

# NCEP GEFS Status and Plan

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EMC/NCEP  
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Acknowledgements: Richard Wobus, Jiayi Peng, Jessie Ma  
and all NCEP/EMC Ensemble Team Members

# Outline

- Introduction: NCEP global ensemble forecast
- Recent GEFS Implementation in Feb. 2012
- Impact of the coming Hybrid data assimilation
- Model related uncertainty and the impact of STTP
- Ensemble Initialization and Roles of ETR and EnKF
- Comparison of ETR and EnKF initialization
- Summary

# Introduction:

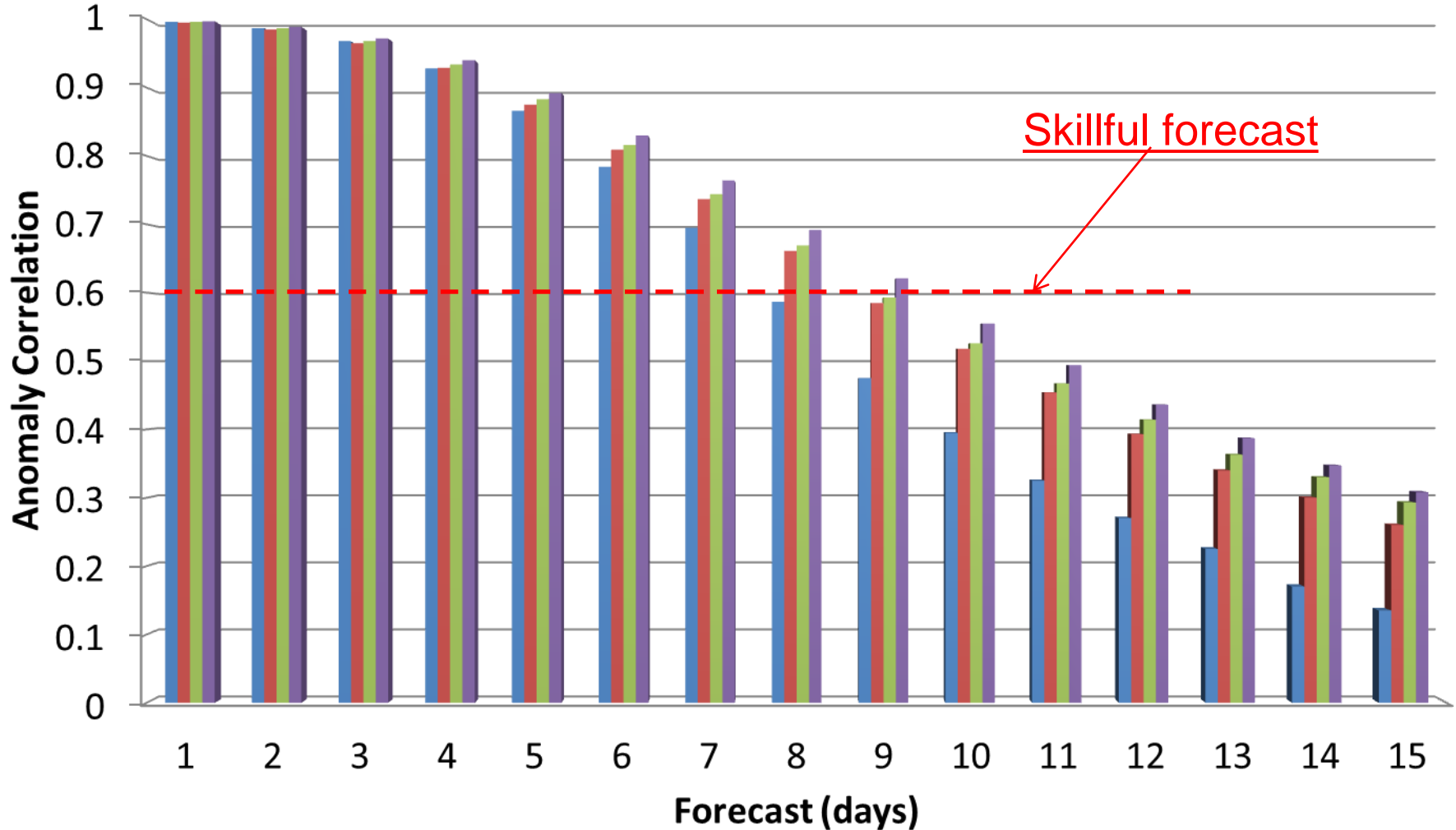
## NCEP's Global Ensemble Forecast

- **Multi-Center, Multi-Model Ensemble (NAEFS/NUOPC)**
  - NCEP, CMC, and FNMOC ensemble systems
- **Global Ensemble Forecast System (GEFS)**
  - Ensemble generation method
    - Initial perturbation (BV-ETR) and Model uncertainty (STTP)
  - Horizontal and vertical resolutions
  - NWP model, dynamics, physics and numeric (GFS model)
  - Data assimilation system (GSI, to be upgraded to GSI-EnKF Hybrid)
  - Post processing ( Bias correction other techniques )
- **Operational GEFS products**
  - Provide forecast guidance as a single model ensemble
  - Have been steadily improved due to advances in data assimilation, NWP model and ensemble generation techniques
  - Contribute to, and benefit from NAEFS, NUOPC and other MMEs<sub>3</sub>

# NH Anomaly Correlation for 500hPa Height

Period: September 1st – November 30th 2011

■ GFS ■ GEFS ■ GEFSx ■ NAEFS



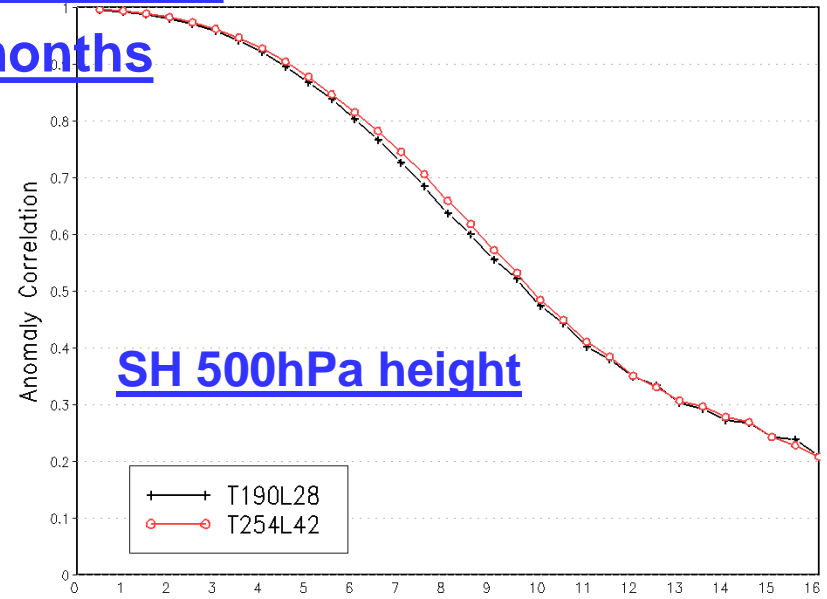
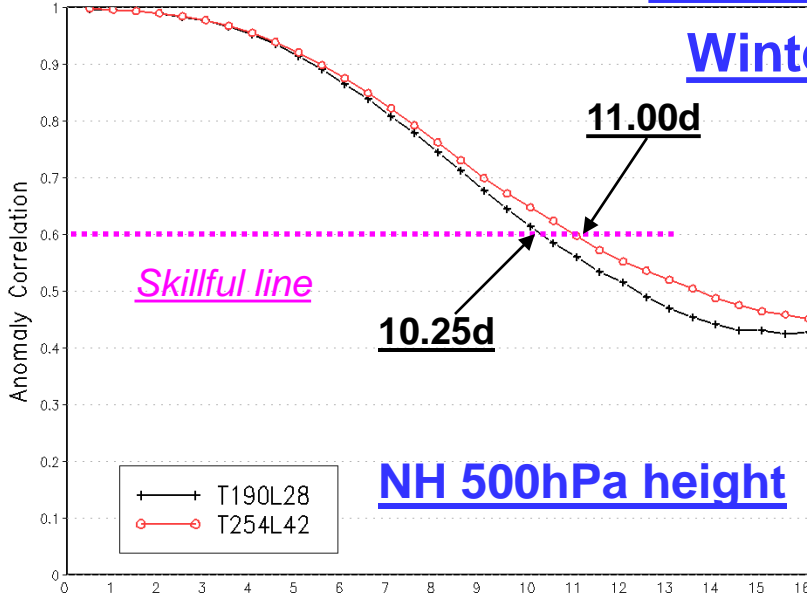
# Latest Upgrade (Feb.14, 2012)

- Model and Ensemble Techniques
  - Using GFS V9.01 (current operational GFS) instead of GFS V8.00
  - Improved Ensemble Transform with Rescaling (ETR) initialization
  - Improved Stochastic Total Tendency Perturbation (STTP)
- Resolution
  - T254 (55km) horizontal resolution for 0-192 hours (from T190 – 70km)
  - T190 (70km horizontal resolution for 192-384 hours (remain unchanged)
  - L42 vertical levels for 0-384 hours (from L28)
- Unchanged:
  - 20+1 members per cycle, 4 cycles per day
  - pgrb file output at 1\*1 degree every 6 hours
  - GEFS and NAEFS post processed output data format
- What do we expect from this implementation?
  - Improve general probabilistic forecast skill overall
  - Significant improvement of tropical storm tracks (especially for Atlantic basin)

Northern Hemisphere 500hPa Height  
Ensemble Mean Anomaly Correlation  
Average For 20091202 - 20100201

# Anomaly Correlation

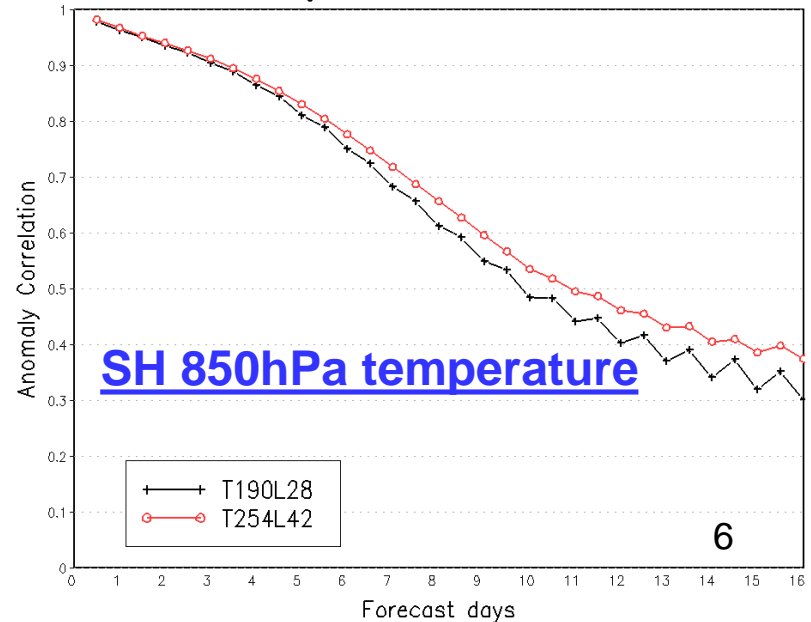
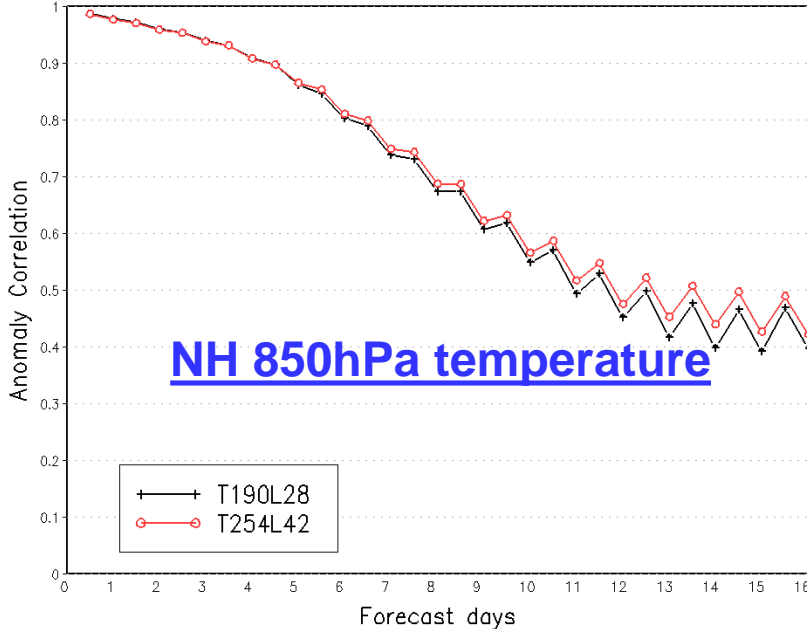
Southern Hemisphere 500hPa Height  
Ensemble Mean Anomaly Correlation  
Average For 20091202 - 20100201



Northern Hemisphere 850hPa Temp.  
Ensemble Mean Anomaly Correlation  
Average For 20091202 - 20100201

# GFS V8.0 .vs V9.0

Southern Hemisphere 850hPa Temp.  
Ensemble Mean Anomaly Correlation  
Average For 20091202 - 20100201

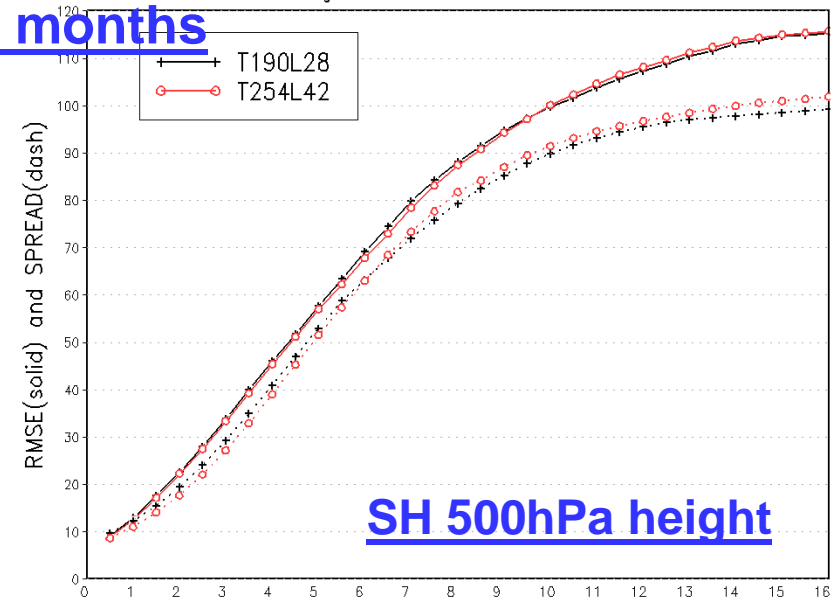
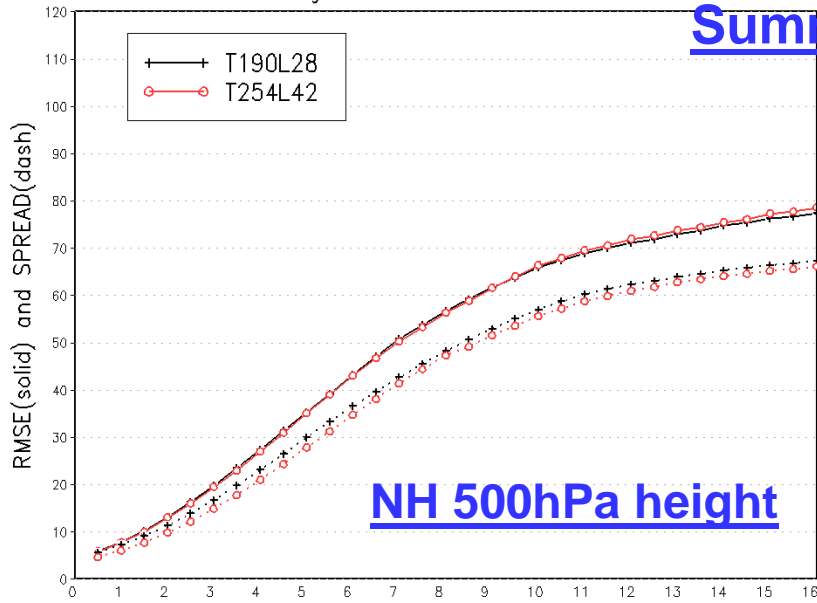


Northern Hemisphere 500hPa Height  
Ensemble Mean RMSE and Ensemble SPREAD  
Average For 20100802 - 20100930

# RMS Error & Spread

Southern Hemisphere 500hPa Height  
Ensemble Mean RMSE and Ensemble SPREAD  
Average For 20100802 - 20100930

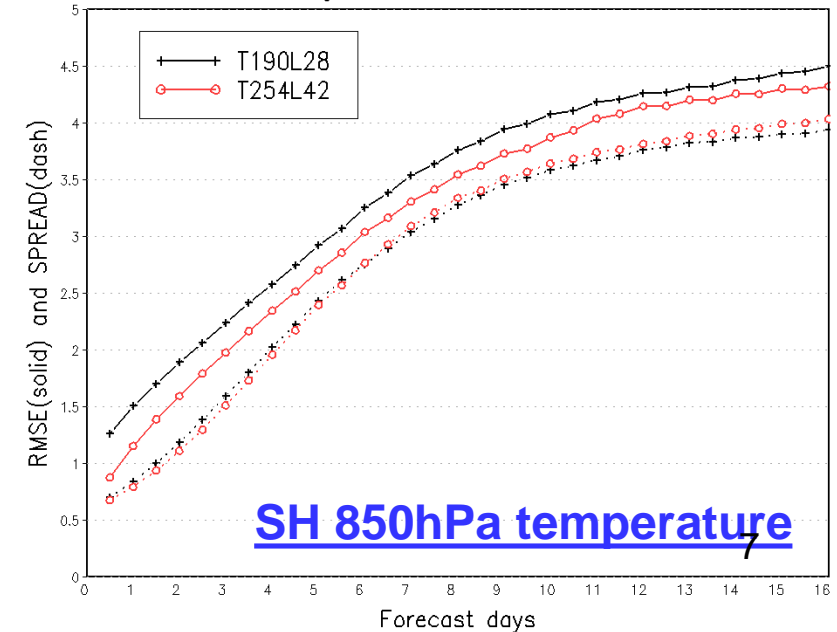
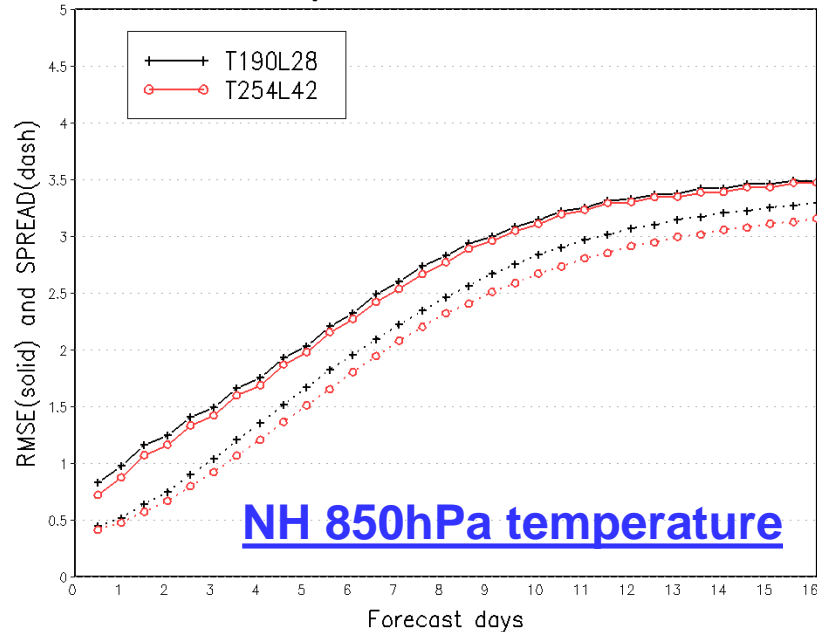
## Summer 2 months



Northern Hemisphere 850hPa Temp.  
Ensemble Mean RMSE and Ensemble SPREAD  
Average For 20100802 - 20100930

## GFS V8.0 .vs V9.0

Southern Hemisphere 850hPa Temp.  
Ensemble Mean RMSE and Ensemble SPREAD  
Average For 20100802 - 20100930

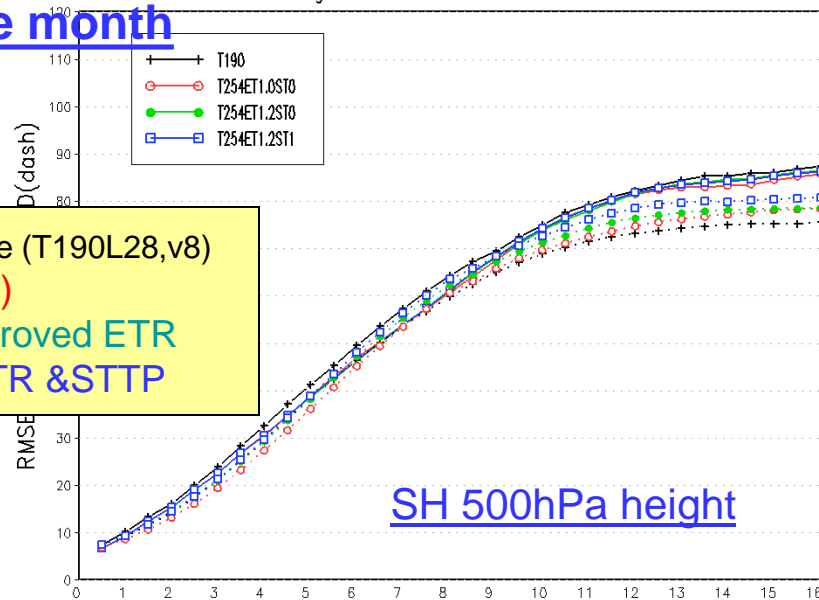
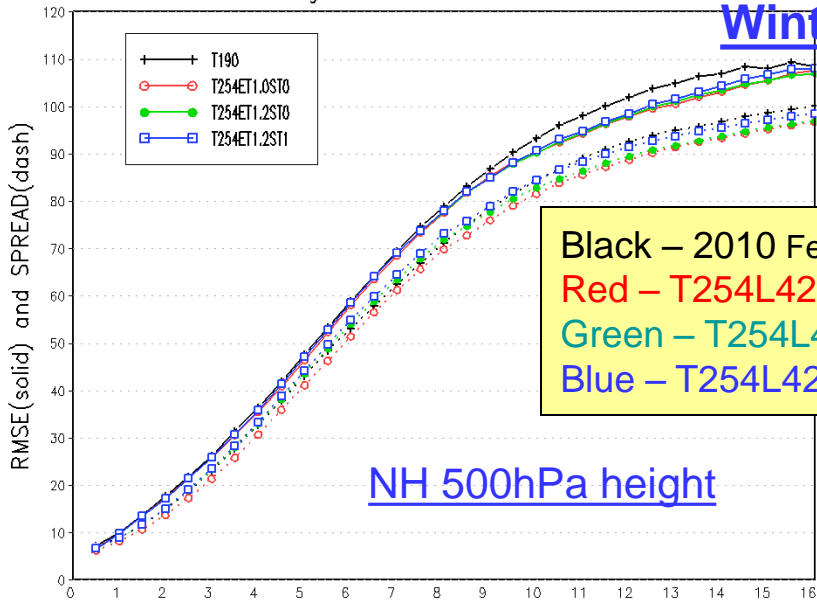


Northern Hemisphere 500hPa Height  
Ensemble Mean RMSE and Ensemble SPREAD  
Average For 20091202 - 20091229

# RMS & Spread

Southern Hemisphere 500hPa Height  
Ensemble Mean RMSE and Ensemble SPREAD  
Average For 20091202 - 20091229

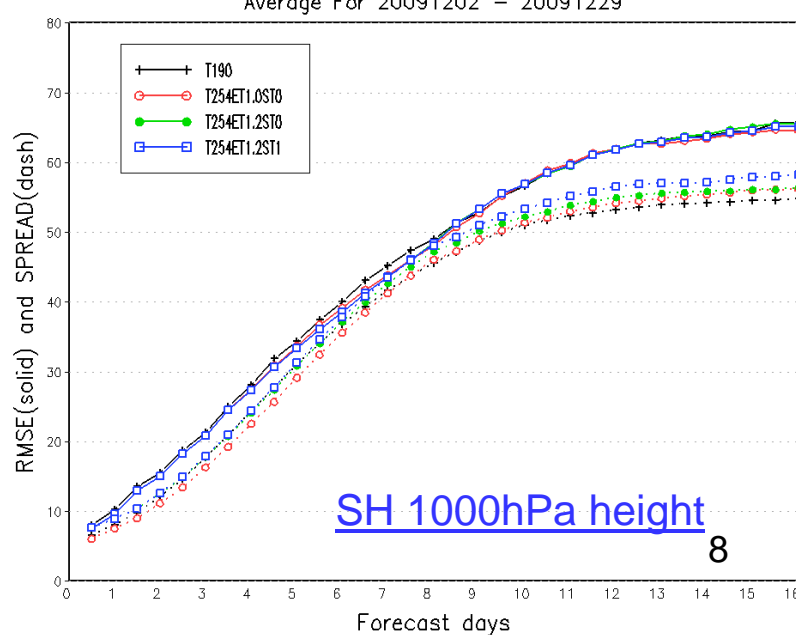
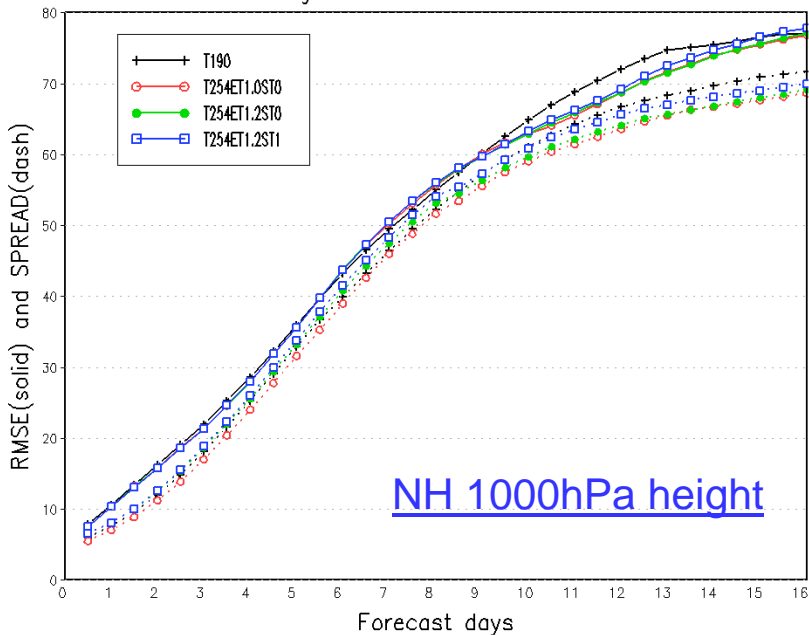
## Winter one month



Northern Hemisphere 1000hPa Height  
Ensemble Mean RMSE and Ensemble SPREAD  
Average For 20091202 - 20091229

## GFS V8.0 .vs V9.0

Southern Hemisphere 1000hPa Height  
Ensemble Mean RMSE and Ensemble SPREAD  
Average For 20091202 - 20091229



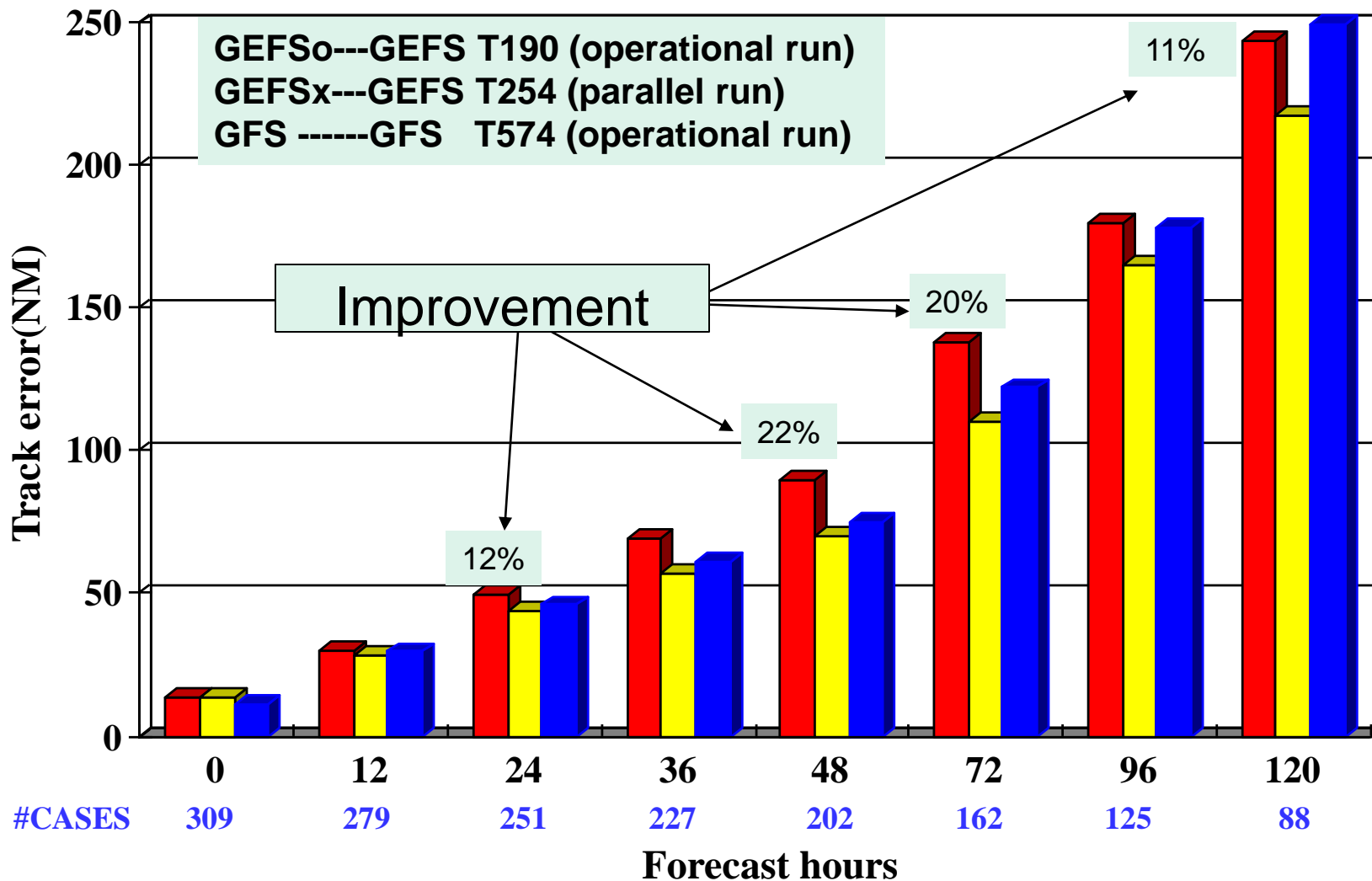


# Tropical Storm Track Forecast

## Atlantic, AL01~19 (06/01~11/30/2011)

■ **GEFS<sub>o</sub>**
■ **GEFS<sub>x</sub>**
■ **GFS**

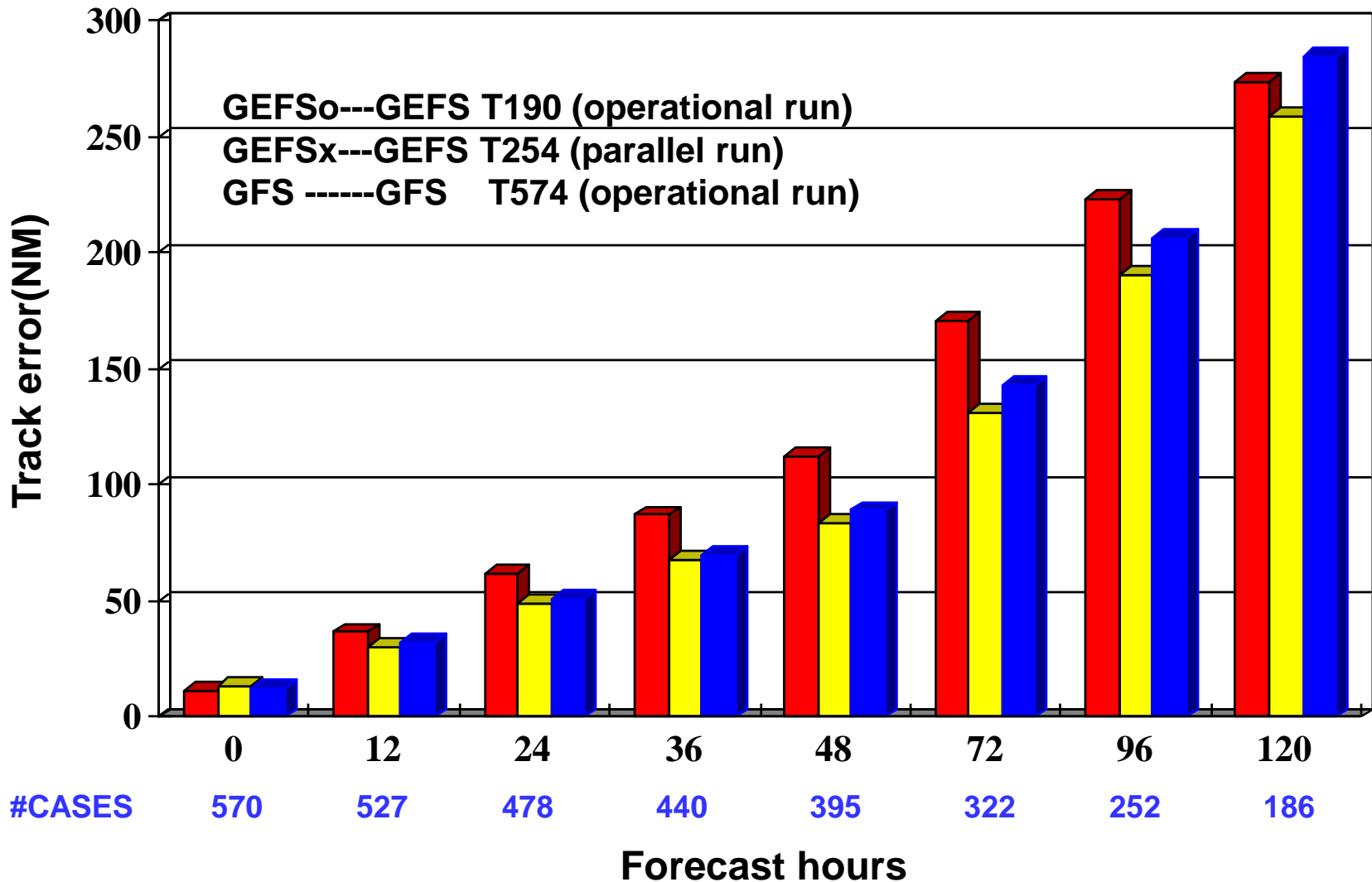
GEFS<sub>x</sub> runs once per day before Oct.



# Tropical Storm Track Forecast

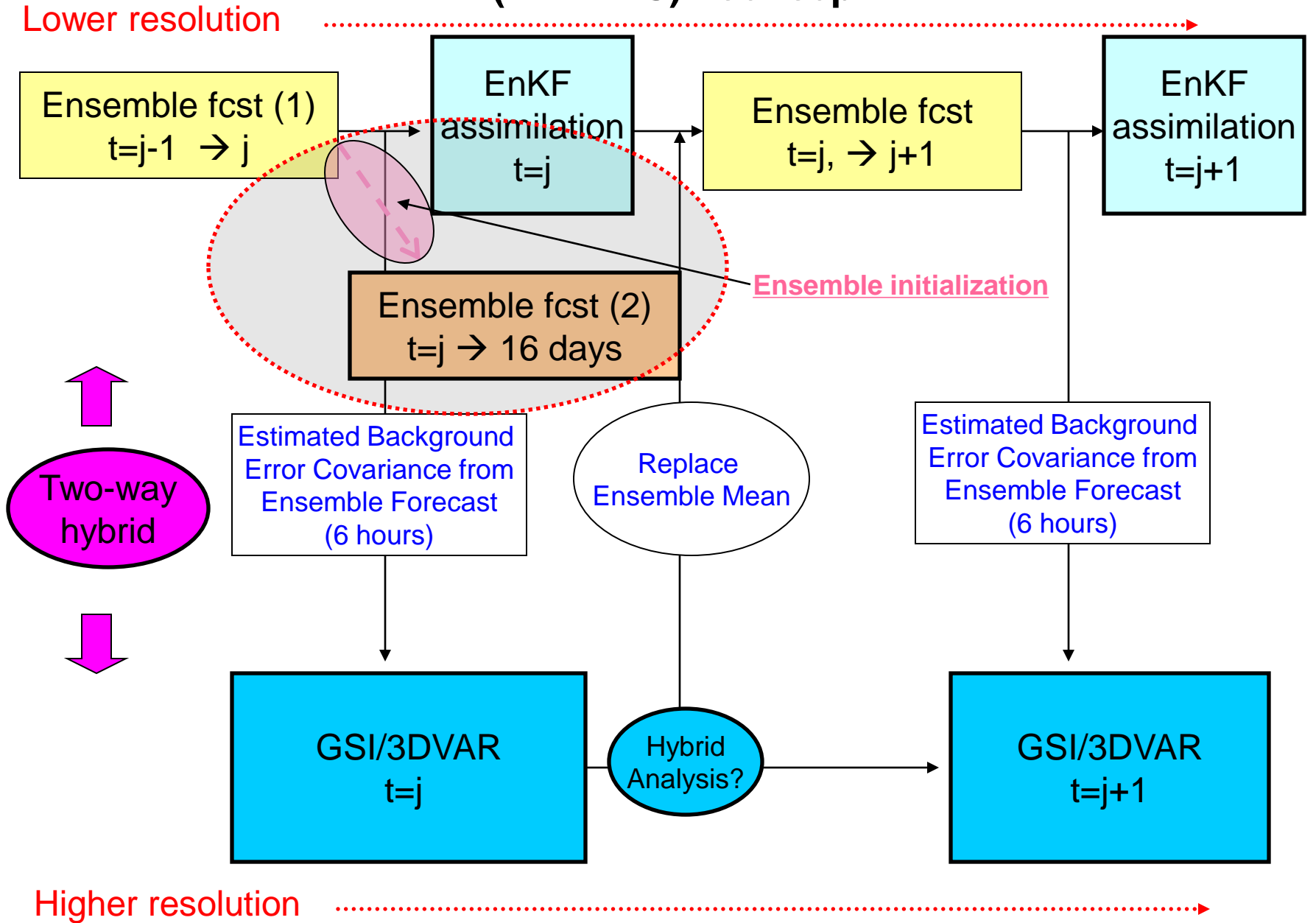
Atlantic, East and West Pacific, AL01~17, EP01~09, WP05~22 (06/01~09/30/2011)

**■ GEFS<sub>o</sub> ■ GEFS<sub>x</sub> ■ GFS**



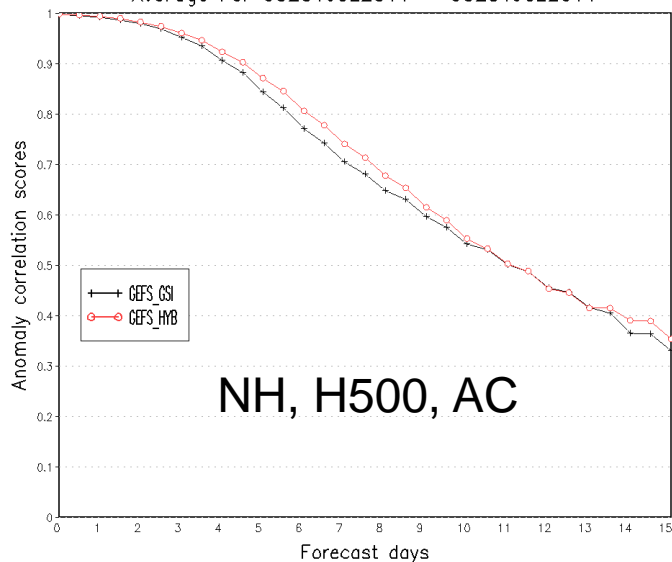
# Impact of Coming Implementation of GSI-EnKF Hybrid Analysis

# Flow Chart for Hybrid Variational and Ensemble Data Assimilation System (HVEDAS) - concept

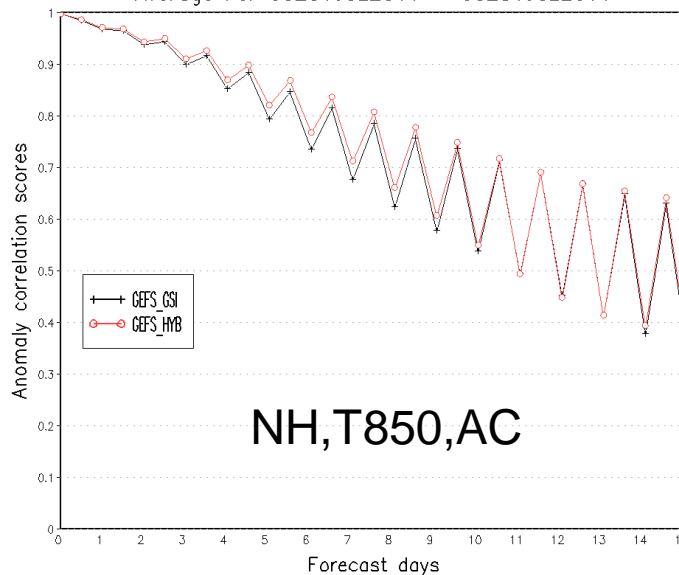


# Impact of the DA upgrade in May 2012: Data assimilation upgrade: Hybrid GSI-EnKF

NH 500 mb Geopotential Height ( wave 1-20 )  
Average For 00Z01JUL2011 - 00Z31JUL2011



NH 850 mb Temperature ( wave 1-20 )  
Average For 00Z01JUL2011 - 00Z31JUL2011

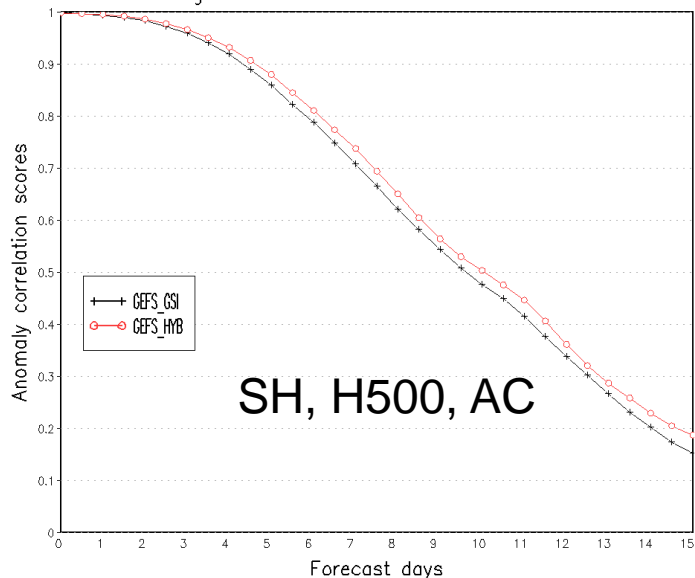


--- GSI  
--- HYB

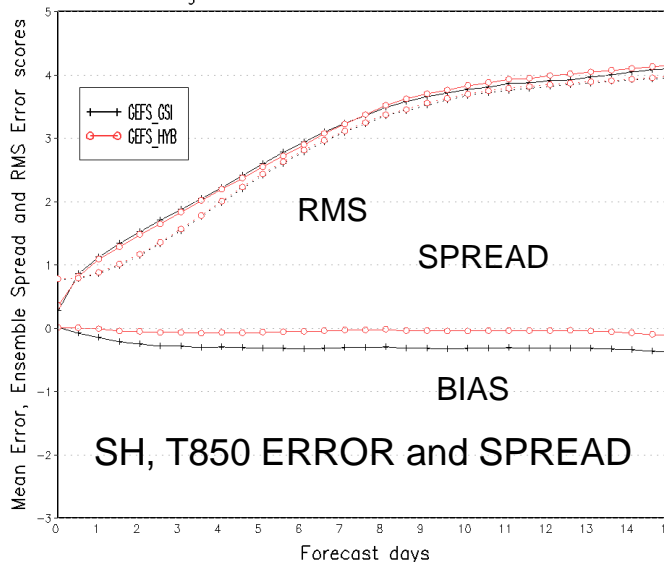
Ensemble Mean

Improvement  
Is expected,  
Especially in  
H500 AC and  
SH T850 Bias

SH 500 mb Geopotential Height ( wave 1-20 )  
Average For 00Z01JUL2011 - 00Z31JUL2011

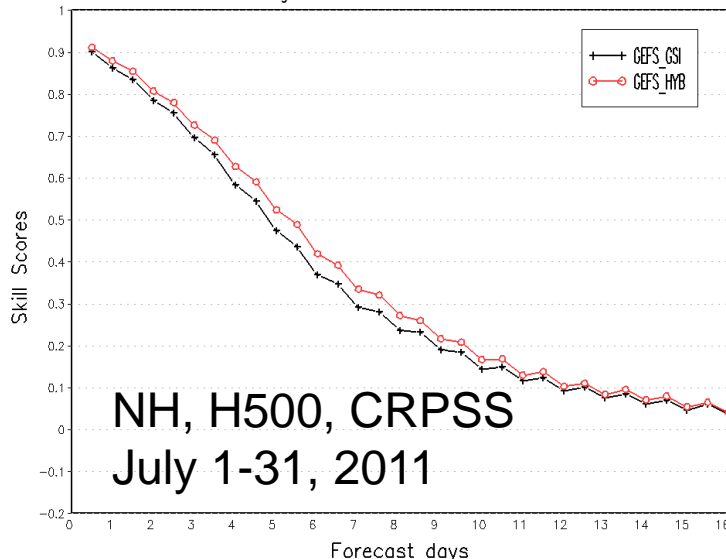


SH 850 mb Temperature  
Average For 00Z01JUL2011 - 00Z31JUL2011

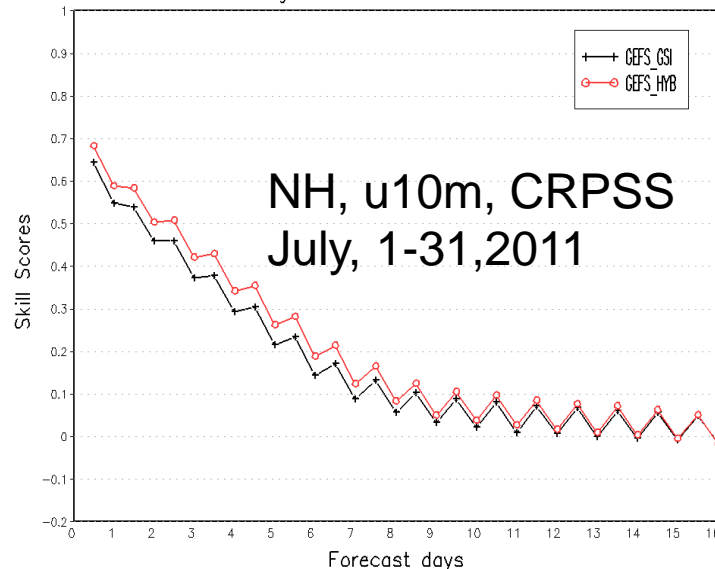


# Impact of the DA upgrade in March/April 2012: Data assimilation upgrade: Hybrid GSI-EnKF

Northern Hemisphere 500hPa Height  
Continous Ranked Probability Skill Scores  
Average For 20110701 - 20110731



Northern Hemisphere 10 Meter U Wind  
Continous Ranked Probability Skill Scores  
Average For 20110701 - 20110731



--- GSI  
--- HYB

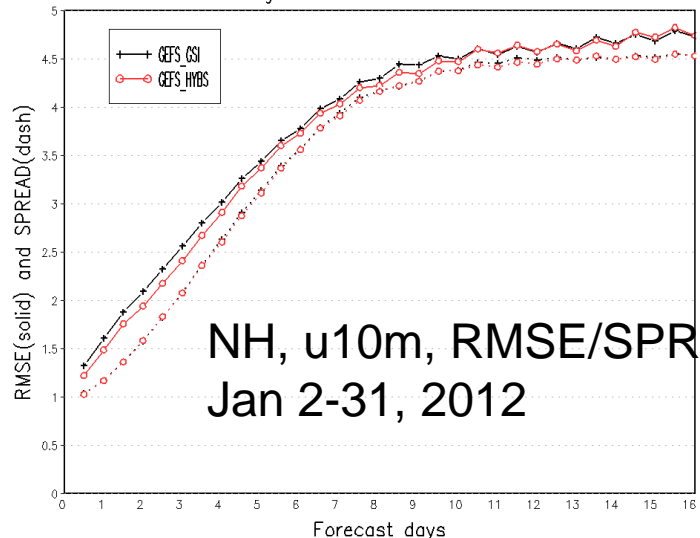
Probabilistic  
Forecast (CRPSS  
Is shown) will  
be improved.

Similar impact  
On surface variables

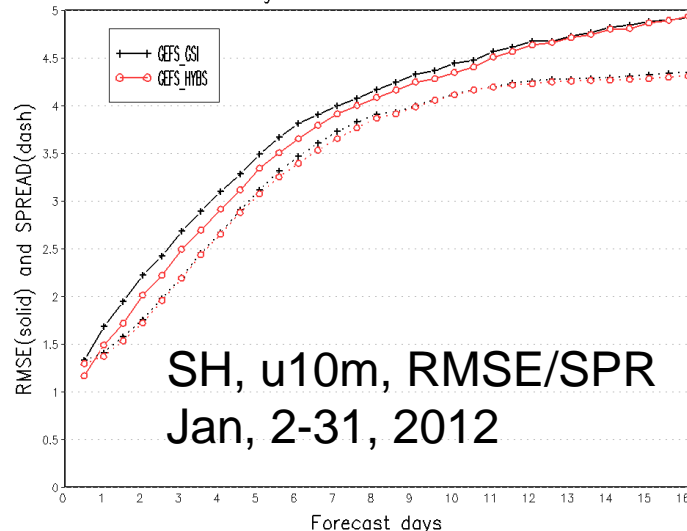
More improvements  
For short lead time

Similar results  
For opposite season

Northern Hemisphere 10 Meter U Wind  
Ensemble Mean RMSE and Ensemble SPREAD  
Average For 20120102 - 20120131



Southern Hemisphere 10 Meter U Wind  
Ensemble Mean RMSE and Ensemble SPREAD  
Average For 20120102 - 20120131



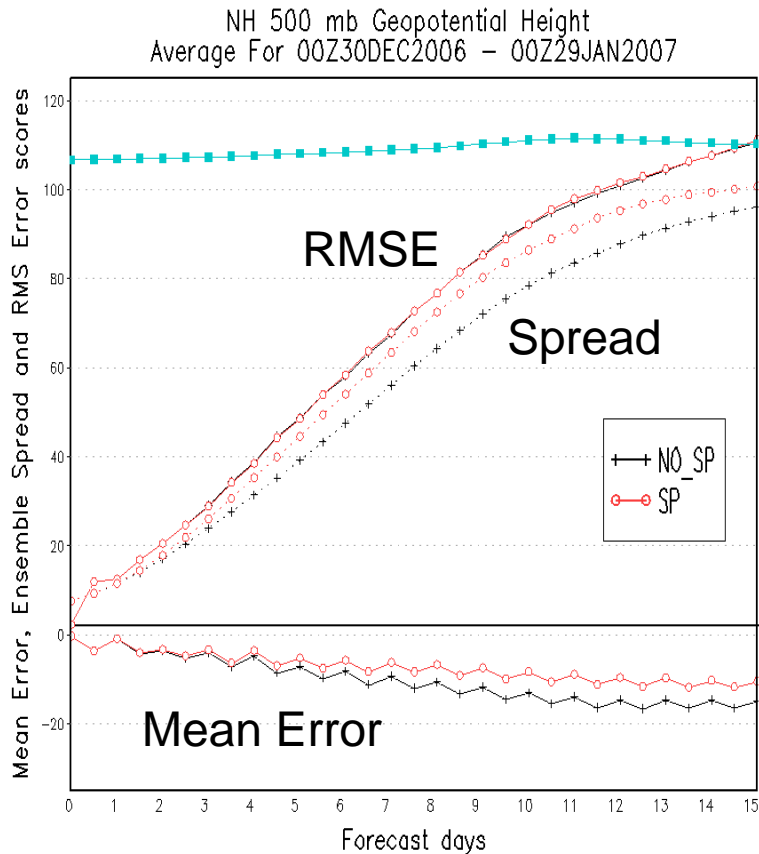
# Model Related Uncertainty and Impact of STTP

# Model Related Uncertainty and Impact of Stochastic Total Tendency Perturbation (STTP)

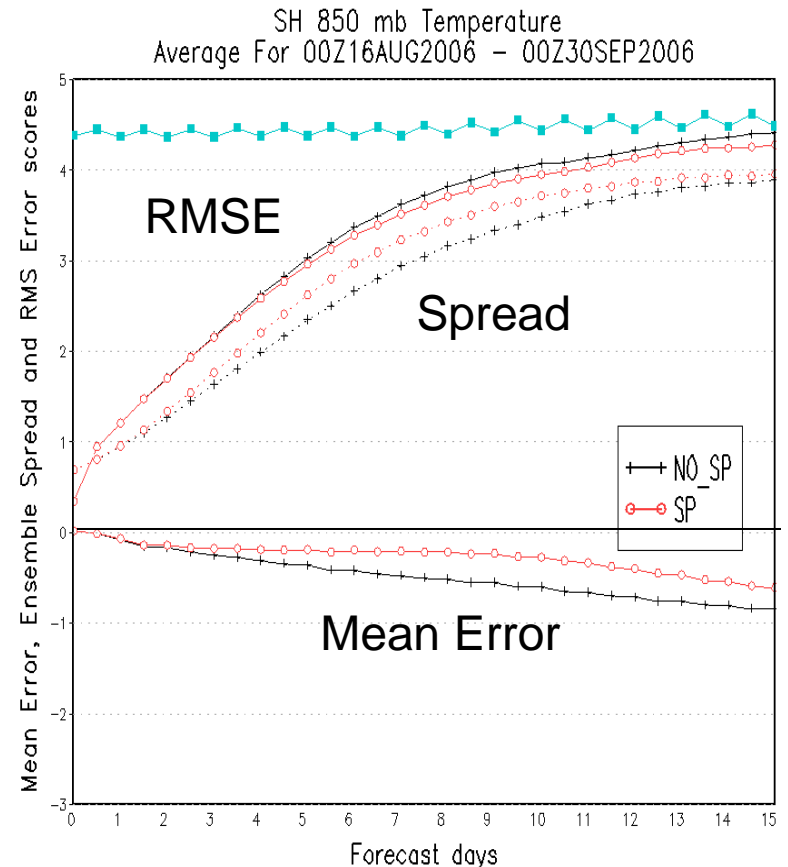
## Operational Implementation of STTP with GEFS upgrade on Feb. 23, 2010

Two tests with T190L28 resolution, **With STTP (SP)** and Without STTP (NO\_SP)

STTP Impacts: Reduced systematic error and increase in perturbation (spread) growth



**NH H500 Winter**



**SH T850 Winter**



# Tuning of STTP Parameters for 2012 implementation

## STTP amplitude Specifications:

$$\gamma = \gamma_1(\varphi, d)\gamma_0(t)$$

$$\gamma_1(\varphi, d) = 1.0 + 0.2 \sin(\varphi) \cos \frac{2\pi d}{364}$$

$$\gamma_0(t) = \pm [p_2 + (p_1 - p_2) \left\{ 1.0 - \frac{1.0}{1.0 + e^{-p_3(t-p_4)}} \right\}]$$

$\gamma_1$  : Seasonal and meridional variation, fixed for each d, date of initialization  
 $\gamma_0$  : Rescaling factor as a function of lead time, negative sign is used

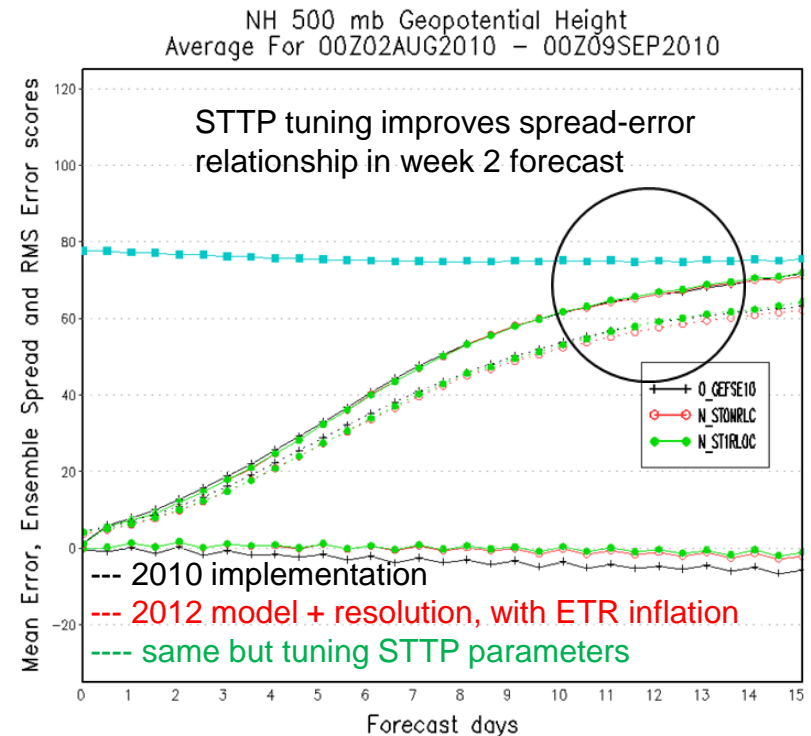
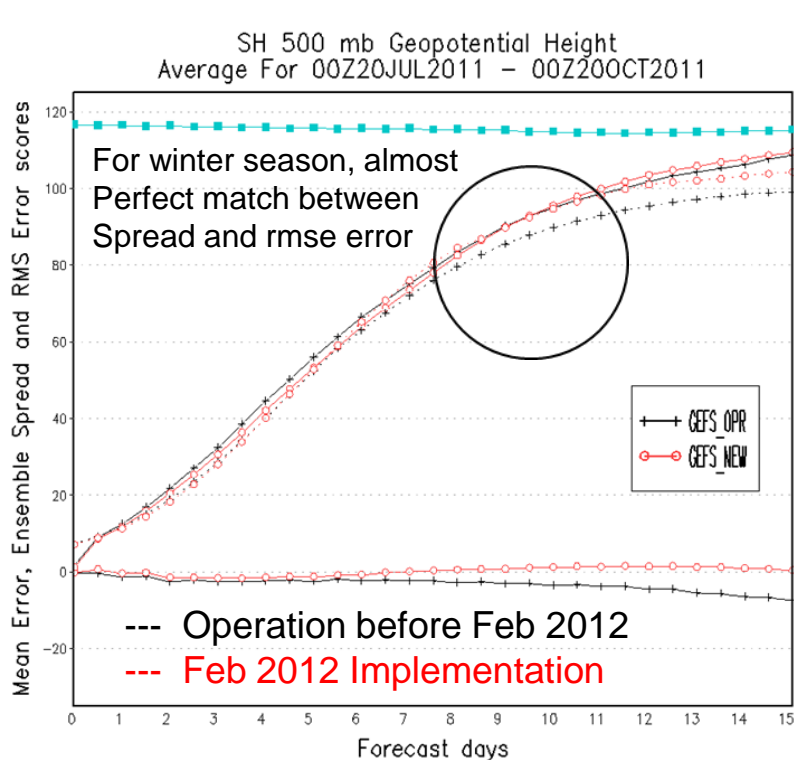
For the Feb 23, 2010 implementation:

$p_1=0.1, p_2=0.01, p_3=0.11, p_4=252$  hours (for uniform resolution)

These are on the conservative side to ensure operational stability and minimize negative impacts

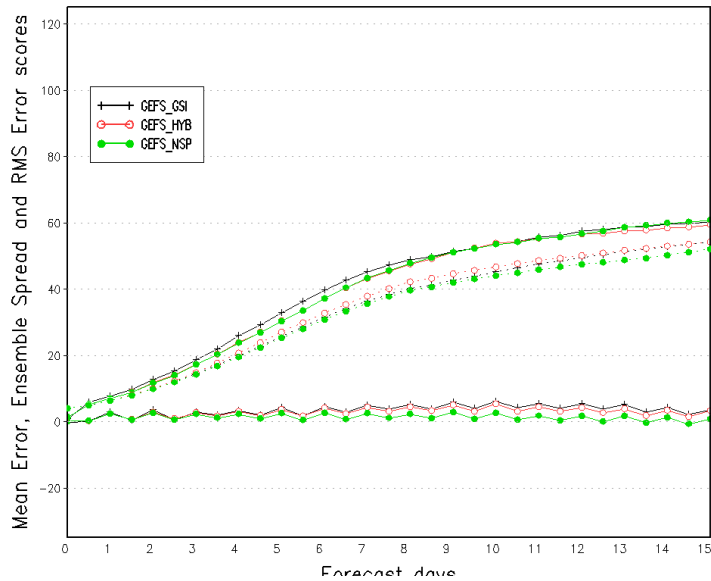
For the proposed 2012 implementation, slightly increase STTP amplitude, especially after 180h, to compensate for the model truncation.

$p_1=0.105, p_2=0.03, p_3=0.12, p_4=252$  hours (for variable resolution)

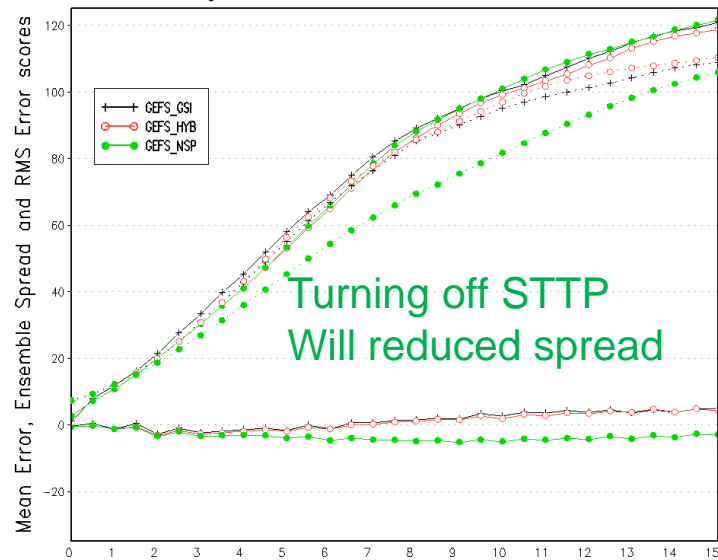


# Impact of STTP, Parallel GEFS with Hybrid Analysis

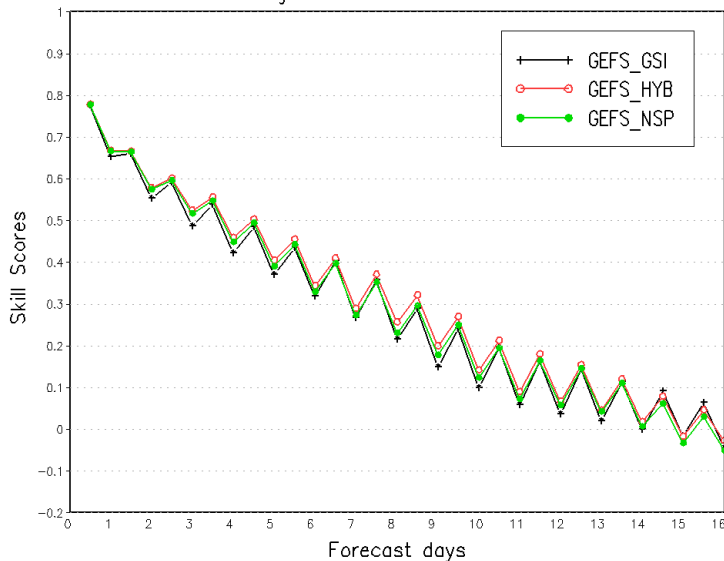
NH 500 mb Geopotential Height  
Average For 00Z01JUL2011 – 00Z17JUL2011



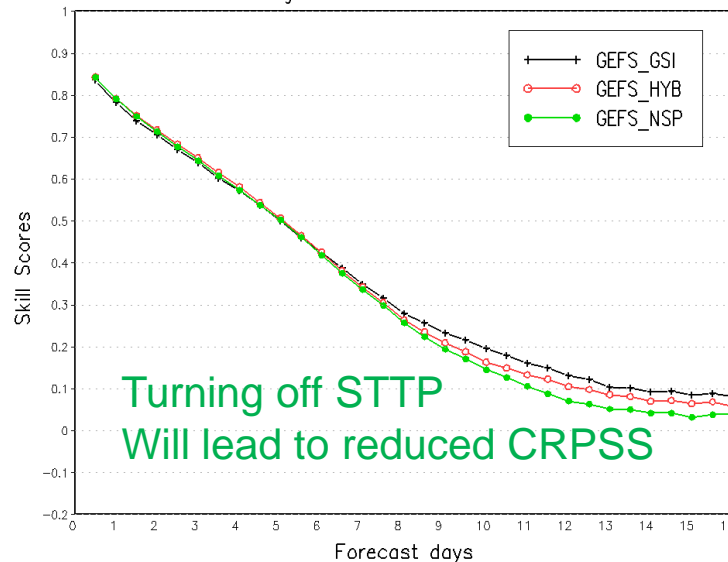
SH 500 mb Geopotential Height  
Average For 00Z01JUL2011 – 00Z17JUL2011



North American 850hPa Temp.  
Continuous Ranked Probability Skill Scores  
Average For 20110701 – 20110717



Southern Hemisphere 850hPa Temp.  
Continuous Ranked Probability Skill Scores  
Average For 20110701 – 20110717

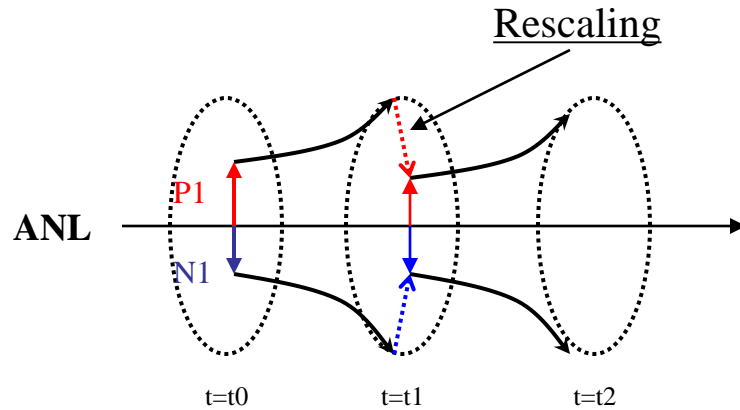


# Model Related Uncertainty and Impact of STTP (Discussion)

- Model Related uncertainty is represented by STTP
  - Uncertainty for all dynamic, physical, and numerical sources
  - Not “physics” based
- Impact of STTP
  - Increase spread and improve probabilistic forecast skills
  - Reduce negative bias (but may increase positive bias)
  - improve probabilistic forecast skills
  - May increase positive bias and thus less effective in Summer
- Performance of the operational STTP scheme
  - Stable in operations
  - Robust with changes in model, analysis and resolutions
  - Minimum maintenance required
- Future of STTP
  - Complemented by physics based stochastic schemes
  - Work to tackle the “left over” model uncertainty by other schemes.

# **Ensemble Initialization and Future Role of ETR and EnKF**

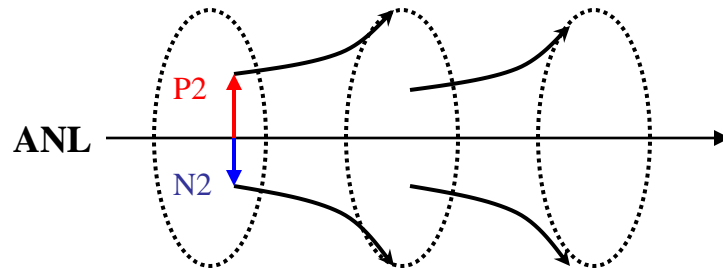
# Bred Vector (Introduced 1990's)



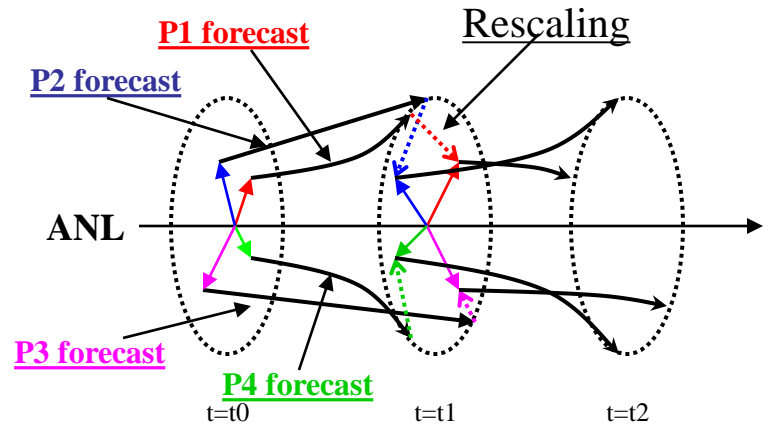
**P#**, **N#** are the pairs of positive and negative

**P1** and **P2** are independent vectors

Simple scaling down (no direction change)



# Ensemble Transform with Rescaling (Current Operation)



**P1**, **P2**, **P3**, **P4** are orthogonal vectors

No pairs any more

To centralize all perturbed vectors (sum of all vectors are equal to zero)

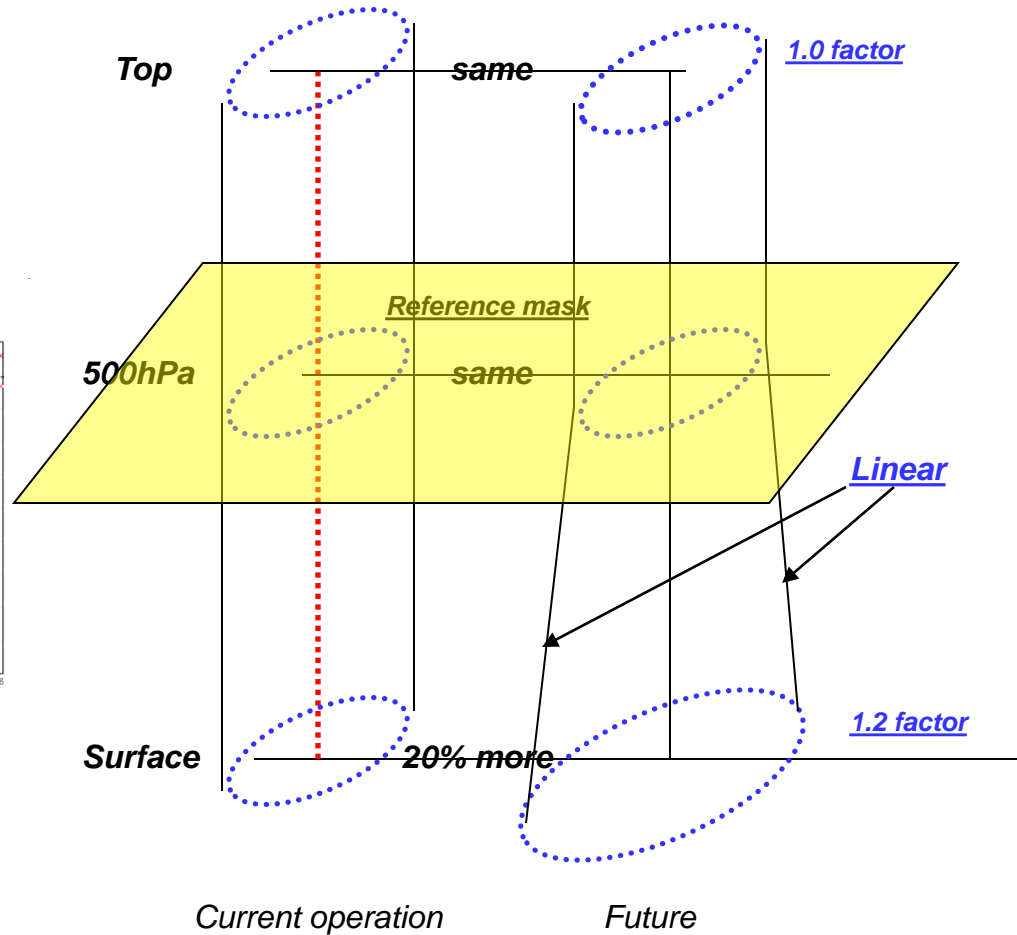
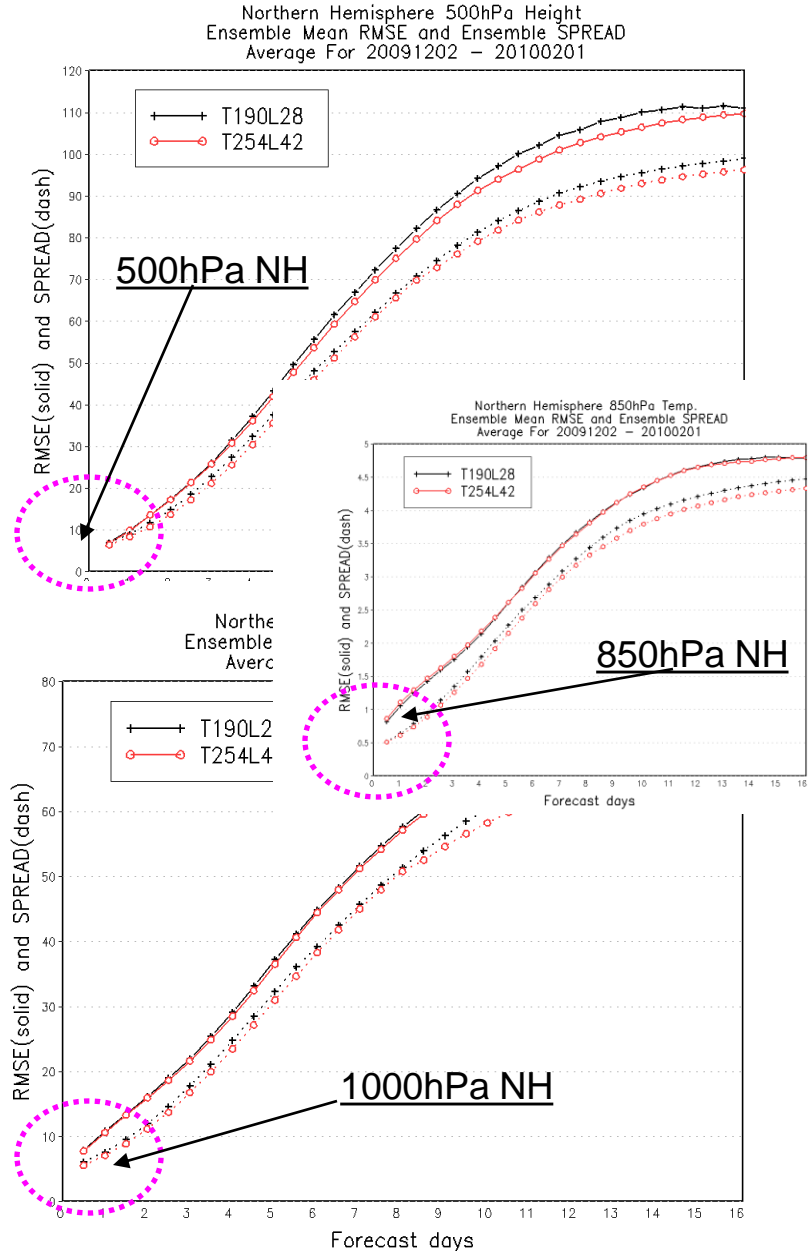
Scaling down by applying mask (2D mask is generated based on mid-of-troposphere near 500hPa as a reference)

The direction of vectors will be tuned by ETR.

# Why and how do we tune ETR initial perturbations ?

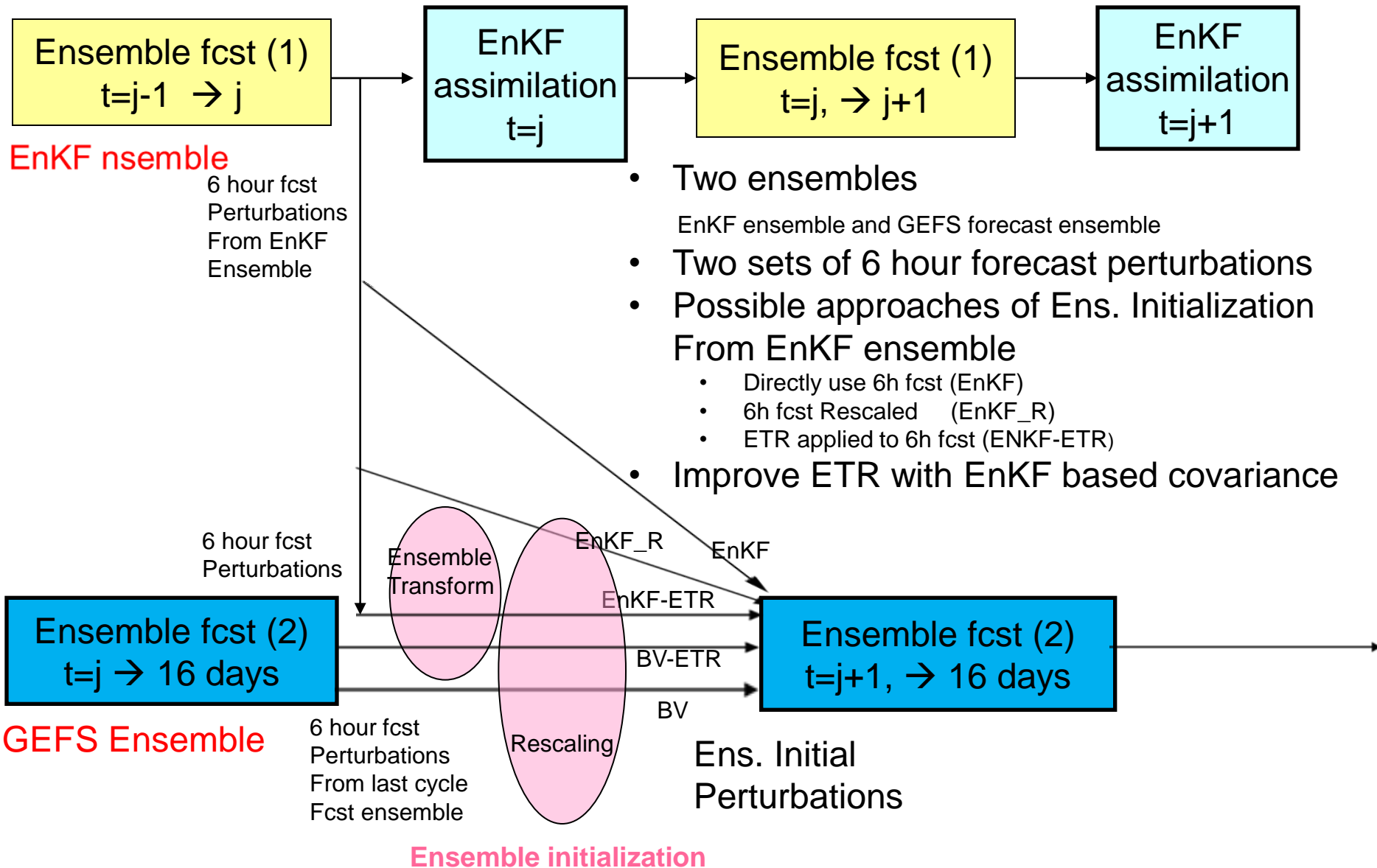
In Feb 2012 Upgrade

## Rescaling mask and factors



Schematic of tuning initial perturbations

# Alternative Approaches of Ensemble Initialization Provided by the Hybrid GSI-EnKF Data Assimilation



# Comparison of ETR and EnKF initialization from hybrid analysis

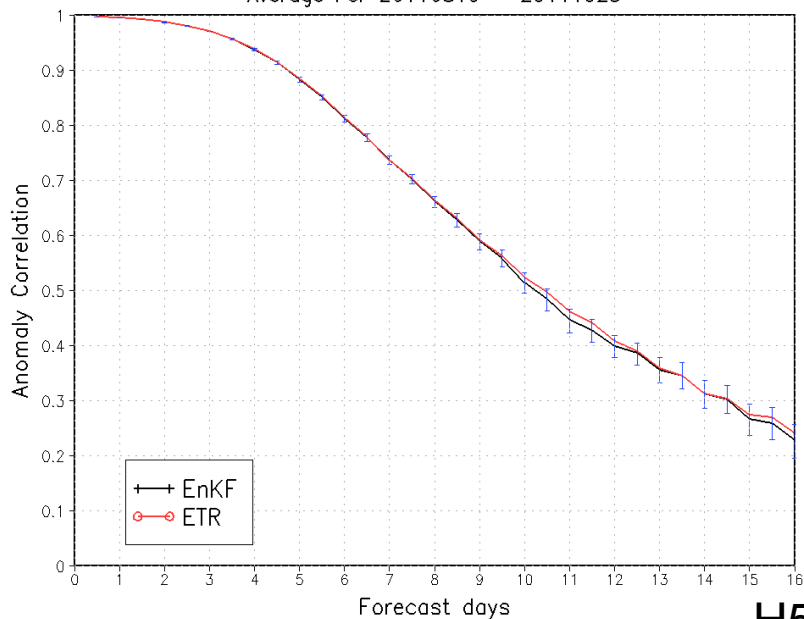
Xiaqiong Zhou, Jeff Whitaker, Richard Wobus  
Yuejian Zhu and Dingchen Hou  
(NCEP and ESRL)

## Experiment Design

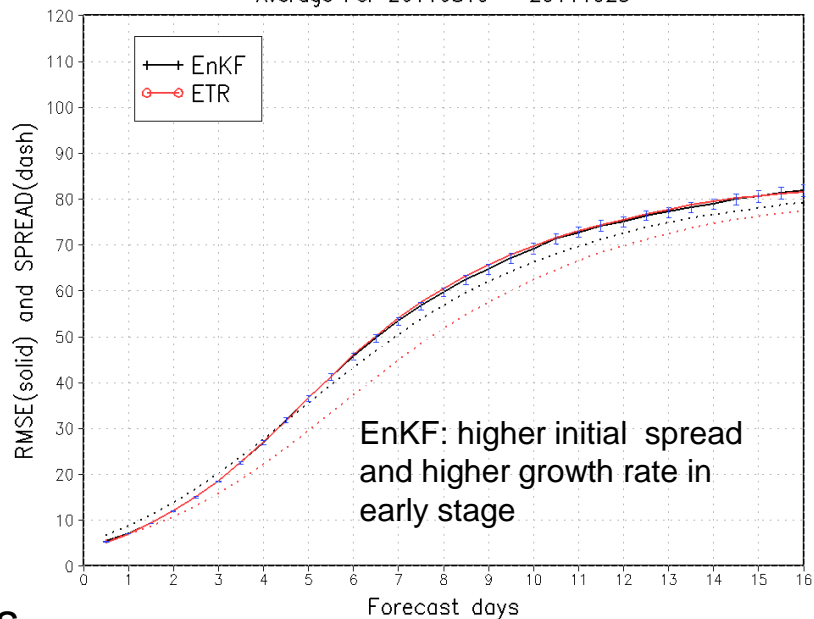
- Period: 08/19 – 10/25/2011
- Configuration: As current operational GEFS with Hybrid analysis, but without STTP
- Resolutions: T254L42/T190L42
- Forecast lead time: 16 days
- Initial condition: hybrid analysis (to be implemented)
- Perturbed initial conditions: ETR and EnKF
- Verification against: hybrid analysis
- Statistics: [http://www.emc.ncep.noaa.gov/gc\\_wmb/xzhou/EnKF\\_ETR\\_16d.HTML](http://www.emc.ncep.noaa.gov/gc_wmb/xzhou/EnKF_ETR_16d.HTML)



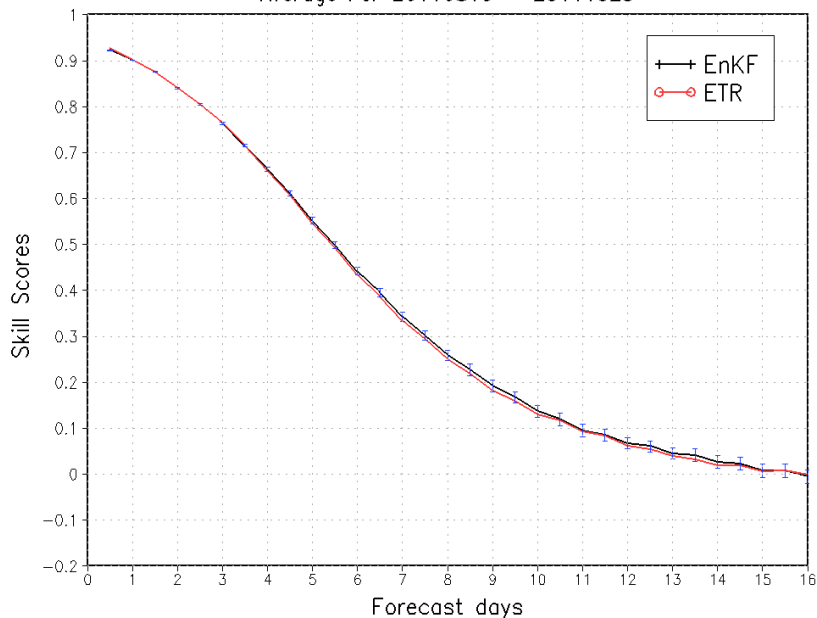
Northern Hemisphere 500hPa Height  
Ensemble Mean Anomaly Correlation  
Average For 20110819 – 20111025



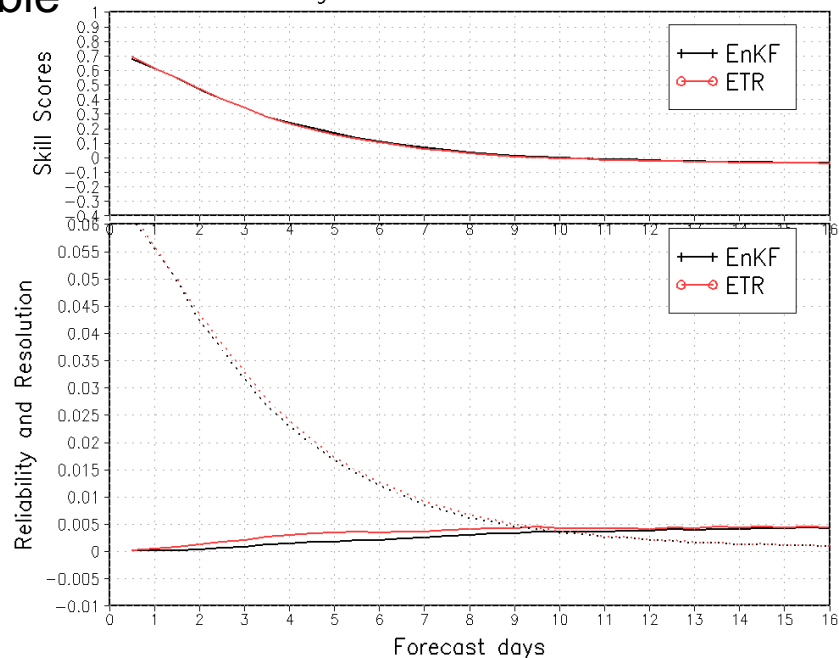
Northern Hemisphere 500hPa Height  
Ensemble Mean RMSE and Ensemble SPREAD  
Average For 20110819 – 20111025



Northern Hemisphere 500hPa Height  
Continuous Ranked Probability Skill Scores  
Average For 20110819 – 20111025

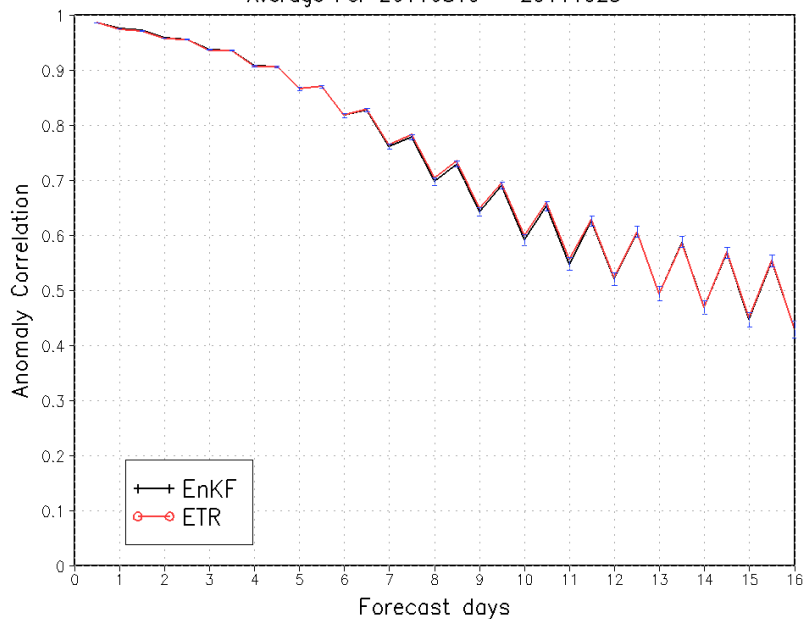


Northern Hemisphere 500hPa Height Brier Skill Scores (BSS)  
Average For 20110819 – 20111025

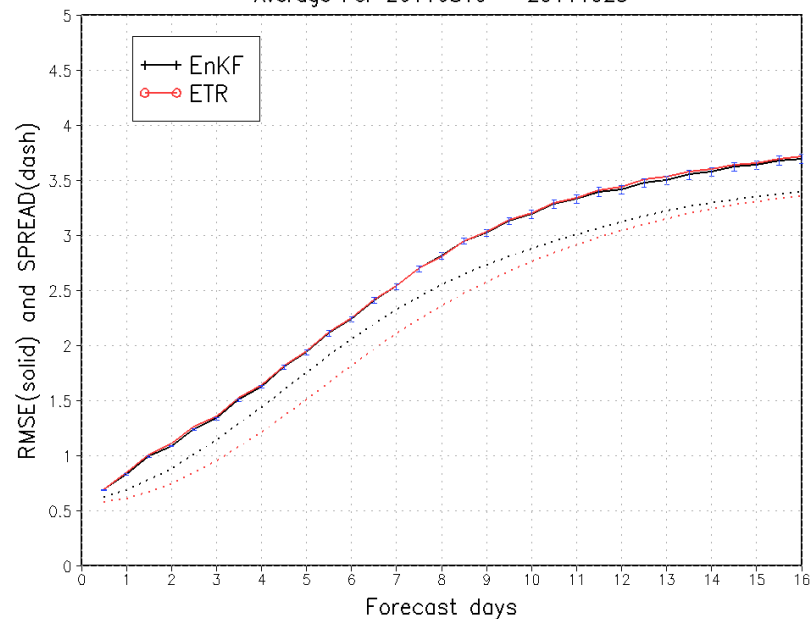


H500, skills  
Comparable

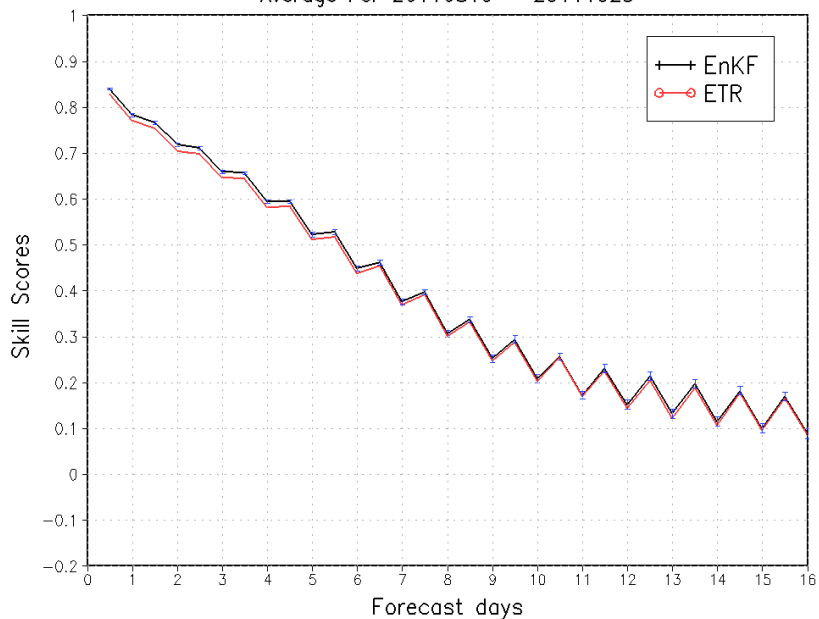
Northern Hemisphere 850hPa Temp.  
Ensemble Mean Anomaly Correlation  
Average For 20110819 – 20111025



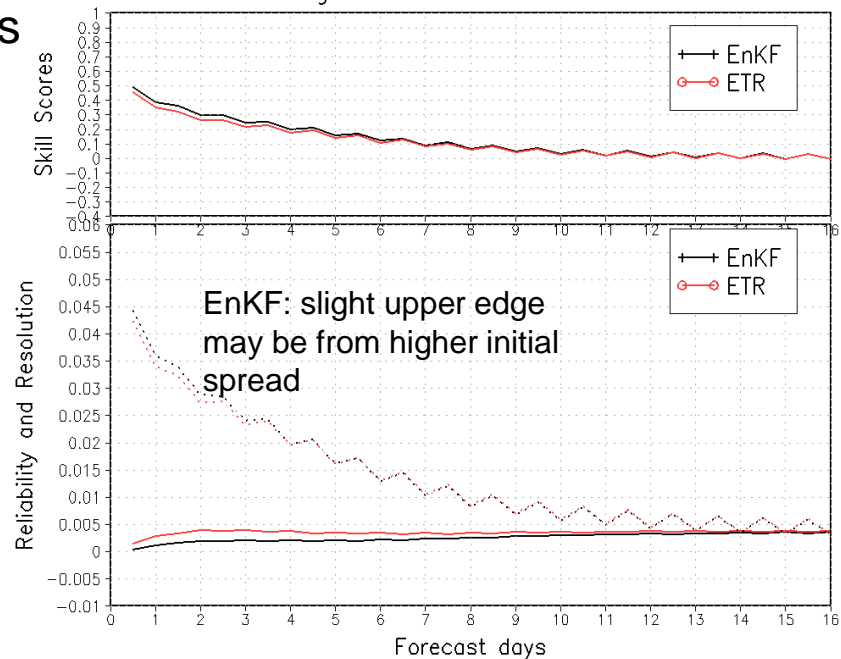
Northern Hemisphere 850hPa Temp.  
Ensemble Mean RMSE and Ensemble SPREAD  
Average For 20110819 – 20111025



Northern Hemisphere 850hPa Temp.  
Continuous Ranked Probability Skill Scores  
Average For 20110819 – 20111025

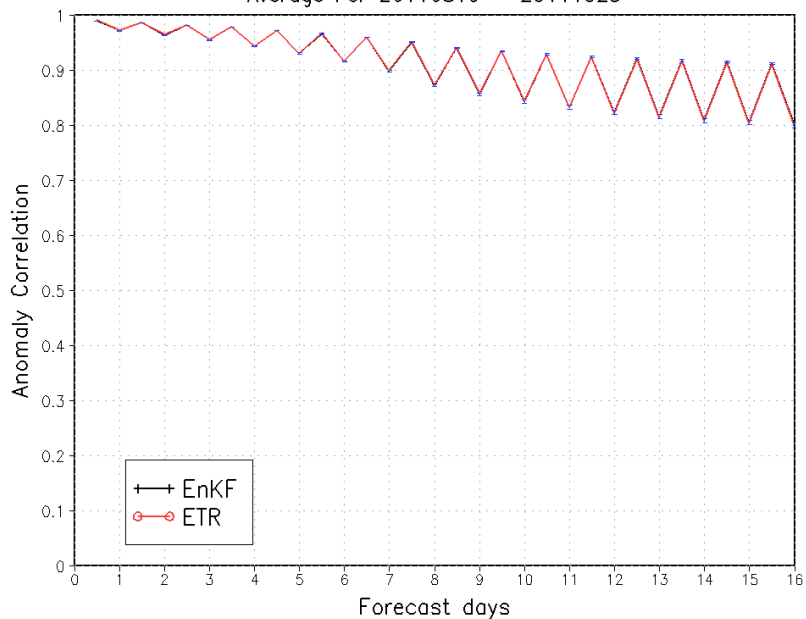


Northern Hemisphere 850hPa Temp. Brier Skill Scores (BSS)  
Average For 20110819 – 20111025

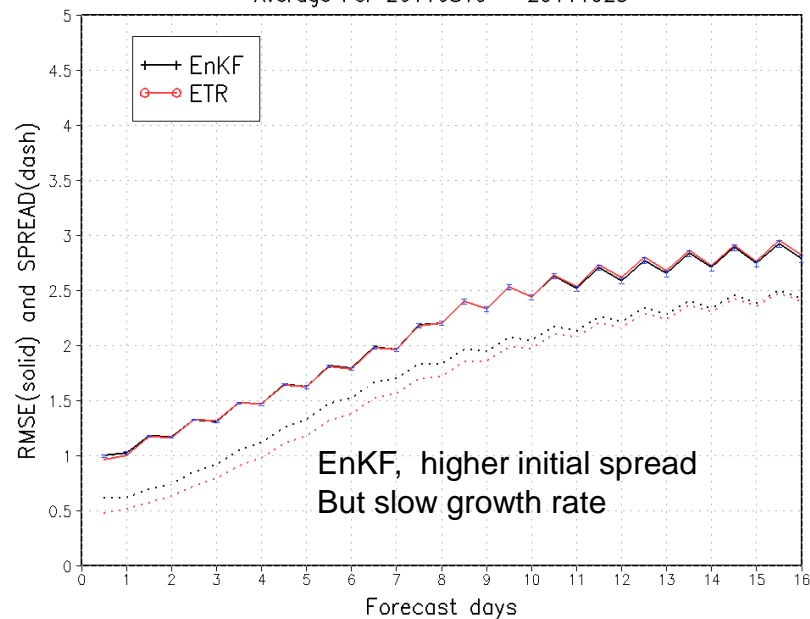


T850  
similar  
results

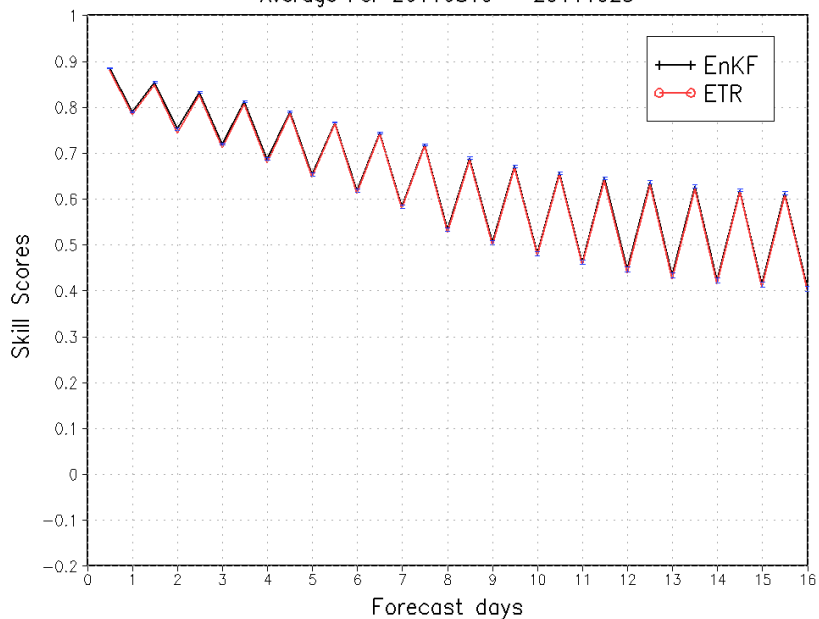
Northern Hemisphere 2 Meter Temp.  
Ensemble Mean Anomaly Correlation  
Average For 20110819 – 20111025



Northern Hemisphere 2 Meter Temp.  
Ensemble Mean RMSE and Ensemble SPREAD  
Average For 20110819 – 20111025

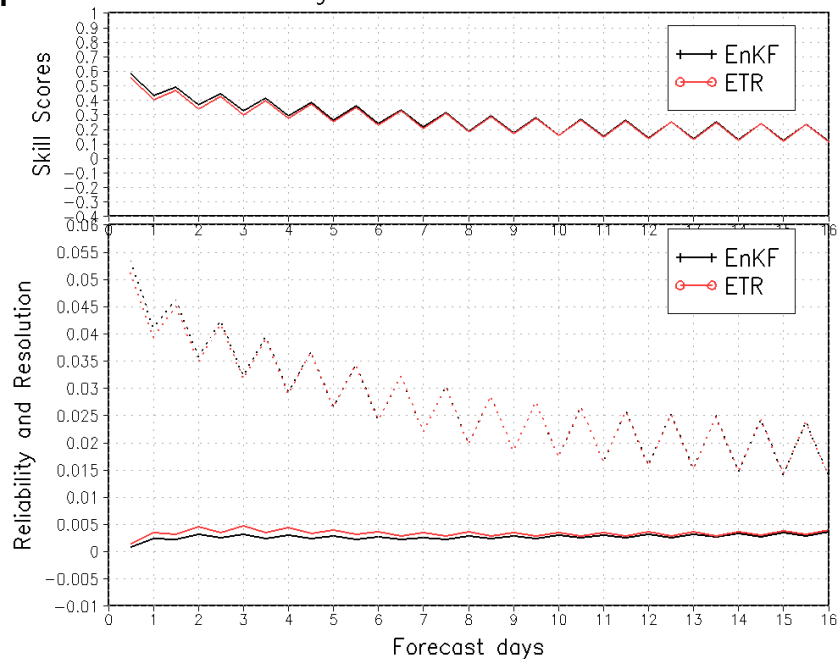


Northern Hemisphere 2 Meter Temp.  
Continuous Ranked Probability Skill Scores  
Average For 20110819 – 20111025



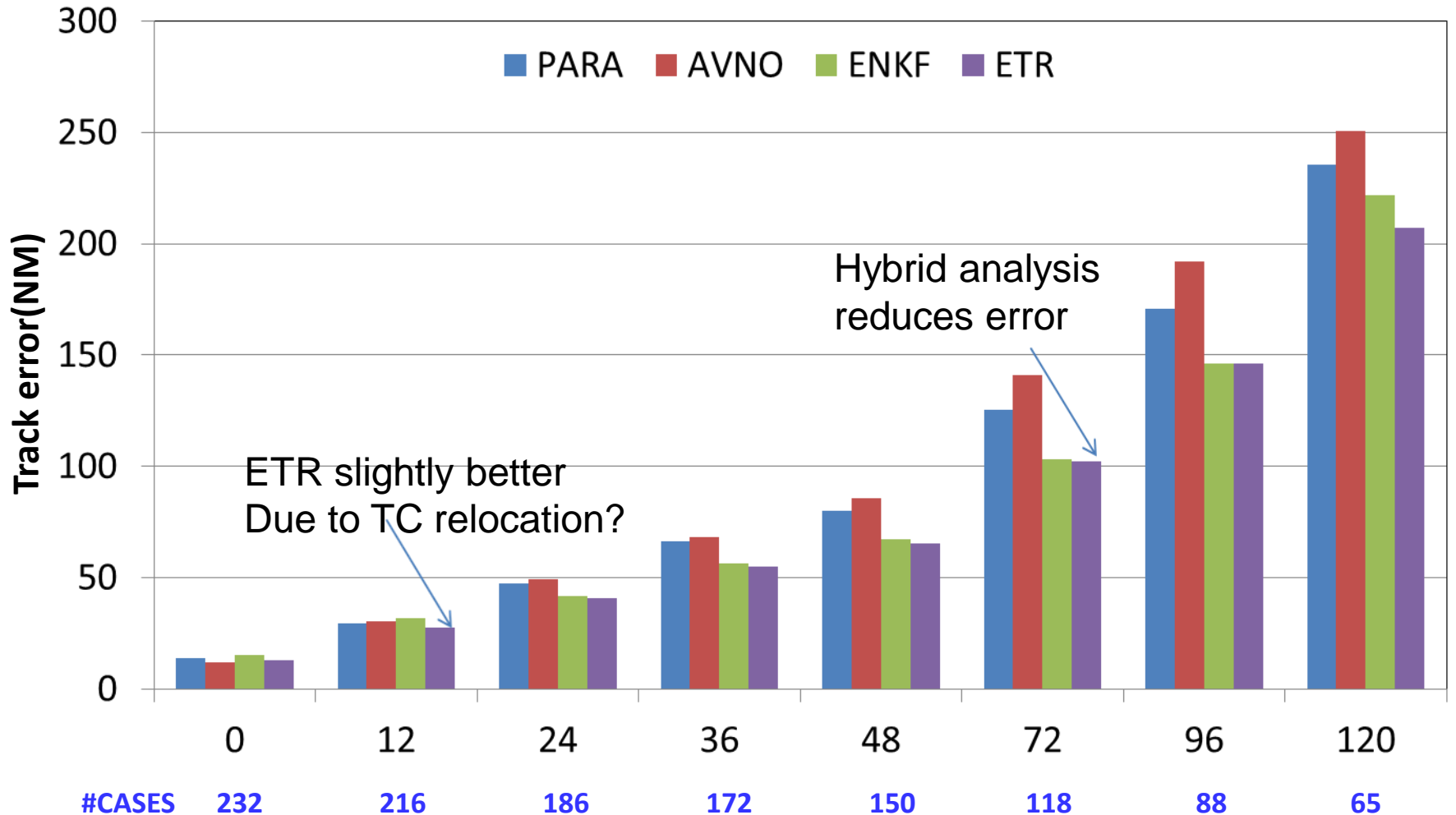
## T2m

Northern Hemisphere 2 Meter Temp. Brier Skill Scores (BSS)  
Average For 20110819 – 20111025



# Tropical Storm Track Forecast Errors

## AL01-18, EP03-12, WP08-23 (07/01-10/25/2011)



### Forecast hours

PARA----GEFS T254 parallel (GSI)

AVNO----GFS T574 (GSI)

ENKF---GEFS T254 ENKF (hybrid analysis)

ETR----GEFS T254 ETR (hybrid analysis)

# Summary

- Recent GEFS implementation, with major model upgrade and resolution increases, significantly increased the forecast performance, especially in hurricane track forecast.
- With the anticipated upgrade in Data Assimilation (GSI-EnKF Hybrid), the new GEFS product will be further improved, especially in warm season.
- The STTP scheme, implemented in Feb 2010, works well and requires minimum adjustment in system upgrade. It led to striking increase in probabilistic forecast skills, especially in week 2. It is expected to be complementary to physics based stochastic schemes.
- Improvement in ensemble initialization has always been our focus and the implementation of Hybrid GSI/EnKF Data Assimilation provide various possibilities. The application of error covariance from EnKf 6h forecast will be explored.
- Experiment is performed to initialize ensemble perturbation from EnKF 6h forecast. Preliminary comparison with the current ETR method suggests that the two methods have comparable forecast skills and more comprehensive study is necessary.

# Background

# Multi-model Global Ensemble Forecast System

Yuejian Zhu

Ensemble Team Leader  
EMC/NCEP

# The Value of Ensemble Forecast

- Offer additional information to deterministic forecast – “uncertainty”
- Ensemble uncertainty forecast could help for high impact or extreme weather event – lower probability – tails of forecast distribution – single model ensemble is usually under-dispersed
- Help for flip-flop (or in-consistent) forecast through ensemble mean
- Ensemble mean could have more value for large scale system forecast, longer lead time forecast
- However - Ensemble can not help to answer all questions



# Cost of Ensemble Prediction System

- Current status
  - Single model (initial perturbed) ensemble - GEFS
- Ensemble forecast available for NCEP in operation
  - NCEP global ensemble
  - Canadian multi-physics ensemble
  - NAEFS (NCEP GEFS + CMC GEFS)
  - NUOPC (NCEP GEFS + CMC GEFS + FNMOG GEFS)
  - ECMWF ensembles, UK ensembles, JMA ensembles
- Plan for discussion
  - Testing GFS + FIM (multi-model – dynamic) ensemble
  - Possible other candidate in the future (NMMB)??
  - Cost of maintaining models??
  - Value added to current NAEFS – multi-model??

# NAEFS/NUOPC Configuration

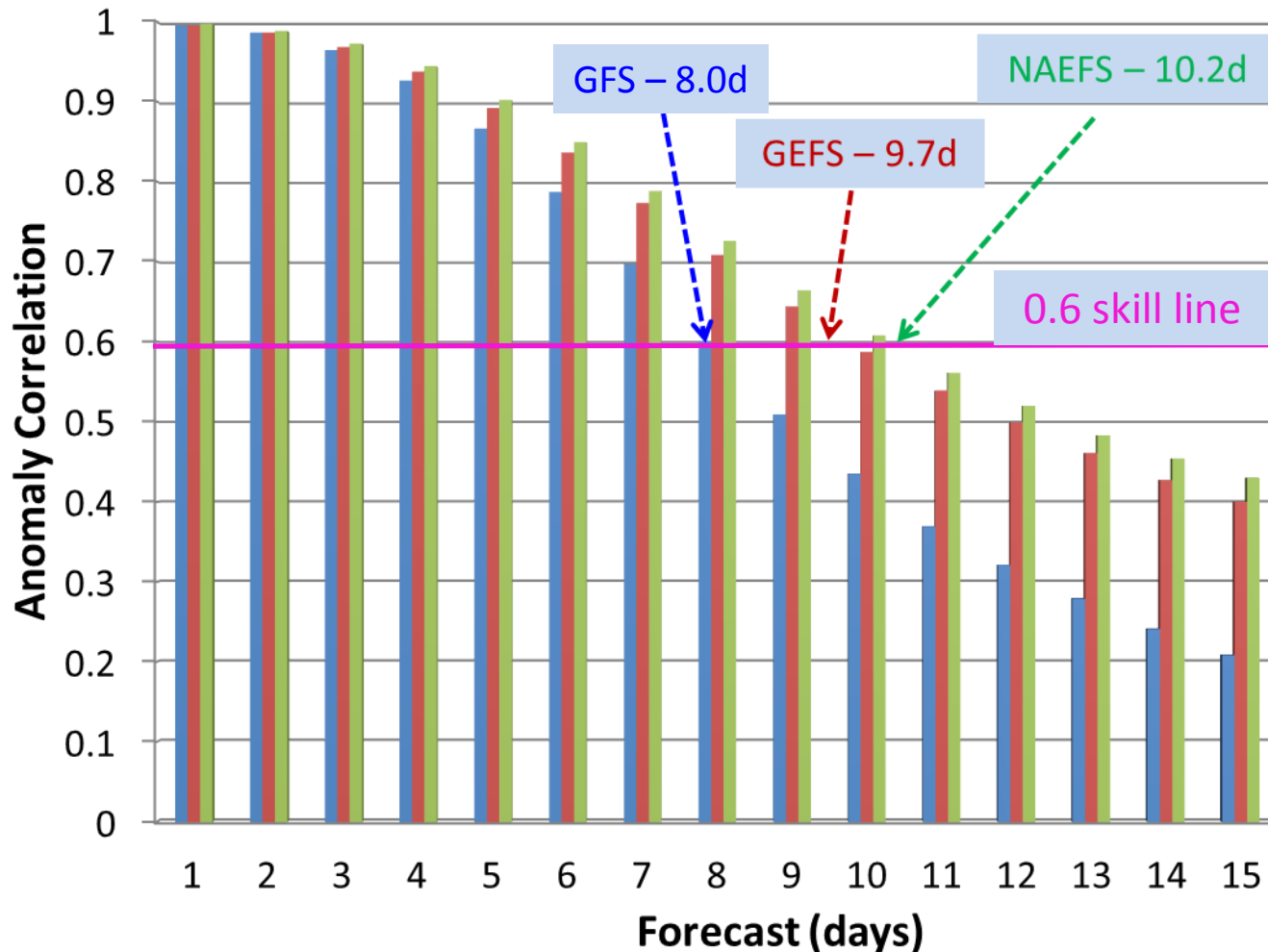
Updated: February 14 2012

	<b>NCEP</b>	<b>CMC</b>	<b>FNMOG</b>
Model	GFS	GEM	Global Spectrum
Initial uncertainty	ETR	EnKF	(9) Banded ET
Model uncertainty Stochastic	Yes (STTP)	Yes (multi-physics)	None
Tropical storm	Relocation	None	None
Daily frequency	00,06,12 and 18UTC	00 and 12UTC	00 and 12UTC
Resolution	T254L42 (d0-d8)~55km T190L42 (d8-16)~70km	L40 ~ 66km	T159L42 ~ 80km
Control	Yes	Yes	No
Ensemble members	20 for each cycle	20 for each cycle	20 for each cycle
Forecast length	16 days (384 hours)	16 days (384 hours)	16 days (384 hours)
Post-process	Bias correction for ensemble mean	Bias correction for each member	Bias correction for member mean
Last implementation	February 14 <sup>th</sup> 2012	August 17 <sup>th</sup> 2011	September 14 2011

# NH Anomaly Correlation for 500hPa Height

Period: January 1st – December 31st 2010

■ GFS ■ GEFS ■ NAEFS

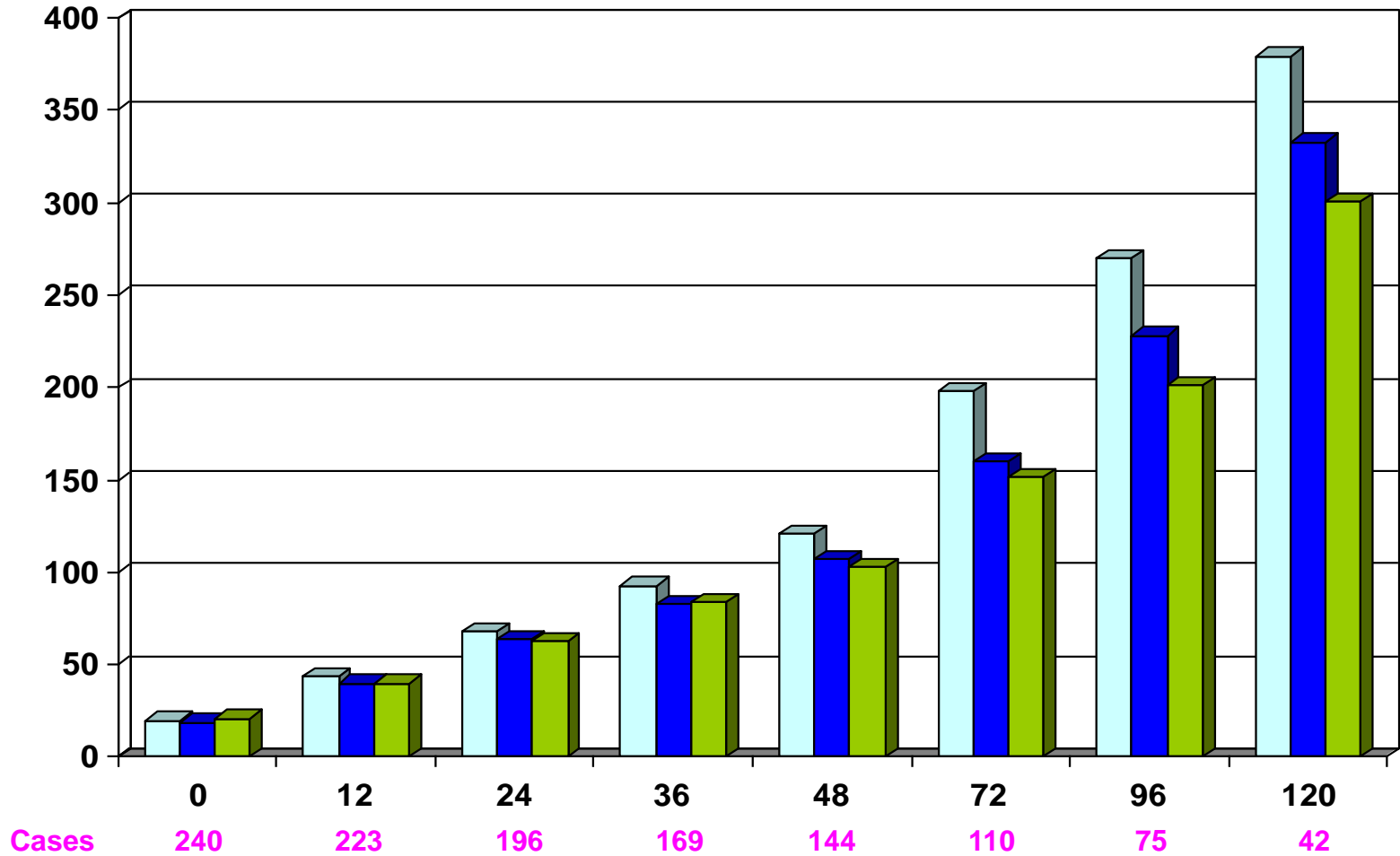


Benefit for forecast:

1. Ensemble (GEFS) mean extends 1.7 days forecast ability
2. NAEFS adds additional 0.5 day forecast skill
3. Post process will add another additional

# Track forecast error for 2009 season (AL+EP+WP)

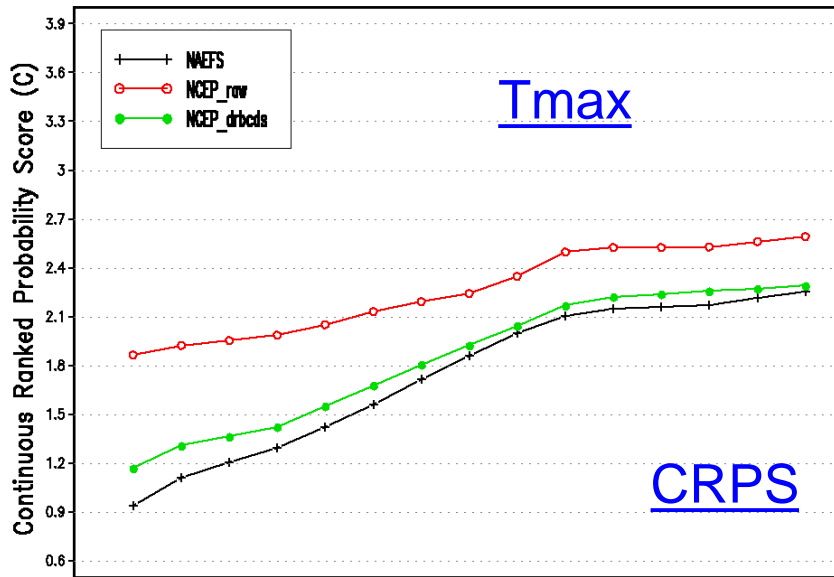
□ NCEPraw ■ NCEPbc ■ NAEFS



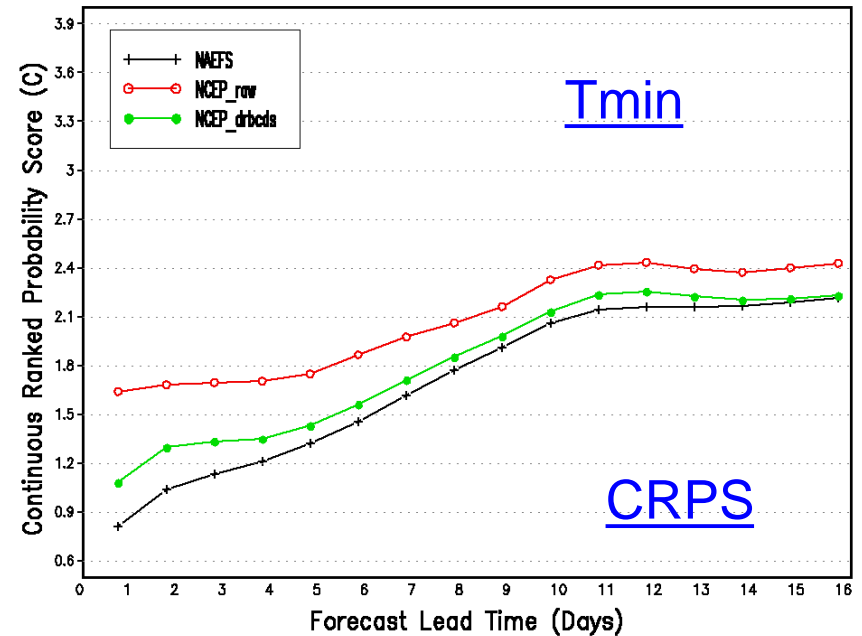
NAEFS is combined NCEP (NCEPbc) and CMC's (CMCbc) bias corrected ensemble and bias corrected GFS

Contributed by Dr. Jiayi Peng (EMC/NCEP)

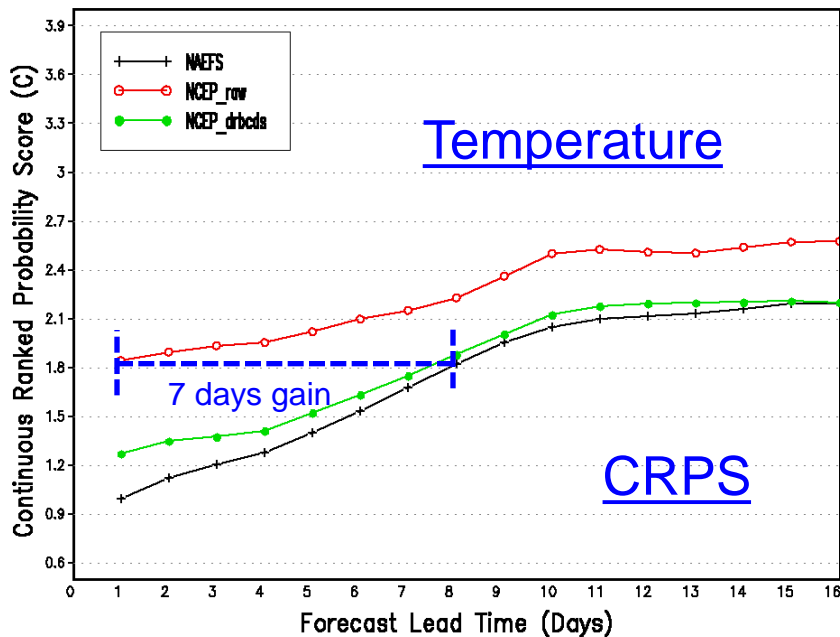
NAEFS NDGD Probabilistic Max Temperature  
Forecast Verification For 2011030100 – 2011042500



NAEFS NDGD Probabilistic Min Temperature  
Forecast Verification For 2011030100 – 2011042500



NAEFS NDGD Probabilistic 2m Temperature  
Forecast Verification For 2011030100 – 2011042500



BO CUI, GCWMB/EMC/NCEP/NOAA

Latest evaluation for CONUS temperature forecast by apply :

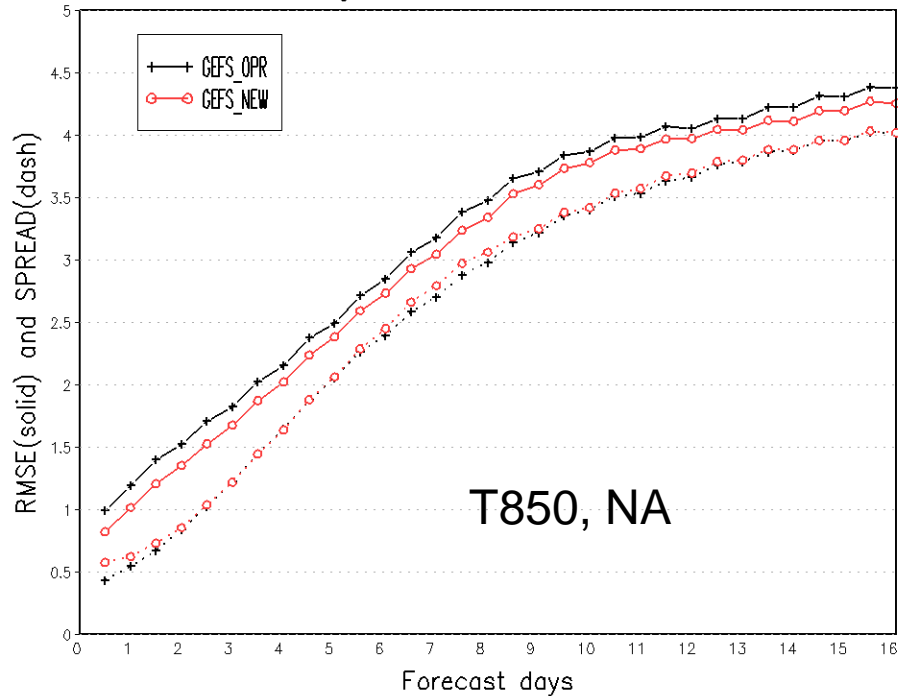
1. Bias correction at 1\*1 degree for NCEP GFS/GEFS, CMC/GEFS
2. Hybrid bias corrected NCEP GFS and GEFS
3. Apply statistical downscaling for all bias corrected forecast
4. Combined all forecasts at 5\*5 km (NDGD) grid with adjustment - 37 NAEFS

BO CUI, GCWMB/EMC/NCEP/NOAA

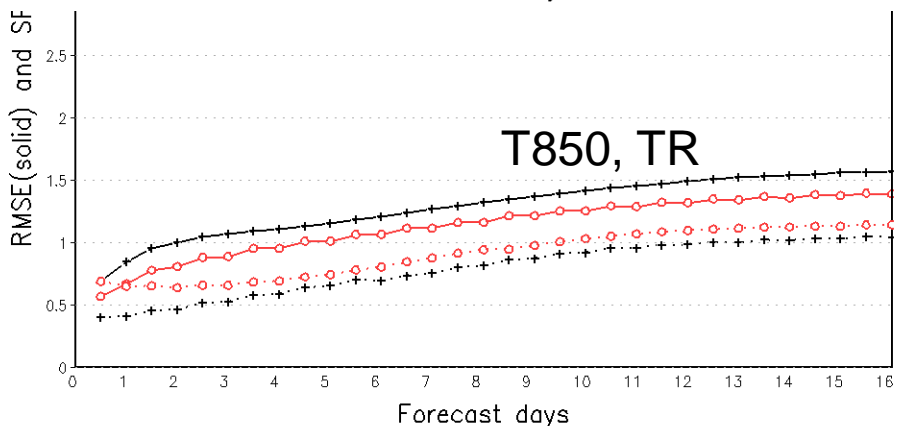
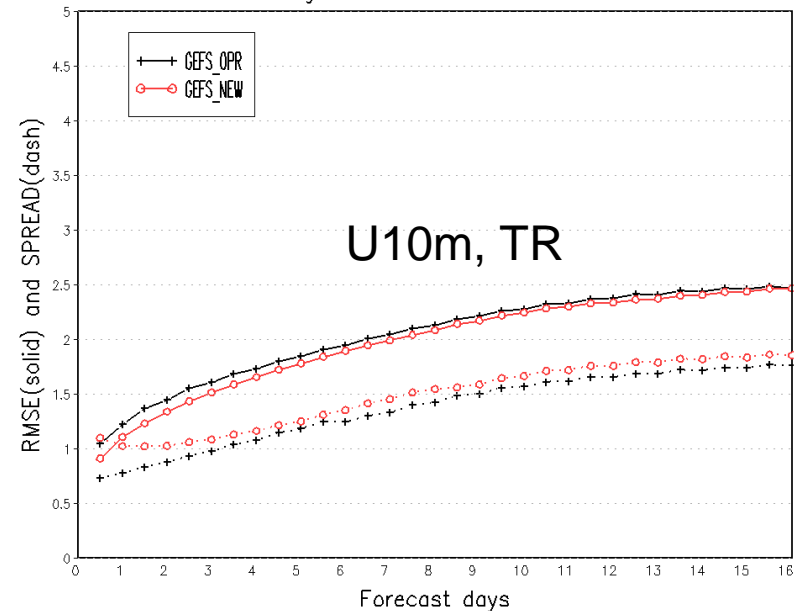
# Impact of initial perturbation inflation

- Larger initial perturbations in lower levels
- But grow row slower for the first 48 hours
- Even decrease in tropics
- Modest impact in extratropics
- Moderate impact in the Tropics

North American 850hPa Temp.  
Ensemble Mean RMSE and Ensemble SPREAD  
Average For 20110720 – 20120214



Tropical 10 Meter U Wind  
Ensemble Mean RMSE and Ensemble SPREAD  
Average For 20110720 – 20120214



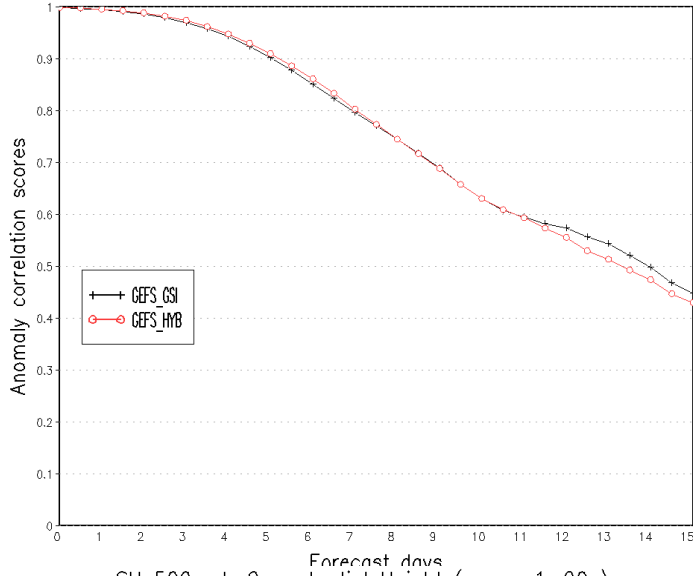
# NAEFS Current Configuration

Updated: February 14<sup>th</sup> 2012

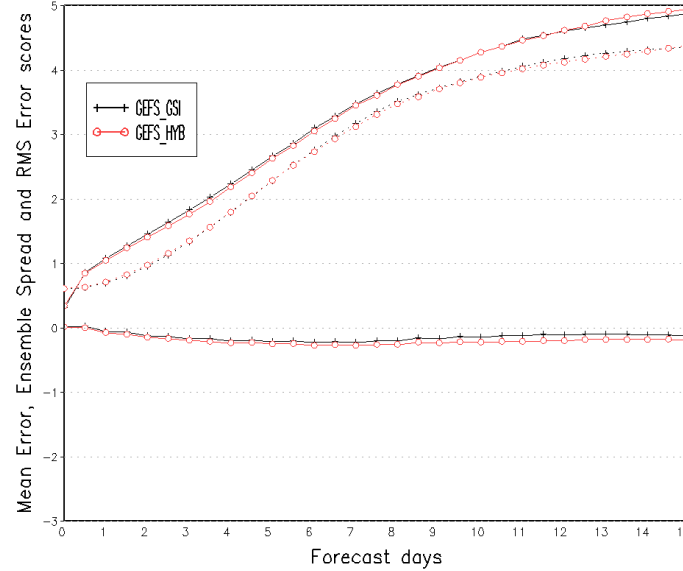
	<b>NCEP</b>	<b>CMC</b>	<b>NAEFS</b>
Model	GFS	GEM	NCEP+CMC
Initial uncertainty	ETR	EnKF	ETR + EnKF
Model uncertainty/Stochastic	Yes (Stochastic Pert)	Yes (multi-physics)	Yes
Tropical storm	Relocation	None	
Daily frequency	00,06,12 and 18UTC	00 and 12UTC	00 and 12UTC
Resolution	T254L42 (d0-d8)~55km T190L42 (d8-16)~70km	(d0-d16) ~ 66km	1*1 degree
Control	Yes	Yes	Yes (2)
Ensemble members	20 for each cycle	20 for each cycle	40 for each cycle
Forecast length	16 days (384 hours)	16 days (384 hours)	16 days
Post-process	Bias correction (same bias for all members)	Bias correction for each member	Yes
Last implementation	February 14 <sup>th</sup> 2012	August 17 <sup>th</sup> 2011	

# Impact of the DA upgrade in May 2012: Data assimilation upgrade: Hybrid GSI-EnKF

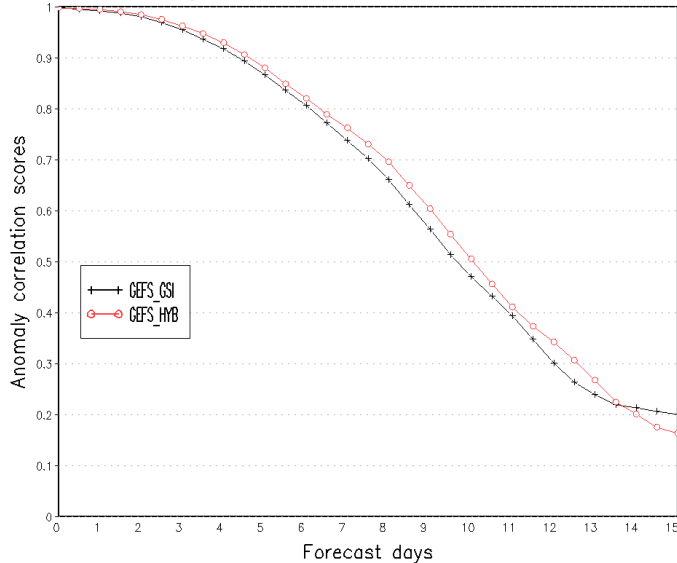
NH 500 mb Geopotential Height ( wave 1-20 )  
Average For 00Z02JAN2012 - 00Z31JAN2012



NH 850 mb Temperature  
Average For 00Z02JAN2012 - 00Z31JAN2012



SH 500 mb Geopotential Height ( wave 1-20 )  
Average For 00Z02JAN2012 - 00Z31JAN2012



SH 850 mb Temperature ( wave 1-20 )  
Average For 00Z02JAN2012 - 00Z31JAN2012

