 forecast errors, while the QPFs and PQPFs from the US National Centers for Environmental
31 Prediction (NCEP) are significantly improved in various verification metrics.

32

33 **Keywords:** TIGGE, quantitative precipitation forecast (QPF), probabilistic quantitative
34 precipitation forecast (PQPF), Ensemble Prediction System (EPS), verification

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36 **1. Introduction**

37 Quantitative precipitation forecasts (QPFs) are of vital importance in preventing and
38 mitigating natural disasters [Fritsch *et al.*, 1998]. Precipitation, a diagnosed variable in
39 numerical weather predictions, is extremely difficult to forecast because the related subgrid
40 physical processes, such as cumulus convective, microphysical, and land surface processes,
41 are hard to be parameterized accurately. Because of the existing large uncertainties in QPFs, it
42 is necessary to employ the ensemble approach to deal with the uncertainty problems.
43 Ensemble prediction systems (EPSs) can give a representation of forecast uncertainties
44 through initial perturbations and model perturbations, and can be used to generate
45 probabilistic QPFs (PQPFs), which are widely used in meteorological and hydrological risk
46 management.

47 As a major component of The Observing System Research and Predictability Experiment
48 (THORPEX), the THORPEX Interactive Grand Global Ensemble (TIGGE) [Bougeault *et al.*,
49 2010] makes it possible for research on the operational global ensemble precipitation
50 forecasts. TIGGE started at a workshop in 2005, with the objectives to enhance worldwide
51 collaboration on improving the accuracy of 1-day to 2-week high-impact weather forecasts
52 and advancing the research of ensemble forecasting [Richardson *et al.*, 2005].

53 Case studies on TIGGE precipitation forecasts have been carried out extensively in heavy
54 rain events and hydrological flood warnings. Pappenberger *et al.* [2008] used TIGGE data as
55 meteorological input to the European Flood Alert System for studying a flood event in
56 Romania in October 2007 and found that awareness of the flood could have been raised as
57 early as 8 days in advance. He *et al.* [2009] applied a coupled
58 atmospheric-hydrologic-hydraulic cascade system driven by the TIGGE data to investigate a
59 flood warning case on a meso-scale catchment in the Midlands regions of England and found

60 that the precipitation uncertainties dominate and propagate through the cascade chain.
61 Similarly, another case study in the Upper Huai catchment during July to September 2008
62 showed a reliable warning of flood as early as 10 days in advance [He *et al.*, 2010].
63 Schumacher and Davis [2010] examined the skill of the European Centre for Medium-Range
64 Weather Forecasts (ECMWF) EPS in nine heavy rainfall events over 5-day periods in the
65 central and eastern United States during 2007-2008, including three cool-season cases, three
66 warm-season cases, and three tropical cyclone cases. Wiegand *et al.* [2011] studied a heavy
67 precipitation event at the Alpine south side and Saharan Dust over Central Europe through the
68 investigation of the forecast quality and predictability of synoptic and meso-scale aspects and
69 found that ensemble-mean multimodel QPFs can be accurate enough to forecast day 4 for a
70 successful severe-weather warning.

71 There are several studies of regional cases on TIGGE precipitation forecasts.
72 Krishnamurti *et al.* [2009] concluded that the multimodel superensemble has higher skill than
73 the best single model, by investigating the TIGGE precipitation forecasts over China monsoon
74 region with deterministic verification metrics. Hamill [2012] compared the PQPFs from four
75 TIGGE centers with Climatology-Calibrated Precipitation Analysis (CCPA) data over the
76 contiguous United States during July to October 2010, focusing on the TIGGE multimodel
77 and ECMWF reforecast-calibrated PQPFs. His study showed that PQPFs from the Canadian
78 Meteorological Centre (CMC) are most reliable but least sharp, while those from the US
79 National Centers for Environmental Prediction (NCEP) and the United Kingdom
80 Meteorological Office (UKMO) are least reliable but sharper.

81 However, systematic studies on TIGGE precipitation forecasts are quite few. Thus, a more
82 comprehensive study is needed to reveal detailed properties of QPFs and PQPFs from
83 different centers. For example, the quality of reliability and resolution may provide the useful

84 information about the potential of post-processing to improve precipitation forecasts in the
85 EPS. This study not only uses various verification metrics, but also considers area-weighted
86 forecast scores, aiming to provide overall performance of QPFs and PQPFs. Owing to the
87 availability of global EPSs, the model's ability to simulate heavy rainfall in important areas,
88 such as the Inter Tropical Convergence Zone (ITCZ), can be evaluated with a global view.
89 Fortunately, the global quantitative precipitation estimate (QPE) products, such as the
90 Tropical Rainfall Measuring Mission (TRMM) products [*Huffman et al.*, 2007], make the
91 investigation possible. Since the EPSs have been upgraded from time to time, the benefit of
92 the EPS upgrade is not easily to be assessed by the forecast performance, which is sensitive to
93 the validation period and interannual variation. It is of great interest to quantitatively analyze
94 the improvements of QPFs and PQPFs after the model upgrade.

95 This study focuses on the 24-h accumulated ensemble mean QPFs and PQPFs generated
96 from individual TIGGE centers in the Northern Hemisphere (NH) midlatitudes and tropics, to
97 obtain a comprehensive understanding and summary of the precipitation forecast properties of
98 six selected operational global EPSs during the recent five-year (2008-2012) summers (June
99 to August, JJA). The overall 5-summer forecast performance of the EPSs is evaluated,
100 including the discrimination ability of rain events, which can indicate the possible
101 improvement of the EPSs through post-processing, and the potential use in economic
102 decision-making for the EPSs. In addition, performance changes before and after major model
103 upgrades are assessed referenced to the China Meteorological Administration (CMA) EPS,
104 which has not been upgraded and can be used to eliminate the impact of the interannual
105 variability on the verification scores.

106 Section 2 provides an overview of the TIGGE EPSs, while Section 3 describes the
107 datasets and verification methods. Section 4 demonstrates the results with summary and

108 discussions followed in Section 5.

109 **2. Overview of the TIGGE EPSs**

110 Ten operational forecast centers participate in the TIGGE program, including the Bureau
111 of Meteorology of Australia (BoM), CMA, CMC, the Centro de Previsão de Tempo e Estudos
112 Climáticos of Brazil (CPTEC), ECMWF, the Japan Meteorological Administration (JMA), the
113 Korea Meteorological Administration (KMA), the National Meteorological Service of France
114 (Météo-France), NCEP and UKMO. One can access to the TIGGE data about a delay of 48 h
115 through three data portals: the ECMWF portal (<http://tigge-portal.ecmwf.int/>), the CMA
116 portal (<http://bridge.cma.gov.cn:8080/tigge/index.jsp>), and the US National Center for
117 Atmospheric Research (NCAR) portal (<http://tigge.ucar.edu/>).

118 Six centers are selected in this study: CMA, CMC, ECMWF, UKMO, NCEP and JMA.
119 Four other centers (BoM, CPTEC, Météo-France and KMA) are not included in this
120 investigation for various reasons. BoM stopped providing data to TIGGE on 20 July 2010.
121 CPTEC is a center located in the Southern Hemisphere and its initial perturbations are not
122 performed in the NH midlatitudes. Météo-France only provides short-range ensemble
123 forecasts with 1-3 (1-4.5) day lead times for the 0600 (1800) UTC cycle. For KMA,
124 precipitation fields have not been added to its EPS until 18 December 2009. For the readers'
125 convenience, the main configurations and important upgrades of the six EPSs during
126 2008-2012 are briefed in Table 1.

127 CMA uses bred vectors (BVs) [*Toth and Kalnay, 1997*] for the T213 global model
128 ($\sim 0.5625^\circ$) [*Wang et al., 2008*] as the initial perturbations to construct the EPS and no model
129 uncertainties have been taken into account. Since no model upgrade has been performed,
130 QPFs and PQPFs from the CMA EPS are chosen to be the benchmark of fluctuated forecast
131 skill due to interannual variability, which makes it possible to investigate the performance

132 changes due to model upgrades in other five EPSs.

133 The CMC EPS uses Ensemble Kalman Filter (EnKF) [Houtekamer *et al.*, 2009] to
134 generate initial perturbations. To represent model uncertainties, multi-physics schemes (such
135 as different deep convections, surface schemes, mixing lengths, vertical diffusions and gravity
136 wave drags) as well as two stochastic parameterization schemes, *i.e.*, Perturbations of Physics
137 Tendencies (PTP) and Stochastic Kinetic Energy Backscatter (SKEB) [Gagnon *et al.*, 2011]
138 are adopted. On 17 August 2011, the CMC EPS has been upgraded to version 2.0.2 with the
139 finer model horizontal grid spacing of 66 km changing from about 100 km. However, the
140 horizontal resolution of the output data archived in the TIGGE portal remains unchanged.

141 The ECMWF EPS used the evolved and the initial-time singular vectors (EVO-SVINI)
142 [Leutbecher, 2005] as its initial perturbations before 24 June 2010, and since then has been
143 upgraded to the ensemble of data assimilation and the initial-time singular vectors
144 (EDA-SVINI) [Buizza *et al.*, 2008; Buizza *et al.*, 2010]. The Stochastic Perturbation of
145 Physics Tendency (SPPT) [Buizza *et al.*, 1999b] has been applied to account for model
146 uncertainties. The Spectral Stochastic Backscatter Scheme (SPBS) [Berner *et al.*, 2009] was
147 also introduced into the ECMWF EPS to simulate upscale-propagating errors caused by
148 unresolved subgrid-scale processes on 9 November 2010. Actually, the ECMWF EPS has
149 been upgraded frequently, for example, the upgrade on 26 January 2010 (Table 1, more details
150 can refer to http://www.ecmwf.int/products/data/operational_system/evolution/index.html).
151 For simplicity, only the major upgrade time on November 2010 has been assessed.

152 The JMA EPS uses the singular vectors (SVs) to create initial perturbations. Dry SVs are
153 targeted for the NH extratropics (30°N-90°N) while moist SVs are targeted for the tropics
154 (20°S-30°N) [Yamaguchi and Majumdar, 2010]. Since 17 December 2010, the SPPT method
155 has been applied to account for model uncertainties, with simplified-physics in the NH

156 extratropics and full-physics (also add gravity wave drag, long-wave radiation, clouds and
157 large scale convection and cumulus convection) in the tropics [Sakai *et al.*, 2008]. The model
158 horizontal resolution is about 0.56° , while the archived output data is on $1.25^\circ \times 1.25^\circ$ grids
159 (see http://tigge.ecmwf.int/metadata/TIGGE_metadata_v5_JMA.xls).

160 The NCEP EPS uses the bred vector - ensemble transform with rescaling (BV-ETR) [Wei
161 *et al.*, 2008] to generate initial perturbations. Since 23 February 2010, the Stochastic Total
162 Tendency Perturbation (STTP) scheme [Hou *et al.*, 2006; Hou *et al.*, 2008; Hou *et al.*, 2010]
163 has been introduced into the NCEP EPS to account for model uncertainties, and the model
164 horizontal resolution has been upgraded from T126 (~110 km) to T190 (~70 km)
165 (http://www.emc.ncep.noaa.gov/gmb/ens/ens_imp_news.html). The output data archived in
166 the TIGGE portal remains unchanged. On 14 February 2012, a major upgrade time, the NCEP
167 EPS has been advanced to version 9.0, including the improved BV-ETR initialization and
168 STTP schemes, the upgraded horizontal resolution of T254 (~55 km) for 1-8 day forecasts
169 (9-16 day forecasts remain T190) and the add of sunshine duration for TIGGE data exchange
170 (http://www.emc.ncep.noaa.gov/gmb/yzhu/imp/i201109/GEFS_Science_20120208.pdf).

171 The UKMO EPS uses the Ensemble Transform Kalman Filter (ETKF) [Bishop *et al.*, 2001;
172 Bowler *et al.*, 2008] as the initial perturbation strategy. Random Parameters (RP) and
173 Stochastic Kinetic Energy Backscatter (SKEB) schemes are used to represent model
174 uncertainties (http://tigge.ecmwf.int/metadata/EGRR_TIGGE_metadata_v14.xls). The version
175 of the UKMO EPS has been changed several times during 2008-2012. On 9 March 2010 (a
176 major upgrade time), the UKMO EPS has been upgraded to version 8 and its horizontal
177 resolution has been improved from $1.25^\circ \times 0.83^\circ$ to $0.83^\circ \times 0.56^\circ$.

178 **3. Datasets and verification methods**

179 **3.1 Validation dataset**

180 The validation dataset is from the recently created Version 7 TRMM research product
181 3B42 (ftp://meso-a.gsfc.nasa.gov/pub/trmmdocs/3B42_3B43_doc.pdf). The dataset combines
182 multi-satellite microwave-IR estimates and is adjusted by quality-controlled gauges [*Huffman*
183 *et al.*, 2007]. The original dataset is 3-hourly and covers 50°S-50°N, 180°W-180°E, with a
184 horizontal resolution of 0.25°×0.25°. In order to compare with the TIGGE forecast data, it is
185 bilinearly interpolated in space and time to the 1.0°×1.0° daily (1200UTC-1200UTC)
186 precipitation data. The verification region is focused on the NH tropics (0°N-20°N) and NH
187 midlatitudes (20°N-49°N).

188 **3.2 Forecast dataset**

189 The original ensemble precipitation forecast data of CMA, CMC, ECMWF, UKMO,
190 NCEP and JMA are all converted onto the same 1.0°×1.0° grid before downloading, using the
191 bilinear interpolation software provided by the ECMWF data portal. Whole perturbed
192 members (without the control forecast) of each center are used to compute 24-h ensemble
193 mean QPFs and PQPFs. Only the +1- to +9-day forecasts initialized at 1200UTC are
194 examined due to the limit of the JMA forecast data. The time period of the verification covers
195 JJA 2008-2012 (1 June – 30 August, total 91×5=455 days). Several 1200 UTC cycles of the
196 NCEP forecast data are missing, including the dates of 08, 13, 16, 18, 20 and 25 August 2008.
197 Considering that replacing this small fraction of data will not influence the final results, the
198 missing NCEP forecast data are substituted with the nearest initial forecast cycles.

199 After processing the ensemble data (usually taking subtraction from the accumulated total
200 precipitation) to the 24-h accumulated precipitation forecasts, there are some negative values
201 for the five summers: a small portion (0.7%~2.4%) of negligible values (-0.1~0 mm day⁻¹)
202 due to numerical computation errors, and a very rare fraction of large values for the CMA
203 (0.01%, -0.9~-0.1 mm day⁻¹), NCEP (0.01%, -8.9~-0.1 mm day⁻¹) and CMC (0.01%,

204 -87.2~-0.1 mm day⁻¹) EPSs. For simplicity, all negative values of 24-h precipitation forecasts
 205 are set to zeros.

206 3.3 Verification methods

207 In this study, multiple deterministic and probabilistic verification methods are carried out
 208 to demonstrate different aspects of QPFs and PQPFs. Considering the large meridional span,
 209 an area-weighted average method is applied to the common verification scores [Jolliffe and
 210 Stephenson, 2003; Wilks, 2006; and references within].

211 The area-weighted root mean square error (RMSE) is calculated as

$$212 \quad RMSE = \sqrt{\frac{\sum_{i=1}^N w_i \cdot (x_i - y_i)^2}{\sum_{i=1}^N w_i}} \quad (1)$$

213 where x_i and y_i represent the i th forecast and observed values, w_i equals to the cosine latitude
 214 of the i th sample and N is the sample size (w has the same definition in other scores).
 215 Similarly, the Pearson correlation [Wilks, 2006] is modified to the spatial correlation (SC) to
 216 measure the similarity of two patterns:

$$217 \quad SC = \frac{\sum_{i=1}^N w_i \cdot (x_i - \bar{x}) \cdot (y_i - \bar{y})}{\sqrt{\sum_{i=1}^N w_i \cdot (x_i - \bar{x})^2} \cdot \sqrt{\sum_{i=1}^N w_i \cdot (y_i - \bar{y})^2}} \quad (2)$$

218 where \bar{x} and \bar{y} are the area-weighted averages of forecast and observed values:

$$219 \quad \bar{x} = \frac{\sum_{i=1}^N w_i \cdot x_i}{\sum_{i=1}^N w_i} \quad (3)$$

$$\bar{y} = \frac{\sum_{i=1}^N w_i \cdot y_i}{\sum_{i=1}^N w_i} \quad (4)$$

221 The discrimination diagram can be used to demonstrate the ability of the forecast system
 222 to discriminate different rain events. The corresponding forecast and observed rain events are
 223 denoted as X_j and Y_k ($j, k=1, 2, \dots, M$) for M rain events. One rain event Y_k corresponds to one
 224 discrimination curve. The forecast relative frequency $f(X_j|Y_k)$ conditioned on the observed k th
 225 rain event, is plotted against different forecast categories X_j and is calculated as:

$$f(X_j | Y_k) = \frac{\sum_{i=1}^N w_i \cdot A_j^i \cdot B_k^i}{\sum_{i=1}^N w_i \cdot B_k^i} \quad (5)$$

227 where $A_j^i=1$ if the j th event is forecasted for the i th sample or otherwise $A_j^i=0$, and B_k^i is
 228 similar but for the observed k th event. For a perfect forecast system, $f(X_k|Y_k)=1$ and
 229 $f(X_j|Y_k)|_{j \neq k}=0$.

230 Verification metrics for dichotomous forecasts including the bias score (frequency bias,
 231 Bias), the equitable threat score (ETS), the probability of detection (POD) and the false alarm
 232 ratio (FAR) are also calculated in the area-weighted form, based on the 2×2 contingency table
 233 [Jolliffe and Stephenson, 2003]. The contingency table is also area-weighted using all samples
 234 constructed by A_k^i and B_k^i (Equation 5).

235 For probabilistic forecasts, the forecast scores are calculated in a similar area-weighted
 236 form. First, the ensemble spread and the spread-skill relationship (spread vs. RMSE) are
 237 evaluated. Usually, the continuous ranked probability score (CRPS) and the continuous
 238 ranked probability skill score (CRPSS) are used as the summary scores for probabilistic
 239 forecasts, while the Brier Score (BS) [Brier, 1950] and the Brier skill score (BSS) are used for
 240 dichotomous probabilistic forecasts at a selected precipitation threshold. The CRPSS is

241 calculated based on the area-weighted averages of the CRPS and the referenced CRPS
 242 ($CRPS_{ref}$) that is generated using the cumulative distribution function (CDF) of the observed
 243 samples (*i.e.* sample climatology) on each grid point. Similarly, the BSS is calculated based
 244 on the area-weighted averages of the BS and the referenced BS (BS_{ref}) that is generated using
 245 the sample climatology frequency on each grid point. The CRPSSs and BSSs calculated in
 246 this study are usually much lower than that using the long-term climatology or the
 247 sample-weighted average method for distinct climatological regimes [Hamill and Juras,
 248 2006].

249 The BS can be decomposed into three components: reliability (REL), resolution (RES)
 250 and uncertainty (UNC) [Murphy, 1973]. As the sample climatology differs on grid points, the
 251 decomposition is performed on each grid point: $BS_s = REL_s - RES_s + UNC_s$ (s denotes the s th grid
 252 point). Each term is calculated as:

$$253 \quad BS_s = \frac{\sum_{k=1}^m \sum_{j=1}^{n_k^s} (p_k - o_{kj}^s)^2}{N_t} \quad (6)$$

$$254 \quad REL_s = \frac{\sum_{k=1}^m n_k^s \cdot (p_k - \bar{o}_k^s)^2}{N_t} \quad (7)$$

$$255 \quad RES_s = \frac{\sum_{k=1}^m n_k^s \cdot (\bar{o}_k^s - \bar{o}^s)^2}{N_t} \quad (8)$$

$$256 \quad UNC_s = \bar{o}^s \cdot (1 - \bar{o}^s) \quad (9)$$

257 where m denotes the number of forecast categories; when ensemble size is M , $m=M+1$ and the
 258 probability of the k th forecast category is $p_k=(k-1)/M$; n_k^s denotes the subsample size for the
 259 k th forecast category; N_t is the total sample size on each grid point ($N_t=n_1^s+n_2^s+\dots+n_m^s$, 455 in
 260 this study); on each grid for the j th sample, the observed frequency $o_{kj}^s=1$ if the event occurs

261 or otherwise $o_{kj}^s=0$, the conditional average observed frequency is
 262 $\bar{o}_k^s = (o_{k1}^s + o_{k2}^s + \dots + o_{kn_k}^s) / n_k^s$, and the sample climatology is
 263 $\bar{o}^s = (\bar{o}_1^s \cdot n_1^s + \bar{o}_2^s \cdot n_2^s + \dots + \bar{o}_m^s \cdot n_m^s) / N_t$. The overall scores: *BS*, *REL*, *RES* and *UNC*, can be
 264 derived from the area-weighted averages of all grid points:

$$\begin{aligned}
 & \frac{\sum_{s=1}^{N_s} w_s \cdot BS_s}{\sum_{s=1}^{N_s} w_s} = \frac{\sum_{s=1}^{N_s} w_s \cdot REL_s}{\sum_{s=1}^{N_s} w_s} - \frac{\sum_{s=1}^{N_s} w_s \cdot RES_s}{\sum_{s=1}^{N_s} w_s} + \frac{\sum_{s=1}^{N_s} w_s \cdot UNC_s}{\sum_{s=1}^{N_s} w_s} \quad (10) \\
 & \underbrace{\hspace{1.5cm}}_{BS} \quad \underbrace{\hspace{1.5cm}}_{REL} \quad \underbrace{\hspace{1.5cm}}_{RES} \quad \underbrace{\hspace{1.5cm}}_{UNC}
 \end{aligned}$$

266 where N_s denotes the number of grid points. As BS_{ref} equals to *UNC*, the overall BS can be
 267 expressed as $(RES-REL)/UNC$.

268 To further demonstrate the contribution of various forecast categories to the overall REL
 269 and RES, the reliability diagram (RD) is shown with the conditioned observed frequencies
 270 plotted against the forecast probabilities. The subsample frequencies shown on the RD, which
 271 is also called the sharpness graph, are also area weighted. Sharpness solely depends on the
 272 forecast, denoting the ability of the forecast system to predict extreme probabilities (0% and
 273 100%). Forecasts with more subsamples for extreme forecast probabilities are sharper. The
 274 forecast only based on climatology of observation is perfectly reliable (overlapping with the
 275 diagonal line), but it fails to produce enough extreme probabilities (not sharp) with poor
 276 discrimination ability (low RES). The REL term (*i.e.* the conditional bias) can be calibrated,
 277 while the RES term is difficult to be improved through post-processing. The forecast system
 278 only becomes perfect ($BSS=1$) when perfect REL ($REL=0$) and perfect RES ($RES=UNC$) are
 279 obtained at the same time.

280 Compared with the RD, which is conditioned on the forecasts, the Relative Operating
 281 Characteristic (ROC) measures the discrimination ability of probabilistic forecasts

282 conditioned on the observations. First, a set of probability thresholds are used to convert the
283 PQPFs into dichotomous predictands. Then the ROC curve is constructed by plotting the
284 corresponding PODs against false alarm rates (or probability of false detections, POFDs)
285 using the 2×2 area-weighted contingency table. The ROC curve overlapping with the diagonal
286 line indicates no discrimination ability of the occurred and non-occurred events in a forecast
287 system, *i.e.*, PODs are always equal to POFDs. Area under the ROC curve (ROCA) is used as
288 a summary scalar of the discrimination ability, ranging from 0 to 1 (perfect forecast), and a
289 ROCA of 0.5 indicates no skill.

290 The dichotomous predictands generated in the ROC can also be used in economic
291 decision-making. Based on a simple cost-loss model [Zhu *et al.*, 2002], the economic value
292 (EV) here refers to a relative skill score (not actual economic loss) comparing the economic
293 loss from the decision-making generated using the information of PQPFs to that from a
294 constant decision (always take or not take a precautionary action). An EV above 0 indicates
295 useful information from the PQPFs to the decision-making. For a certain probability threshold,
296 the EVs are plotted against the cost/loss (C/L) ratios. The potential EV (PEV) of the PQPFs is
297 obtained by taking the maximum EV of all probability thresholds for different C/L ratios. The
298 corresponding optimal probability thresholds for different C/L ratios are also plotted as
299 scatters. If the forecast system is perfectly reliable, the scatters should line on the diagonal
300 line of the PEV graph [Jolliffe and Stephenson, 2003].

301 Error bars are shown for the RMSE, ensemble spread and CRPSS, representing the 90%
302 confidence intervals using resampling method by randomly selecting the statistics 10000
303 times [Hamill, 1999]. As for the Bias, ETS, POD, FAR, BSS and ROCA, the error bars are
304 too short and not shown.

305 Finally, the impacts of major model upgrades on the forecast performance are examined

306 for several scores (ETS, RMSE, CRPSS, BSS, spread, and spread/RMSE ratio). To eliminate
307 the impact of interannual variation, the score changes of other five centers due to the major
308 model upgrade are compared with the corresponding score of CMA (frozen version).
309 Considering 90% confidence intervals, the performance change of the forecast score due to
310 the major model upgrade is thought to be significant when three criteria are satisfied: (a) the
311 score change is significant; (b) the change of the score difference between the center and
312 CMA is significant; (c) the trends of change in (a) and (b) are consistent (same sign).

313 **4. Results**

314 **4.1 Verification of ensemble mean QPFs**

315 **4.1.1 Precipitation climatology and forecast errors**

316 The precipitation climatology (Figure 1) of the day +3 ensemble mean QPFs from the six
317 EPSs and the TRMM observations during JJA 2008-2012 are compared. All EPSs (Figure
318 1a-f) can reproduce major observed heavy rain belts globally with high spatial correlation
319 coefficients, but demonstrate different regional forecast errors. The CMC and UKMO EPSs
320 tend to overestimate rain areas in the west coast of India, while the CMA and JMA EPSs have
321 large overall forecast errors (RMSE of 1.8~2.0 mm day⁻¹). The CMA EPS fails to reproduce
322 the heavy rain area in the western Pacific near the equator (120°E-160°E, 0°N-10°N), and the
323 JMA EPS fails to reproduce the heavy rain center in the Bay of Bengal. In general, the
324 ECMWF EPS shows the least RMSE of 1.28 mm day⁻¹ for the day +3 QPFs, and the relative
325 performance of precipitation climatology at other lead times is similar (not shown) for all
326 EPSs. In particular, the day +1 JMA EPS (Figure 1g) shows noteworthy moist biases in the
327 NH tropics and causes the discontinuity of forecast scores with the lead time, because JMA
328 employs moist SVs over the entire tropics and perturbs the specific humidity with a large
329 amplitude [Yamaguchi and Majumdar, 2010].

330 Compared to ensemble mean QPFs, the control QPFs from the six EPSs show different
331 overall forecast errors (RMSE, Figure 2). For the control QPFs, the JMA EPS significantly
332 outperforms other EPSs in the NH midlatitudes, especially for longer lead times, while the
333 ECMWF, UKMO and JMA EPSs have less forecast errors than other three EPSs in the NH
334 tropics. For the ensemble mean QPFs, the ECMWF EPS is the best in both regions, while the
335 CMC, UKMO and JMA EPSs are relatively better than the NCEP and CMA EPSs for longer
336 lead times. Although the control QPFs from CMC are inferior to those from JMA and UKMO,
337 the ensemble mean QPFs from the three centers are comparable in both regions. This
338 indicates that the QPFs in the CMC EPS benefit more from the ensemble configuration.

339 **4.1.2 QPFs of categorical and dichotomous events**

340 The discrimination diagram illustrates how different discrimination curves (conditioned
341 on the observed rain events) separate with each other, indicating the ability to discriminate
342 different observed rain events. For the day +1 ensemble mean QPFs (Figure 3), all EPSs are
343 able to discriminate observed light, moderate and heavy rain events to some degree in the NH
344 midlatitude, while the discrimination ability is relatively low in the NH tropics. For example,
345 for the day +1 ensemble mean QPFs in the NH tropics, the poor performance of the CMA
346 EPS causes little discrimination ability among different rain events (Figure 3a3), and the JMA
347 EPS overforecasts more observed light rain events as moderate rain events (Figure 3f3) due to
348 the large moist bias (Figure 1g). The low predictability of QPFs in the NH tropics is perhaps
349 associated with the complex convective processes in this region, which remains a great
350 challenge to the model communities. The discrimination ability decreases with the lead time
351 indicated by the day +1 and day +5 diagrams (Figure 3, other lead times not shown), as the
352 curves representing different observed rain categories gradually become indistinguishable
353 towards light rain events. The day +5 ensemble mean QPFs of most EPSs completely lose

354 discrimination ability, except the marginal discrimination ability in the ECMWF and UKMO
355 EPSs.

356 Other commonly used dichotomous scores are computed for the ensemble mean QPFs at
357 varied lead times and precipitation thresholds (Figure 4). In both the NH midlatitudes and NH
358 tropics, all EPSs overforecast the light precipitation ($>1\text{mm day}^{-1}$) and underforecast the
359 heavier precipitation (>25 and 50 mm day^{-1} , Figure 4a, b). Generally, ECMWF demonstrates
360 the best forecast quality (ETS, Figure 4c, d), while NCEP has the relatively good bias score
361 (Figure 4a, b). The selected scores are linked, such as the existing relation of
362 $\text{Bias}=\text{POD}/(1-\text{FAR})$. Accordingly, the relatively lower POD (Figure 4e, f) and lower FAR
363 (Figure 4g, h) of NCEP contribute to the improved bias scores at the light precipitation
364 threshold, and vice versa at the heavier precipitation thresholds. The significantly lower POD
365 and higher FAR of the CMA EPS in the NH tropics are associated with the significantly lower
366 ETS, consistent with the poor discrimination ability (Figure 3). Also, the verification scores
367 reflect different forecast properties, and may not be consistent. For instance, the bias scores of
368 CMA are similar to those of other centers, despite of its other poor scores. This is because a
369 good bias score, independent of location errors, is only a necessary but not sufficient
370 condition of an accurate forecast. Consequently, all scores should be used and interpreted with
371 caution.

372 **4.2 Verification of PQPFs**

373 **4.2.1 Spread-skill relationship and CRPSS**

374 A well-constructed EPS should have the fast growing ensemble spread which can capture
375 the growth of forecast error. The spread-skill relationship (Figure 5) is measured by the
376 ensemble spread and ensemble mean error in this study. The CMC EPS uses multi-physics
377 schemes to represent model uncertainties and initiates a large ensemble spread with the fastest

378 growth rate and large day to day variation (long error bars of the spread). With the increasing
379 lead time, the ensemble spread of CMC grows to level with the ensemble mean error in the
380 NH midlatitudes while becomes overdispersive in the NH tropics. Other five EPSs are
381 severely underspersive and suffer from spread deficiencies in both regions. The day +1
382 ensemble spread of JMA is the largest in the NH tropics due to the use of moist SVs, and
383 drops to the lowest with the slowest growth rate after the day +2 lead times. In addition, an
384 EPS with large ensemble size does not necessarily possess large ensemble spread or improved
385 spread-skill relationship. For example, the ensemble spreads of CMA with 14 ensemble
386 members and ECMWF with 50 ensemble members are very close for longer lead times.
387 Considering larger RMSEs in the CMA EPS, the ECMWF EPS has better spread-skill
388 relationship. Another example is that the JMA EPS (50 members) has worse spread-skill
389 relationship compared to the CMC EPS (20 members), because the former has the similar
390 RMSEs but much smaller ensemble spread.

391 The overall performance of PQPFs from the six centers is evaluated by the CRPSS
392 (Figure 6) using the CDF of sample climatology on each grid point as the reference forecast.
393 The CRPSS here is conventionally calculated and its value highly depends on the forecast
394 errors of large precipitation amount [Hamill, 2012]. Nevertheless, the relative performance of
395 different centers is revealed by the CRPSSs (Figure 6), indicating higher PQPF skills in the
396 NH midlatitudes than that in the NH tropics and the best skill for the ECMWF EPS in both
397 regions. CMC has the second best CRPSS of day +1 PQPFs and the skill rapidly drops from
398 day +2, which may be related to its fast growing of ensemble spread and large forecast errors.
399 For longer lead times (day +3 ~ +9), JMA ranks the second best followed by NCEP and
400 UKMO in the NH midlatitudes. In the NH tropics, UKMO ranks the second best for longer
401 lead times, and CMA has the extremely poor performance as its CRPSS of day +1 PQPFs is

402 even worse than that of day +9 PQPFs from ECMWF.

403 **4.2.2 PQPF skill of dichotomous events**

404 Compared with the CRPSS, the BSS equally weights different grid points irrespective of
405 the distance between the precipitation amount and the precipitation threshold. The BSSs of
406 PQPFs (Figure 7) show that CMC obviously outperforms other centers at the 1 mm day⁻¹
407 threshold, and CMC and ECWMF are more skillful at heavier precipitation thresholds. In
408 addition, the BSS varies with the precipitation threshold, and is sensitive to the conditional
409 bias. ECMWF has the relatively low BSS at 1mm day⁻¹ in the NH tropics due to the poor
410 reliability (Figure 8c3). The good reliability of CMC and the good resolution of ECMWF
411 (Figure 8b1-4, c1-4) contribute to higher BSSs in both EPSs. The conditional bias (reliability
412 term) can be calibrated through post-processing while the resolution term is associated with
413 the model itself and difficult to be post-processed. At the 1 mm day⁻¹ threshold, the resolution
414 terms (Figures 8d1, 8d3, 8e1, 8e3) of UKMO and NCEP are very close, thus the discrepancy
415 of BSS between these two centers (Figure 7) is mainly caused by the difference of reliability.
416 At the 10 mm day⁻¹ threshold, both the reliability and resolution terms of UKMO are better
417 than those of NCEP (Figures 8d2, 8d4, 8e2, 8e4), which leads to better BSSs of UKMO
418 (Figure 7).

419 The reliability diagrams of day +3 PQPFs at the 1 mm day⁻¹ and 10 mm day⁻¹ thresholds
420 (Figure 8) show overconfident forecasts with flatter reliability curves by underestimating both
421 ends of extreme probabilities for all EPSs. Though the CMC EPS (Figure 8b1-4) is most
422 reliable (the curves closest to the diagonal line), but is not sharp enough due to the large
423 discrepancy of its ensemble members. The frequencies of CMC forecasts with high
424 probability categories are extremely low (less than one in a thousand) (Figures 8b2, 8b4). In
425 contrast, UKMO and NCEP are sharp, with more forecasts of extreme probabilities (Figures

426 8d1-4, e1-4). The day +3 PQPFs from CMA have the worst resolution (smallest RES) while
427 those from JMA have the worst reliability (largest REL). This indicates the relatively poorer
428 model quality of CMA and larger conditional biases of JMA. In particular, for the day +1
429 PQPFs from JMA in the NH tropics (Figure 8g3-4), the observed frequencies of conditional
430 wet biases are increased due to the large moist biases (Figure 1g). For other lead times (not
431 shown), the reliability curves are similar to those of the day +3 PQPFs. At the 25 mm day⁻¹
432 and 50 mm day⁻¹ thresholds (not shown), the dry forecast probabilities (zero) are dominated
433 and the frequencies at high probabilities are largely reduced for each center.

434 **4.2.3 Discrimination ability and potential economic value**

435 Figure 9 demonstrates the ROCAs at different precipitation thresholds and lead times.
436 PQPFs from CMC and ECMWF have the strongest ability to discriminate different observed
437 rain events. *Buizza et al.* [1999a] considers an ROCA of 0.7 as the limit of a useful prediction
438 system. Nearly all centers are useful for the day +1 to +5 lead times at the 1 to 25 mm day⁻¹
439 precipitation thresholds in the NH midlatitudes while PQPFs from CMA, NCEP and JMA
440 lack skill at the 50 mm day⁻¹ precipitation threshold. ROCAs in the NH tropics are relatively
441 lower for all EPSs, especially for heavier precipitation thresholds. ROCAs of CMA in the NH
442 tropics are very poor and slightly vary with increasing lead times, indicating inferior
443 discrimination ability of PQPFs.

444 Based on the ROCA, the PEV curves and the optimal probability thresholds (Figure 10)
445 are calculated for taking action as a function of C/L ratios for day +3 PQPFs at different
446 precipitation thresholds. Except the high PEV values of CMC at 1 mm day⁻¹ precipitation
447 threshold for high C/L ratio users, ECMWF has the highest PEV values. PQPFs from
448 ECMWF outperform other centers more for heavier precipitation thresholds, indicating large
449 potential use in economic decision making. PQPFs from CMA have the least PEV and

450 smallest range of C/L ratios, showing a large gap compared to other centers (Figures
451 10a,b,d,e). Among all centers, the optimal probability thresholds against different C/L ratios
452 from CMC are closest to the diagonal lines, especially at the 1 mm day⁻¹ precipitation
453 threshold, indicating the best reliability [Jolliffe and Stephenson, 2003]. The optimal
454 probability thresholds of ECMWF are close to the diagonal line at heavier precipitation
455 thresholds, but largely deviate from the diagonal line at the 1 mm day⁻¹ threshold in the NH
456 tropics, indicating its relatively bad reliability (Figure 8c3). PEV curves of other lead times
457 (not shown) are similar except those from the JMA day +1 PQPFs.

458 **4.3 Performance changes due to model upgrade**

459 One concern in the design of EPS is to gain better spread-skill relationship. Table 2
460 provides the average ensemble spread of five centers and their spread differences with CMA
461 for the day +3 forecasts before and after the major model upgrade. All the five centers have
462 significant spread changes with 90% confidence interval. Ensemble spread of ECMWF is
463 reduced while other four centers increase their spread. CMC enlarges their ensemble spread
464 remarkably, with an increase of 3.5 and 3.4 mm day⁻¹ in the NH midlatitudes and the NH
465 tropics respectively. Figure 11 illustrates the time series of ensemble spread and RMSE of
466 ensemble mean for the day +3 forecasts of each center in the NH midlatitudes. All the five
467 centers have significantly changed the spread/RMSE ratio. Ensemble spread of ECMWF
468 becomes more deficient, while the spread deficiencies of UKMO, NCEP and JMA are
469 mitigated. The changes of ensemble spread and spread-skill relationship at different lead
470 times (Table 3) before and after the major model upgrades are similar with those of the day +3
471 forecasts, except that the changes of short-range forecasts of JMA are insignificant.

472 Upgrading the EPS is expected to improve ensemble mean QPFs. RMSEs (Table 4) of the
473 day +3 ensemble mean QPFs from UKMO and NCEP are reduced significantly while there

474 are no significant RMSEs changes for ECMWF and JMA after the major model upgrade. The
475 RMSE of ECMWF QPFs is quite small compared to other centers and is hard to be improved
476 further. Notably, CMC has increased the RMSE after the model upgrade, because oversized
477 ensemble spread (Figure 11b) usually causes large forecast errors. The day +3 10 mm day⁻¹
478 ETSS (Table 5) of ECMWF, UKMO and NCEP are improved in the NH tropics, and little
479 changes exist for JMA and CMC. NCEP has relatively lower ETS in the NH midlatitudes
480 before the model upgrade and achieves the most remarkable improvement in ETS. Table 6
481 demonstrates the changes of RMSE and ETS of different lead times and precipitation
482 thresholds before and after the major model upgrade for each center in the NH midlatitudes
483 and NH tropics. RMSEs of CMC deteriorate after the model upgrade for most of the lead
484 times while the ETSS do not, because ETS is a dichotomous forecast score associated with the
485 selected precipitation threshold and is insensitive to ensemble spread. The day +1 to +9
486 RMSEs of UKMO are reduced and the 10 mm day⁻¹ ETS in the NH tropics is also improved.
487 However, the 1 mm day⁻¹ and 50 mm day⁻¹ ETSS are deteriorated. NCEP has not only
488 improved the day +3 to +9 RMSEs, but also the ETSS at heavier thresholds over 10 mm day⁻¹
489 (except 25 mm day⁻¹ ETS in the NH tropics).

490 At the same time, the PQPFs are expected to be improved through the EPS upgrade. All
491 the centers have significantly changed CRPSS for the day +3 PQPFs except JMA (Figure 12).
492 The CRPSSs of ECMWF, UKMO and NCEP are improved significantly after major model
493 upgrades as the gaps between the two time series become larger (Figure 12b-d). However, the
494 CRPSS of CMC becomes even lower than that from the static version of CMA after the model
495 upgrade. The deterioration of CRPSS of CMC is probably due to its remarkably increased
496 ensemble spread. Unlike CRPSS that more depends on precipitation amount, the BSS is
497 sensitive to the selected precipitation threshold. The 10 mm day⁻¹ BSSs of ECMWF, UKMO

498 and NCEP are improved (Table 7), while there are no significant changes for CMC and JMA.
499 At different lead times and precipitation thresholds (Table 8), the PQPF skill (CRPSS and
500 BSS) of JMA has not been changed much after the model upgrade; the PQPFs of NCEP
501 generally have been improved in the NH midlatitudes and NH tropics; both ECMWF and
502 UKMO not only have improved the CRPSSs, but also the BSSs of some certain thresholds;
503 though CMC has improved the BSSs at lighter precipitation thresholds, its CRPSS has
504 decreased significantly.

505 **5. Summary and discussions**

506 This study provides a comprehensive verification on ensemble mean QPFs and PQPFs
507 from six operational global EPSs in the NH midlatitudes and NH tropics during the boreal
508 summers of 2008-2012. Taking the latitudinal discrepancies into account, a series of
509 verification metrics are employed using an area-weighted average method to evaluate the
510 performance of different operational centers at different lead times and precipitation
511 thresholds. Performance changes due to the major model upgrade during the five summers are
512 also examined using the forecasts from CMA as the reference to eliminate the interannual
513 variation due to the unavailability of the parallel run results of different model versions.

514 For the ensemble mean QPFs during the 5-year summers, CMA has relatively large
515 systematic biases in the NH tropics. In fact, different kinds of deterministic and probabilistic
516 verification scores employed here reveal that CMA performs poorly in the NH tropics, with
517 very little discrimination ability of different observed rain events. The day +1 QPFs from
518 JMA has remarkable moist biases in the NH tropics as they employ moist SVs for the entire
519 tropics and perturb the specific humidity with a large amplitude. This causes the discontinuity
520 of QPF performance against lead times and should be treated differently.

521 Considering PQPFs during the 5-year summers, ECMWF generally performs best, except

522 at light precipitation thresholds ECMWF and UKMO have lower forecast skill in the NH
523 tropics due to the relatively poor reliability. The PQPF performance of CMC is relatively
524 good for light precipitation thresholds and short-range forecasts. For longer lead times, the
525 ensemble spread of CMC grows excessively large and causes large forecast errors, which
526 mainly results from the use of multi-physics schemes to represent model uncertainties. JMA
527 has the smallest ensemble spread except the day +1 forecasts in the NH tropics. The reliability
528 diagrams reveal that ECMWF has the best discrimination ability (large resolution term); CMC
529 has the least conditional biases (small reliability term), but lacks extremely high probabilities
530 and is the least sharp due to the large discrepancy of its ensemble members. In contrast,
531 PQPFs from UKMO and NCEP are the most sharp.

532 The verification results are sensitive to the uncertainties and quality of verification data
533 (data quality control, interpolation method, location and so on). *Yuan et al.* [2005] showed that
534 skill scores highly depend on the verification (observation/analysis) data. *Hamill* [2012]
535 investigated PQPFs of TIGGE, and most conclusions about the relative performance of
536 individual centers are consistent with this study. However, some his results are different, for
537 example, the CRPSS from NCEP is superior to that from UKMO, while the CRPSSs of the
538 two centers are of the same level in this study. The difference is that he used a modified
539 version of CRPS to equally weight the dry and wet grid points and verified for different
540 period and geographical location. It is not appropriate to judge which of the two centers has
541 better PQPF skill, but instead to interpret these results with caution.

542 The ultimate goal of verification study is to improve the performance of QPFs and PQPFs.
543 The post-processing work and the development of the EPSs are two major ways to reach such
544 goal. This study not only evaluates the merits and shortcomings of each EPS for model
545 developers and users, but also provides some useful information about the potential of

546 post-processing to improve precipitation forecasts in the EPS. For example, the ensemble
547 mean QPFs and PQPFs from CMA in the NH tropics have very little discrimination ability of
548 the observed different rain events and thus would be extremely difficult to be improved
549 through calibration. In contrast, though PQPFs from ECMWF are not as reliable as those from
550 CMC, they have enough discrimination ability and the systematic bias can be reduced through
551 calibration. Thus, the centers with less discrimination ability should invest more on the
552 development of the model, while the centers with relatively high model quality can benefit
553 more from the post-processing work to further improve QPFs and PQPFs.

554 Whether the EPS upgrade may benefit QPFs and PQPFs is of interest to investigate. The
555 EPSs have been upgraded gradually during five years, except for the CMA EPS. Therefore,
556 the performance changes related to the major model upgrades have been evaluated for five
557 operational centers referenced to the CMA EPS. The ensemble spread and spread/RMSE ratio
558 of ECMWF have been significantly reduced while other four centers have significantly
559 increased their spread with inflated spread/RMSE ratios. In particular, after the model upgrade
560 to version 2.0.2 in CMC, remarkably increased ensemble spread leads to increased forecast
561 errors (RMSE) and decreased PQPF skill (CRPSS). After the major upgrade, JMA has not
562 been improved much, while ECMWF, NCEP, and UKMO have reduced forecast errors
563 (RMSEs of ensemble mean QPFs) and increased PQPF skill (CRPSS). The improvements in
564 ETS and BSS vary with selected precipitation thresholds and lead times. The model upgrade
565 cannot always guarantee the skill improvements, and increasing ensemble spread as well as
566 spread/error ratio also may cause negative effect on QPFs and PQPFs.

567 How to fairly evaluate an EPS is essential for the development and upgrade of the EPSs. A
568 few simple summary scores have limitations and cannot justify whether the old EPS should be
569 upgraded to the new EPS. For example, the bias score denotes the ratio of forecasted events

570 and observed events while cannot express the displacement errors, thus only serves a
571 necessary but not sufficient condition of accurate forecasts. In the NH tropics, bias scores of
572 CMA are close to other centers while the ETSs of CMA have large gaps with other centers. In
573 addition, verification scores or skill scores for dichotomous events (such as ETS and BSS)
574 vary with different precipitation thresholds and lead times, while continuous scores (such as
575 CRPSS) provide an overview of one forecast property. *Gagnon et al.* [2011] examined the
576 PQPFs from two versions of the CMC EPS during 2009 winter and concluded that the new
577 version (2.0.2) outperforms the old version, based on the day +6 and +7 BSs of different
578 precipitation thresholds and the 2.5 and 15 mm day⁻¹ precipitation thresholds BSs of different
579 lead times. In this study, though BSSs of PQPFs from CMC are improved at some
580 precipitation thresholds, the CRPSSs are deteriorated as a consequence of the excessively
581 enlarged ensemble spread, because the continuous score CRPSS is sensitive to the
582 precipitation amount. In comparison, NCEP has improved the CRPSSs and BSSs of different
583 thresholds for nearly all lead times. Therefore, both scores for continuous forecasts and
584 dichotomous forecasts at different thresholds for different lead times are suggested to draw a
585 comprehensive conclusion.

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679

680 **Table and figure captions**

681 **Table 1.** Configurations of six TIGGE EPSs investigated in this study

682 **Table 2.** Average ensemble spread (mm day^{-1}) of five centers and their spread differences with
683 CMA for day +3 forecasts before and after the major model upgrade. Boldface represents the
684 significant change with 90% confidence interval.

685 **Table 3.** The forecast lead times with significant changes of the ensemble spread and
686 spread/RMSE ratio due to the major model upgrade with 90% confidence interval. The up
687 (down) arrows represents an increase (decrease) change.

688 **Table 4.** Same as Table 2, but for the RMSE (mm day^{-1}).

689 **Table 5.** Same as Table 2, but for the ETS at the 10 mm day^{-1} threshold.

690 **Table 6.** Same as Table 3, but for the RMSE and ETS of ensemble mean QPFs.

691 **Table 7.** Same as Table 2, but for the BSS at the 10 mm day^{-1} threshold.

692 **Table 8.** Same as Table 3, but for the CRPSS and BSS of QPFs.

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694 and TRMM observation during JJA 2008-2012. The RMSE (mm day^{-1}) and spatial correlation
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701 shows the forecast relative frequencies of observed light rain ($1-10 \text{ mm day}^{-1}$, green),
702 moderate rain ($10-25 \text{ mm day}^{-1}$, blue), and heavy rain ($25-50 \text{ mm day}^{-1}$, red) against five
703 forecast categories: no rain (N, $<1 \text{ mm day}^{-1}$), light rain (L, $1-10 \text{ mm day}^{-1}$), moderate rain (M,

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717 thresholds in the NH midlatitudes (left two columns) and the NH tropics (right two columns).
718 The bar graphs show the subsample frequencies at the logarithm scale. The BSS, and the
719 reliability (REL) and resolution (RES) terms of the BS are shown as the numbers. For clarity,
720 the 50 member ECMWF and JMA are converted into 26 probability bins.

721 **Figure 9.** The area under the Relative Operating Characteristic (ROC) curve against different
722 precipitation thresholds for different forecast lead times (day +1, +3 and +5) in (a) the NH
723 midlatitudes and (b) the NH tropics during JJA 2008-2012.

724 **Figure 10.** Potential economic value (PEV) curves and the optimal probability thresholds for
725 taking action as a function of cost/loss ratio for day +3 PQPFs at different precipitation
726 thresholds.

727 **Figure 11.** Time series of the ensemble spread and RMSE for the day +3 of ensemble mean

728 QPFs in the NH midlatitudes. The dotted vertical line splits the time periods before and after
729 the major model upgrade. The averaged ratios of the ensemble spread and RMSE during the
730 two periods are also shown as the numbers. All changes of the spread/RMSE ratio in the five
731 EPSs (b-f) are significant with 90% confidence interval.

732 **Figure 12.** Time series of CRPSS for the day +3 QPFs in the NH midlatitudes. The dotted
733 vertical line splits the time periods before and after the major model upgrade. The CRPSS
734 differences between each center and CMA during the two periods are also shown as the
735 numbers. Except JMA (e), the CRPSS changes in the four EPSs (a-d) are significant with 90%
736 confidence interval.

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Table 1. Configurations of six TIGGE EPSs investigated in this study

Center	Base time (UTC)	No. of ensemble members	Horizontal resolution archived	Forecast length (day)	Initial perturbation method	Model uncertainty	Major model upgrade time
CMA (China)	00/12	14+1	0.56°×0.56°	0-10	BVs	-	-
CMC ^a (Canada)	00/12	20+1	1.0°×1.0°	0-16	EnKF	PTP + SKEB multi-physics	17 Aug 2011
ECMWF ^b (Europe)	00/12	50+1	N320(~0.28°) N160(~0.56°)	0-10 10-15	EDA-SVINI	SPPT + SPBS	9 Nov 2010
JMA ^c (Japan)	12	50+1	1.25°×1.25°	0-9	SVs	SPPT	17 Dec 2010
NCEP ^d (USA)	00/06/12/18	20+1	1.0°×1.0°	0-16	BV-ETR	STTP	23 Feb 2010
UKMO ^e (UK)	00/12	23+1	0.83°×0.56°	0-15	ETKF	RP + SKEB	9 Mar 2010

^aThe CMC EPS was upgraded to version 2.0.2 on 17 August 2011.

^bThe ECMWF EPS used a horizontal resolution of N200 (~0.45°) for 0-10 day forecasts and N128 (~0.7°) for 10-15 day forecasts before 26 January 2010. EVO-SVINI was used as the initial perturbation method before 24 Jun 2010. The SPBS method has been added on 9 November 2010.

^cThe JMA EPS began to use the SPPT method on 17 December 2010.

^dThe NCEP EPS was upgraded to version 8.0 and began to use the STTP method on 23 February 2010. In 14 February 2012, the NCEP EPS was upgraded to version 9.0.

^eThe UKMO EPS used a horizontal resolution of 1.25°×0.83° before 9 March 2010.

Table 2. Average ensemble spread (mm day^{-1}) of five centers and their spread differences with CMA for day +3 forecasts before and after the major model upgrade. Boldface represents the significant change with 90% confidence interval.

Center	NH midlatitudes			NH tropics		
	Before	After	Change	Before	After	Change
CMC	5.8	9.3	3.5	11.2	14.6	3.4
ECMWF	4.7	4.1	-0.5	6.9	5.4	-1.5
UKMO	4.3	4.5	0.2	4.9	5.2	0.4
NCEP	3.1	4.0	0.9	4.7	6.1	1.3
JMA	3.1	3.5	0.4	4.9	5.2	0.3
CMC-CMA	1.1	4.4	3.3	5.4	8.9	3.5
ECMWF-CMA	-0.1	-0.7	-0.6	1.0	-0.3	-1.3
UKMO-CMA	-0.4	-0.2	0.2	-1.1	-0.4	0.6
NCEP-CMA	-1.7	-0.8	0.9	-1.2	0.4	1.6
JMA-CMA	-1.6	-1.3	0.3	-1.0	-0.5	0.5

Table 3. The forecast lead times with significant changes of the ensemble spread and spread/RMSE ratio due to the major model upgrade with 90% confidence interval. The up (down) arrows represents an increase (decrease) change.

Score	NH Region	CMC	ECMWF	UKMO	NCEP	JMA
SPREAD	midlatitudes	1-9 ↑	1 ↑ 2-9 ↓	1-7 ↑	1-9 ↑	2-9 ↑
	tropics	1-9 ↑	2-9 ↓	1-6 ↑	1-9 ↑	3-9 ↑
SPREAD/RMSE	midlatitudes	1-9 ↑	1 ↑ 2-9 ↓	1-9 ↑	1-9 ↑	2-9 ↑
	tropics	1-9 ↑	2-9 ↓	1-9 ↑	1-9 ↑	5,6,8,9 ↑

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Table 4. Same as Table 2, but for the RMSE (mm day^{-1}).

Center	NH midlatitudes			NH tropics		
	Before	After	Change	Before	After	Change
CMC	7.0	7.5	0.5	11.6	12.3	0.7
ECMWF	6.7	6.6	-0.1	11.1	11.1	-0.0
UKMO	7.4	7.0	-0.4	11.9	11.4	-0.5
NCEP	7.4	7.2	-0.3	12.5	12.1	-0.4
JMA	7.0	7.1	0.2	11.7	12.1	0.4
CMC-CMA	-0.4	-0.1	0.3	-0.6	-0.5	0.2
ECMWF-CMA	-0.7	-0.8	-0.1	-1.2	-1.6	-0.4
UKMO-CMA	-0.1	-0.3	-0.3	-0.4	-1.1	-0.8
NCEP-CMA	-0.0	-0.2	-0.2	0.2	-0.4	-0.6
JMA-CMA	-0.5	-0.3	0.2	-0.5	-0.5	0.0

Table 5. Same as Table 2, but for the ETS at the 10 mm day⁻¹ threshold.

Center	NH midlatitudes			NH tropics		
	Before	After	Change	Before	After	Change
CMC	0.224	0.224	0	0.2	0.2	0
ECMWF	0.290	0.303	0.012	0.261	0.281	0.020
UKMO	0.252	0.264	0.012	0.228	0.241	0.013
NCEP	0.227	0.261	0.034	0.204	0.215	0.011
JMA	0.245	0.249	0.003	0.199	0.201	0.002
CMC-CMA	0.019	0.005	-0.014	0.025	0.03	0.005
ECMWF-CMA	0.085	0.091	0.006	0.080	0.112	0.032
UKMO-CMA	0.047	0.053	0.006	0.047	0.072	0.025
NCEP-CMA	0.022	0.05	0.028	0.023	0.046	0.023
JMA-CMA	0.04	0.035	-0.005	0.025	0.028	0.003

Table 6. Same as Table 3, but for the RMSE and ETS of ensemble mean QPFs.

Center	NH Region	CMC	ECMWF	UKMO	NCEP	JMA
RMSE	midlatitudes	2-9 ↑	1 ↓	1-9 ↓	3-9 ↓	-
	tropics	3-9 ↑	1 ↓	1-9 ↓	3-9 ↓	-
ETS (1 mm day ⁻¹)	midlatitudes	1,6 ↓	-	1, 7, 8 ↓	-	-
	tropics	1 ↓ 2-9 ↑	4-9 ↓	3-9 ↓	2-9 ↓	-
ETS (10mm day ⁻¹)	midlatitudes	-	1, 5 ↑	8 ↑	1-9 ↑	5-9 ↑
	tropics	6-8 ↑	1-9 ↑	1-9 ↑	1-3,5,6,8,9 ↑	1 ↑
ETS (25 mm day ⁻¹)	midlatitudes	7-9 ↑	-	-	1-9 ↑	2-9 ↑
	tropics	1,2 ↓ 6-9 ↑	1 ↑ 8 ↓	-	-	1, 2 ↑
ETS (50 mm day ⁻¹)	midlatitudes	-	-	-	1-7 ↑	1 ↑
	tropics	6-9 ↑	-	1-4 ↓	1-6 ↑	1 ↑

Table 7. Same as Table 2, but for the BSS at the 10 mm day⁻¹ threshold.

Center	NH midlatitudes			NH tropics		
	Before	After	Change	Before	After	Change
CMC	0.118	0.139	0.021	0.03	0.04	0.011
ECMWF	0.160	0.209	0.049	0.036	0.085	0.049
UKMO	0.018	0.067	0.049	-0.182	-0.107	0.075
NCEP	-0.103	0.032	0.134	-0.317	-0.15	0.167
JMA	0.025	0.014	-0.011	-0.14	-0.162	-0.022
CMC-CMA	0.123	0.117	-0.007	0.245	0.293	0.047
ECMWF-CMA	0.165	0.199	0.034	0.241	0.338	0.096
UKMO-CMA	0.015	0.066	0.051	-0.007	0.15	0.157
NCEP-CMA	-0.105	0.032	0.136	-0.142	0.107	0.249
JMA-CMA	0.03	0.004	-0.026	0.065	0.091	0.025

Table 8. Same as Table 3, but for the CRPSS and BSS of PQPFs.

Score	NH Region	CMC	ECMWF	UKMO	NCEP	JMA
CRPSS	midlatitudes	1-9 ↓	1-9 ↑	2-8 ↑	1-9 ↑	-
	tropics	1-9 ↓	1-9 ↑	1-9 ↑	1-9 ↑	-
BSS	midlatitudes	1-9 ↑	1-4 ↑	-	3-9 ↑	-
(1 mm day ⁻¹)	tropics	1-9 ↑	1-5 ↑	-	1-8 ↑	-
BSS	midlatitudes	1-2 ↑	1-8 ↑	2-9 ↑	1-9 ↑	-
(10mm day ⁻¹)	tropics	1,3-9 ↑	1-9 ↑	1-9 ↑	1-9 ↑	-
BSS	midlatitudes	-	1-9 ↑	1-9 ↑	1-9 ↑	8 ↑
(25 mm day ⁻¹)	tropics	-	1-7 ↑	1-9 ↑	1-9 ↑	-
BSS	midlatitudes	-	-	-	1-7 ↑	1 ↑
(50 mm day ⁻¹)	tropics	6-9 ↑	-	1-4 ↓	1-6 ↑	1 ↑

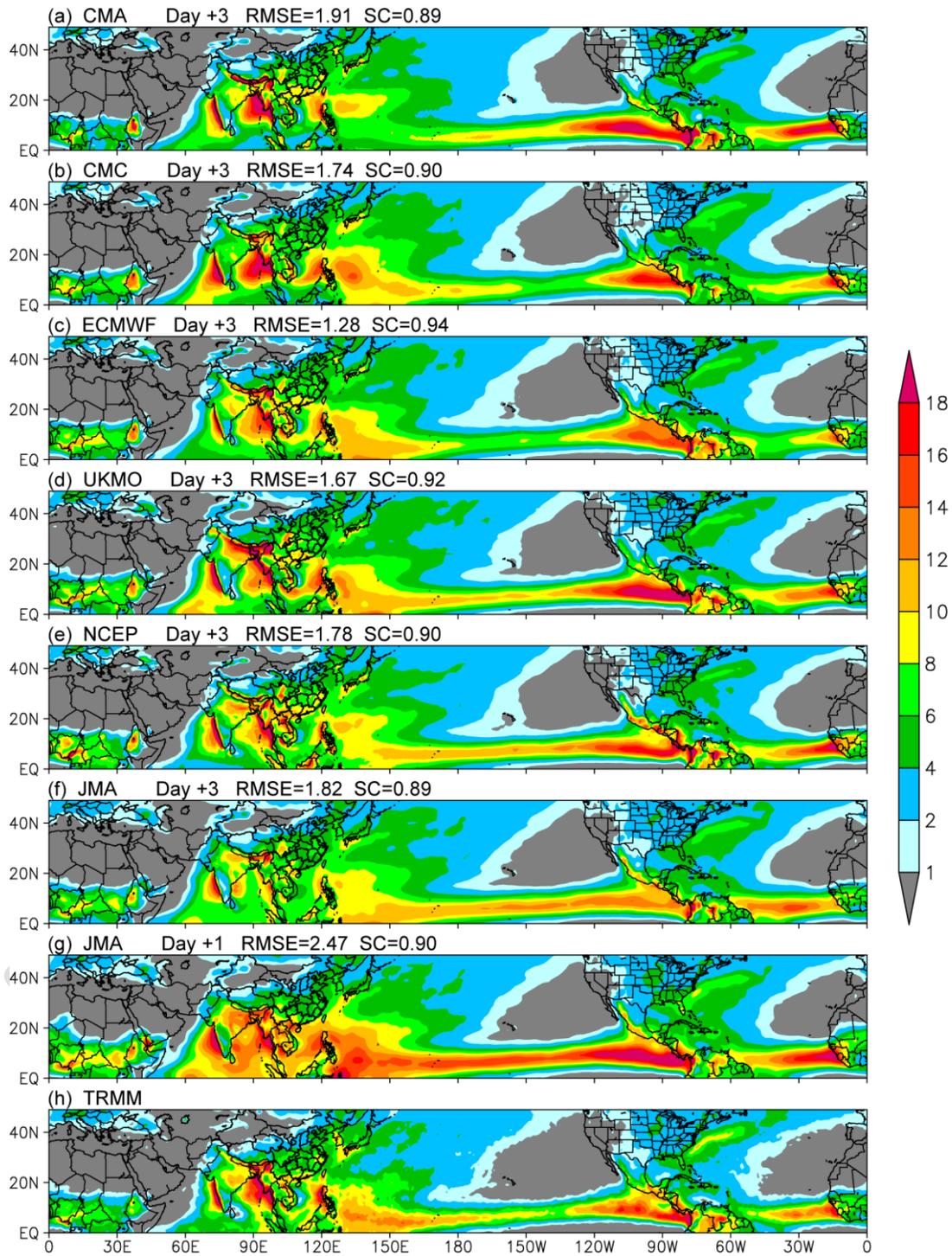


Figure 1. Average precipitation (mm day^{-1}) of ensemble mean forecasts from the six EPSs and TRMM observation during JJA 2008-2012. The RMSE (mm day^{-1}) and spatial correlation (SC) of forecast and observation averages are shown as the numbers in the titles.

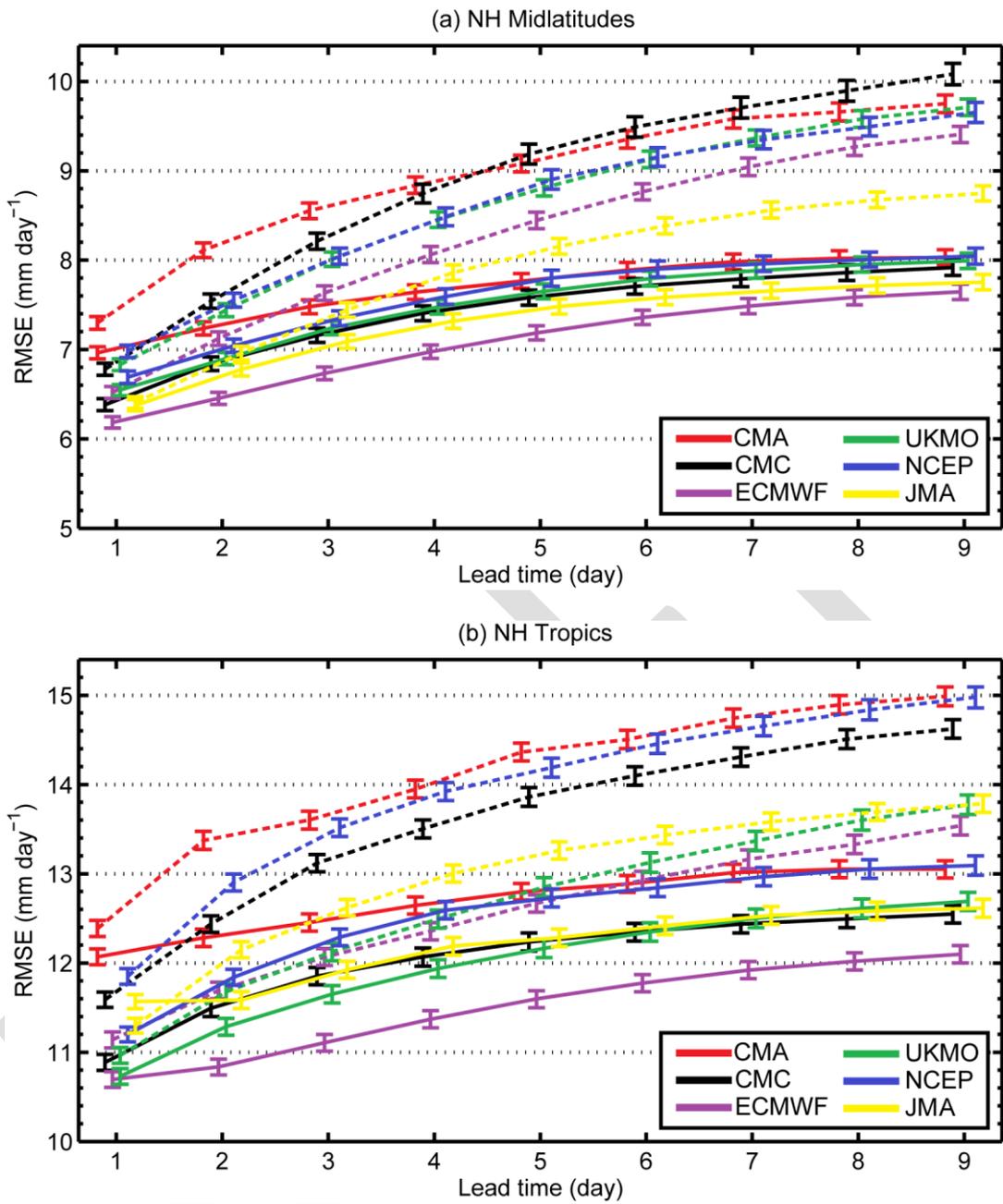


Figure 2. The RMSE of the control forecasts (dotted) and ensemble mean forecasts (solid) (mm day^{-1}) during JJA 2008-2012 in (a) the NH midlatitudes and (b) the NH tropics. Error bars represent 90% confidence intervals.

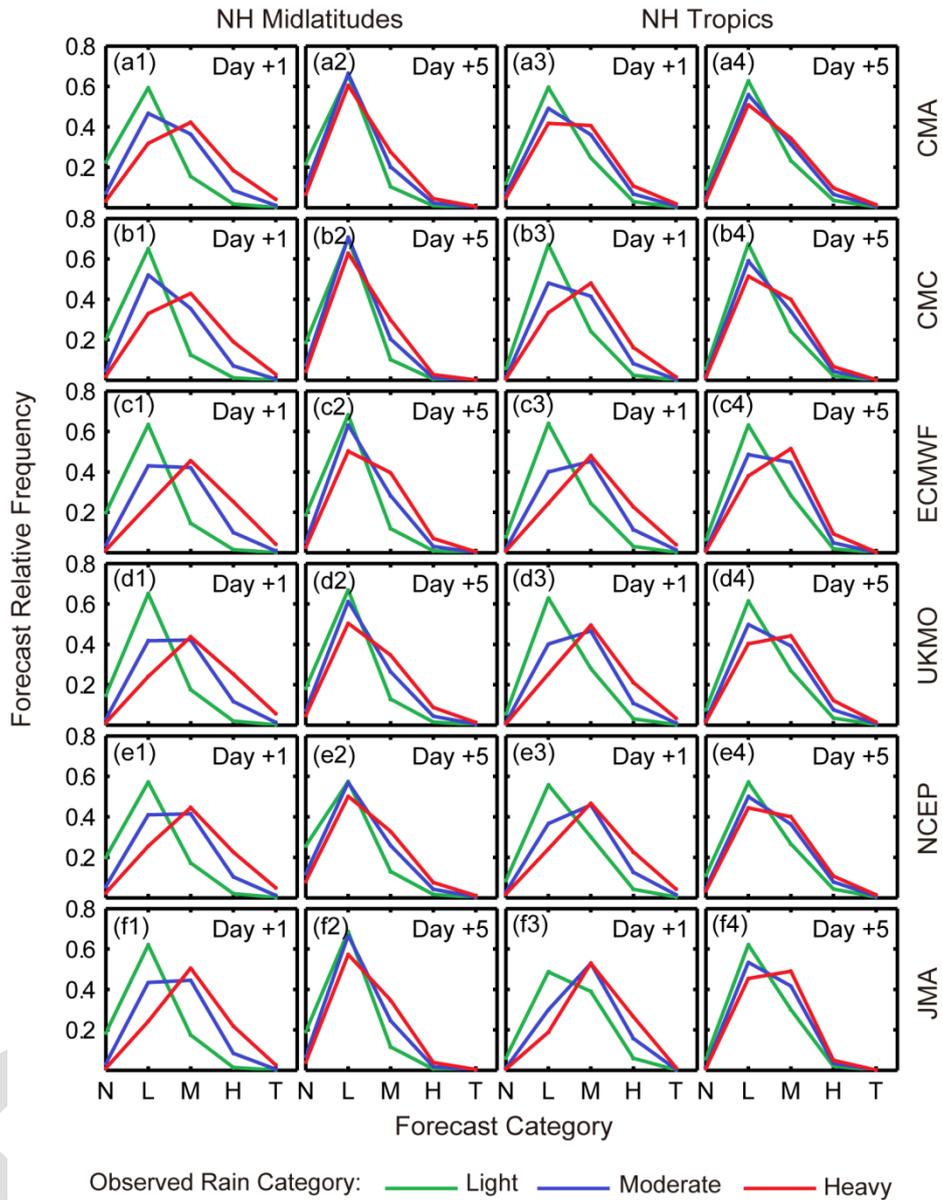


Figure 3. Discrimination diagrams of the ensemble mean QPFs in the NH midlatitudes (left two columns) and the NH tropics (right two columns) during JJA 2008-2012. The ordinate shows the forecast relative frequencies of observed light rain (1-10 mm day⁻¹, green), moderate rain (10-25 mm day⁻¹, blue), and heavy rain (25-50 mm day⁻¹, red) against five forecast categories: no rain (N, <1 mm day⁻¹), light rain (L, 1-10 mm day⁻¹), moderate rain (M, 10-25 mm day⁻¹), heavy rain (H, 25-50 mm day⁻¹) and torrential rain (T, >50 mm day⁻¹).

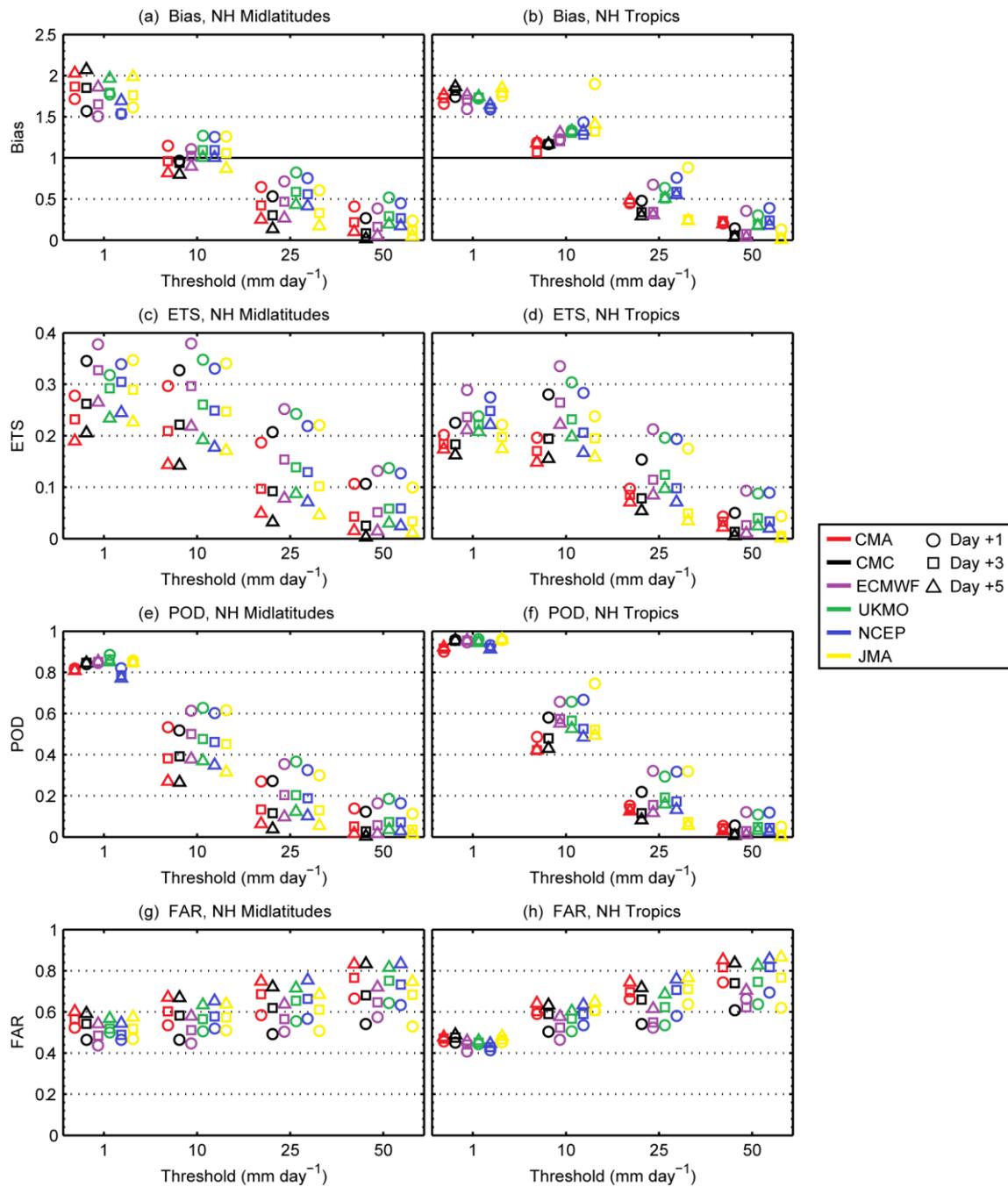


Figure 4. The Bias, ETS, POD and FAR of the ensemble mean QPFs against different precipitation thresholds for different forecast lead times (day +1, +3 and +5) during JJA 2008-2012.

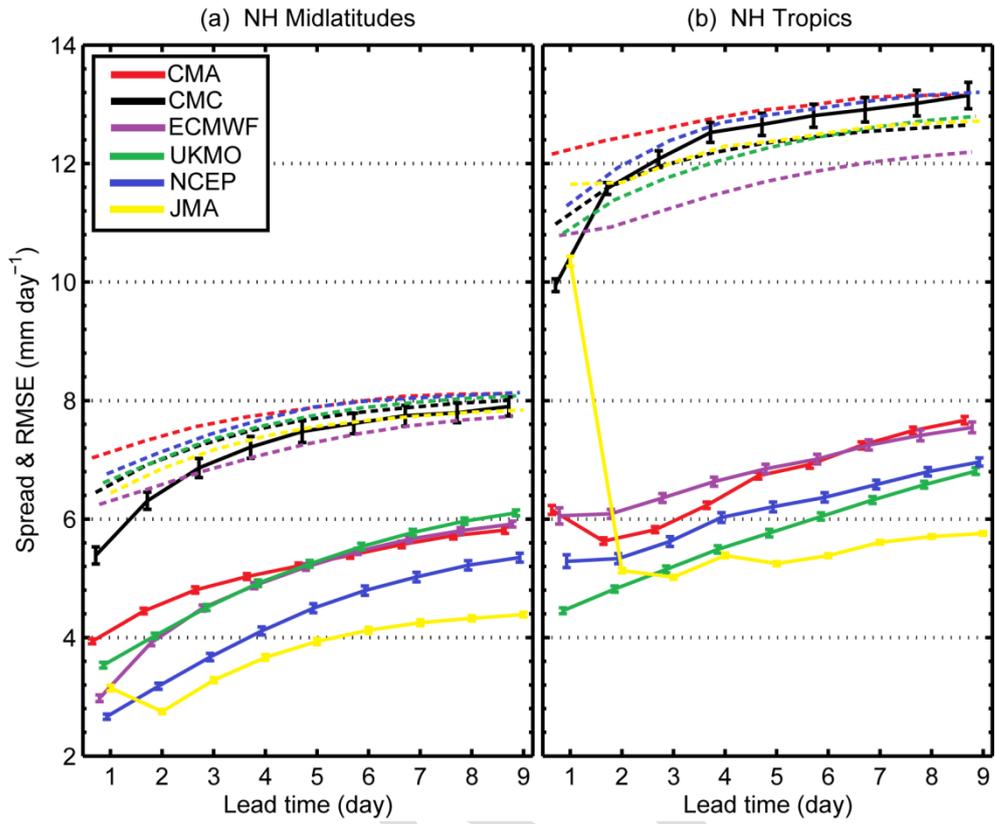


Figure 5. The RMSE of the ensemble mean QPFs (dotted) and the ensemble spread (solid) in (a) the NH midlatitudes and (b) the NH tropics during JJA 2008-2012. Error bars represent 90% confidence intervals.

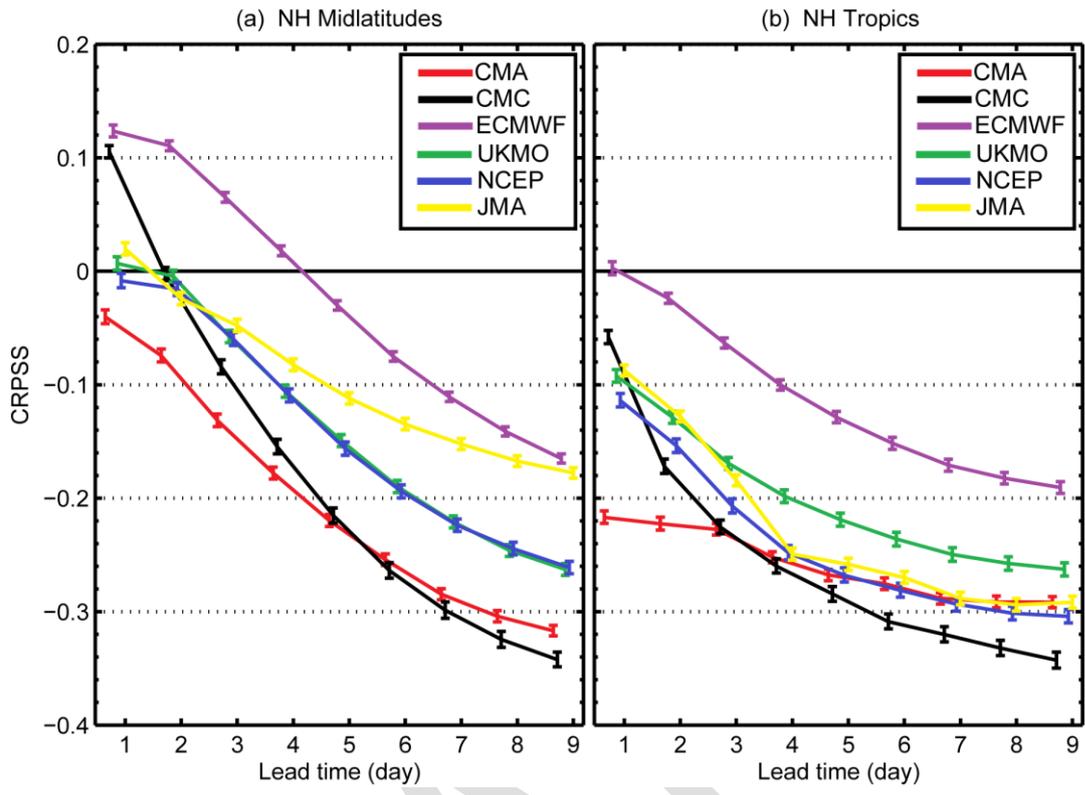


Figure 6. The CRPSS of PQPFs in (a) the NH midlatitudes and (b) the NH tropics during JJA 2008-2012. Error bars represent 90% confidence intervals.

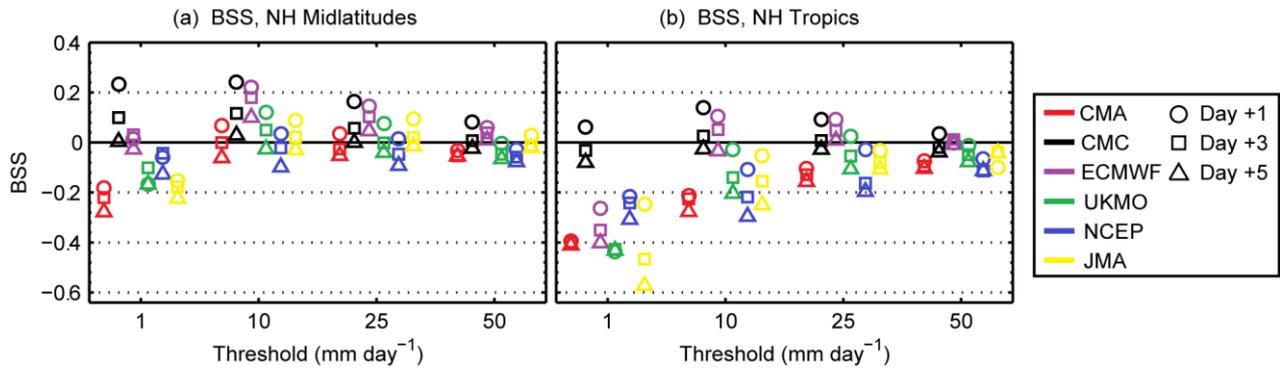


Figure 7. The BSS of PQPFs against different precipitation thresholds for different forecast lead times (day +1, +3 and +5) in (a) the NH midlatitudes and (b) the NH tropics during JJA 2008-2012.

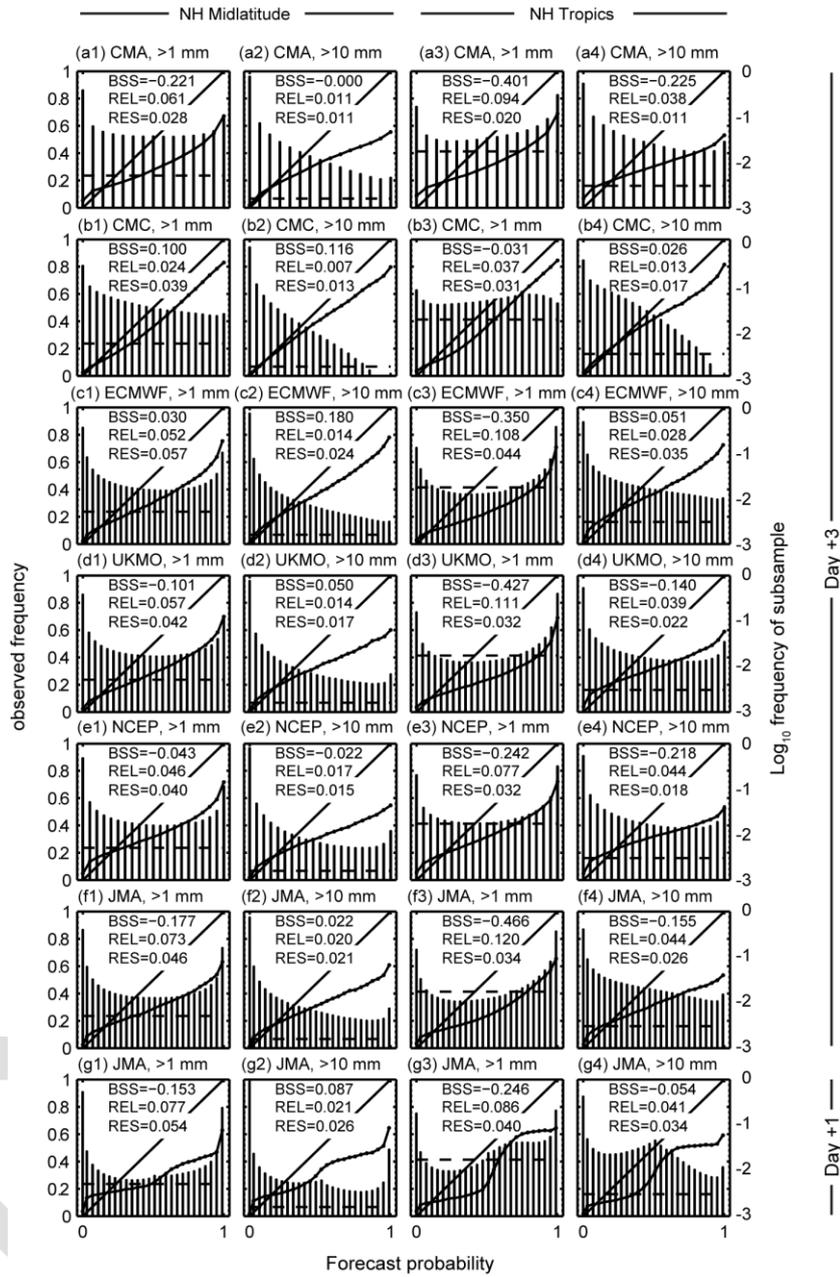


Figure 8. Reliability diagrams for day +3 and +1 QPFs at the 1 mm day⁻¹ and 10 mm day⁻¹ thresholds in the NH midlatitudes (left two columns) and the NH tropics (right two columns). The bar graphs show the subsample frequencies at the logarithm scale. The BSS, and the reliability (REL) and resolution (RES) terms of the BS are shown as the numbers. For clarity, the 50 member ECMWF and JMA are converted into 26 probability bins.

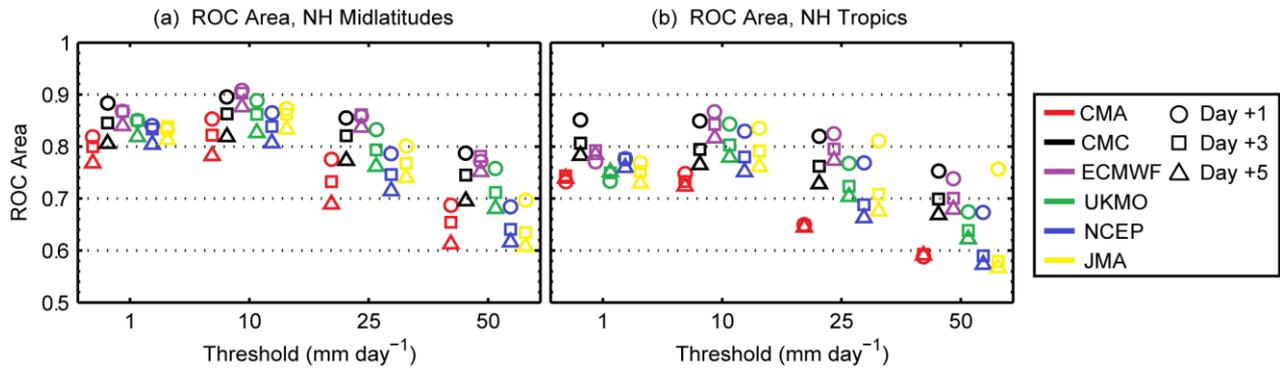


Figure 9. The area under the Relative Operating Characteristic (ROC) curve against different precipitation thresholds for different forecast lead times (day +1, +3 and +5) in (a) the NH midlatitudes and (b) the NH tropics during JJA 2008-2012.

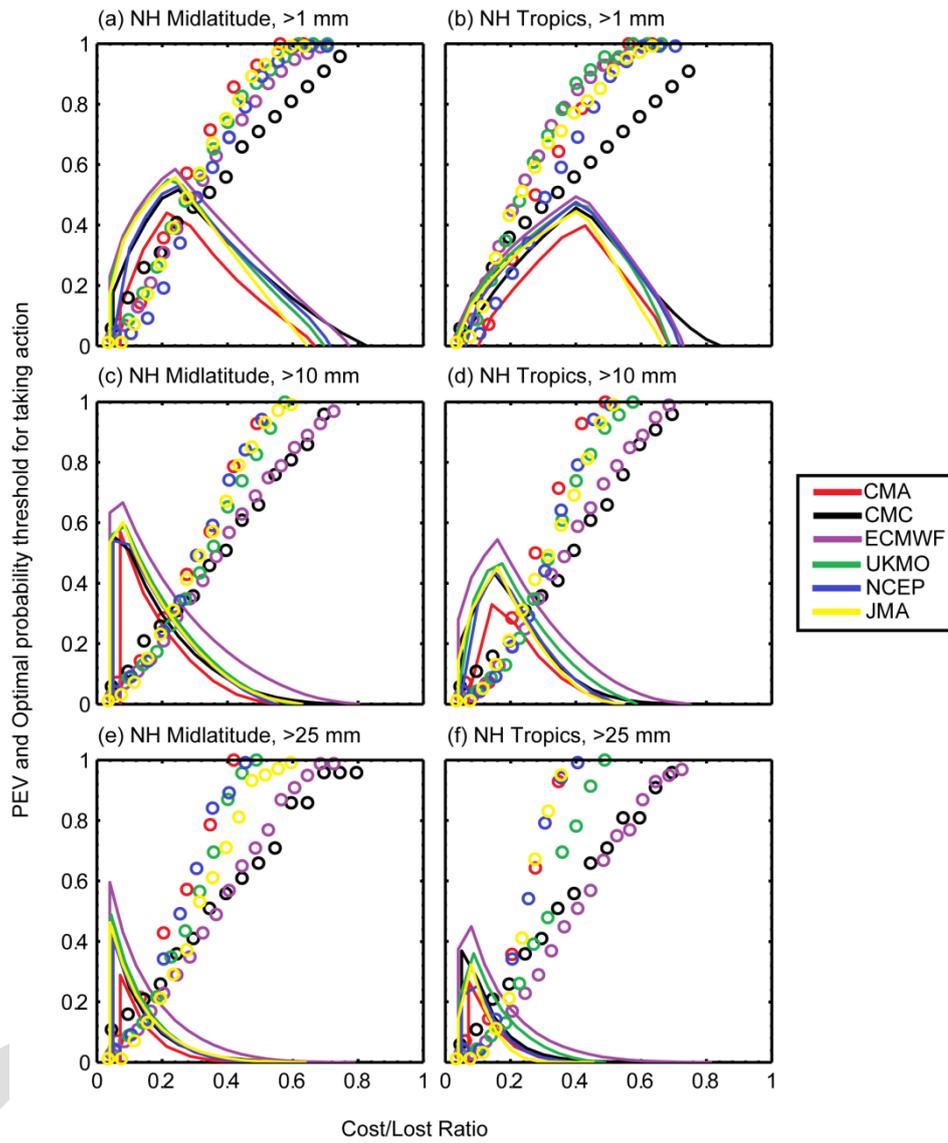


Figure 10. Potential economic value (PEV) curves and the optimal probability thresholds for taking action as a function of cost/loss ratio for day +3 PQPFs at different precipitation thresholds.

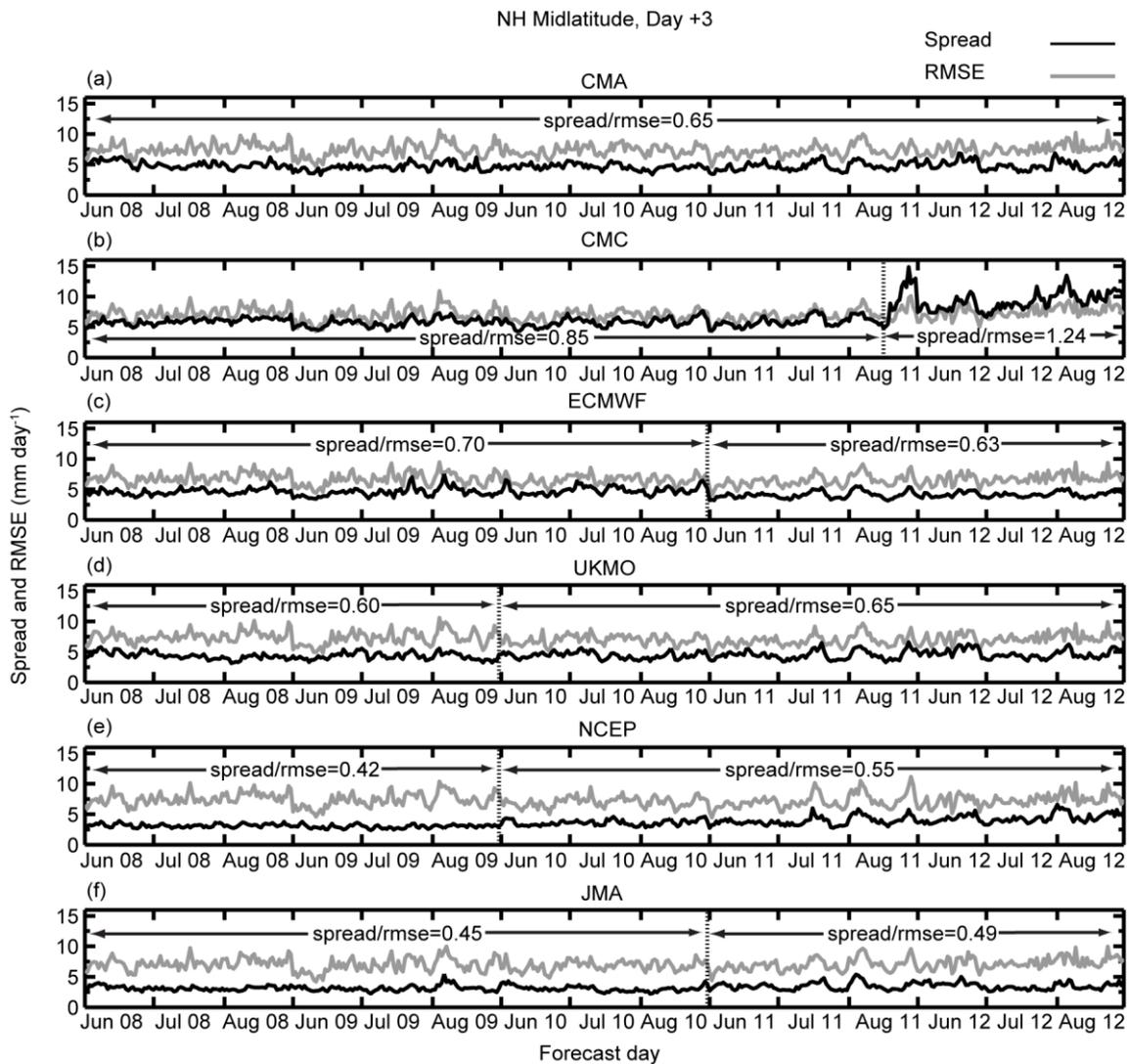


Figure 11. Time series of the ensemble spread and RMSE for the day +3 of ensemble mean QPFs in the NH midlatitudes. The dotted vertical line splits the time periods before and after the major model upgrade. The averaged ratios of the ensemble spread and RMSE during the two periods are also shown as the numbers. All changes of the spread/RMSE ratio in the five EPSs (b-f) are significant with 90% confidence interval.

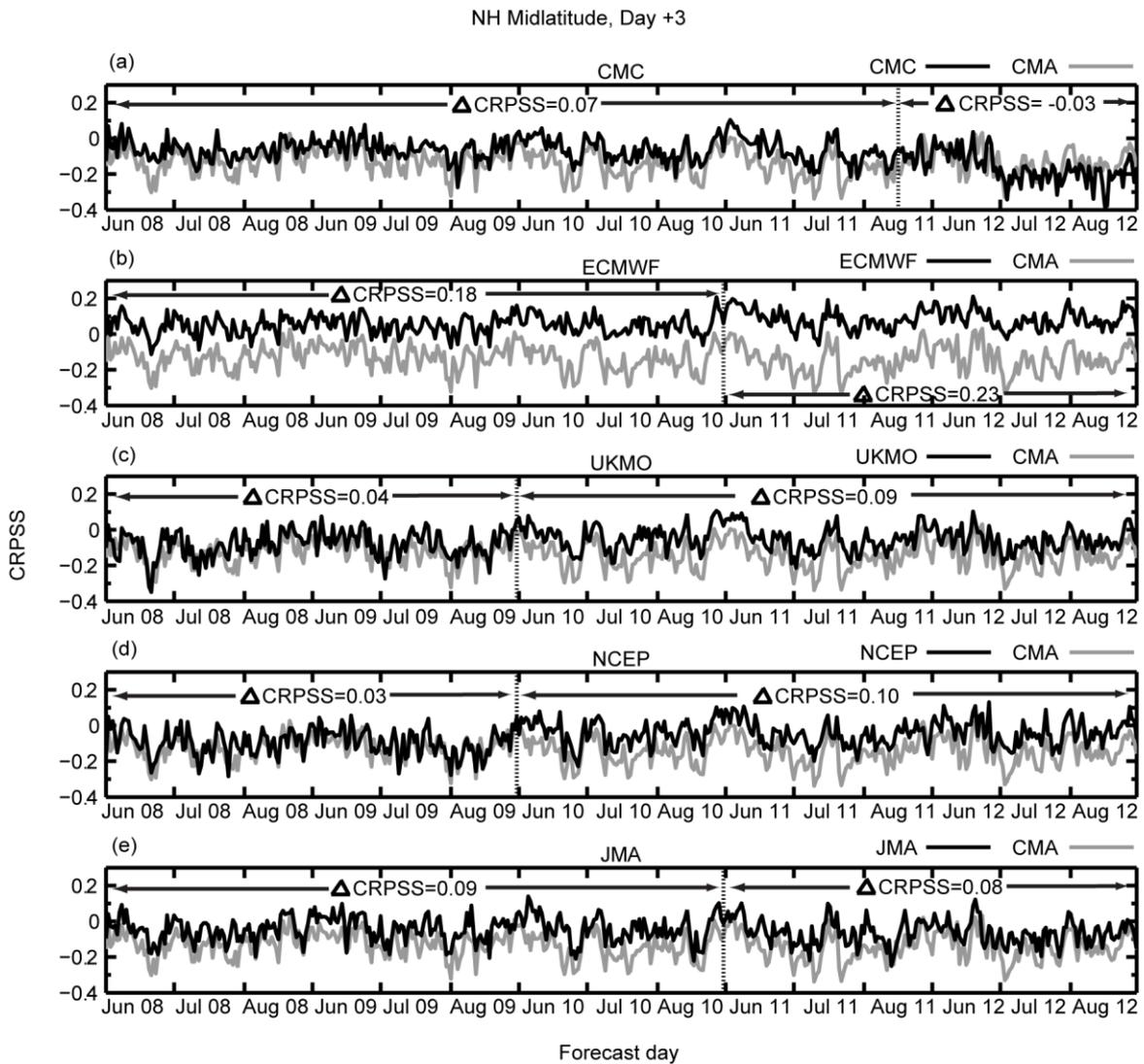


Figure 12. Time series of CRPSS for the day +3 PQPFs in the NH midlatitudes. The dotted vertical line splits the time periods before and after the major model upgrade. The CRPSS differences between each center and CMA during the two periods are also shown as the numbers. Except JMA (e), the CRPSS changes in the four EPSs (a-d) are significant with 90% confidence interval.