

Balanced Dynamics and Convection in the Tropical Atmosphere¹

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How Do We Separate “Convection” from “Large Scale”? (Ooyama, 1982)

- ▶ Total atmospheric flow = balanced part + unbalanced part.
- ▶ Convection included in unbalanced part and is tightly coupled to it. This part of the flow is chaotic and unpredictable in detail.
- ▶ Balanced flow is much simpler and much more predictable.
- ▶ Only that aspect of convection controlled by the balanced part of the flow is predictable.

Adjustment to Balance by Inertia-Gravity Waves

Plane wave

$$\text{amplitude} \propto \exp[i(kx + mz - \omega t)]$$

Dispersion relation

$$\omega^2 = f_{\text{eff}}^2 + \frac{k^2 N^2}{m^2} \Rightarrow \omega^2 \geq f_{\text{eff}}^2$$

Balance time scale $< 1/\text{Coriolis parameter}$. At 10 N
 $f^{-1} \approx 10$ hr.

Effective Coriolis parameter

$$f_{\text{eff}} = \zeta_a = \text{absolute vorticity}$$

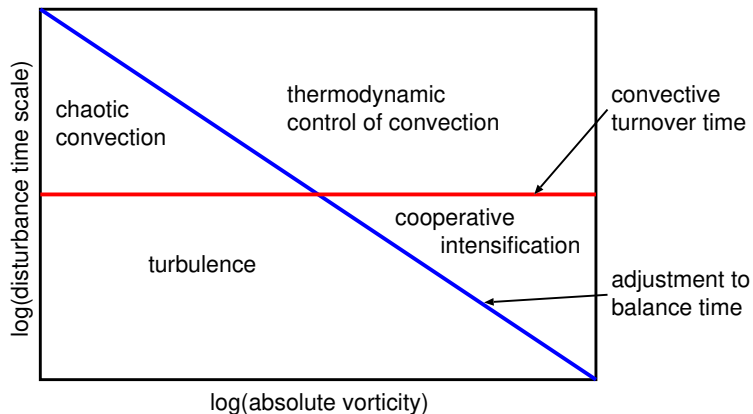
Time Scales of Convection

- ▶ Convective cell overturning time ≈ 1 hr
- ▶ Mesoscale convective system 2-20 hr
- ▶ Ensembles of tropical convection (easterly waves, cyclones) > 1 day

Convective time scale = time scale for changing vorticity
 $\approx 1/\text{mean divergence}$ (area-dependent)

Convective time scale $>$ adjustment time scale \Rightarrow balance

Regime Diagram (Inspired by Ooyama)



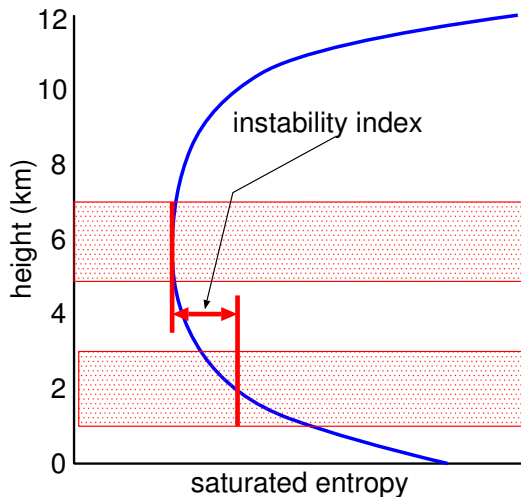
Thermodynamic Control of Convection

From Raymond and Sessions (2007); Sessions et al. (2015); in weak temperature gradient models, rain increases as:

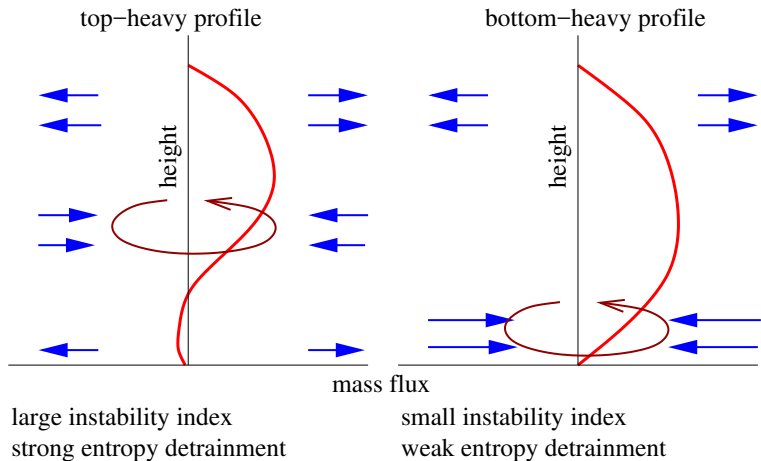
- ▶ surface moist entropy flux increases;
- ▶ saturation fraction (a measure of column relative humidity) increases;
- ▶ instability index (a measure of lower to upper-tropospheric moist convective instability) **decreases** (within limits).

Instability index decreases as mid-level vorticity increases.

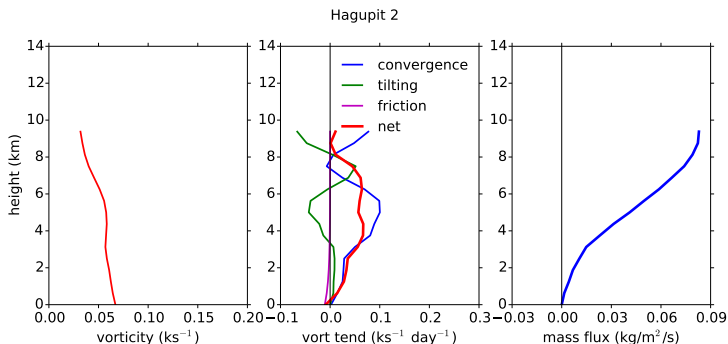
Instability Index = saturated moist entropy 1-3 km
minus saturated moist entropy 5-7 km



Instability Index and Convection

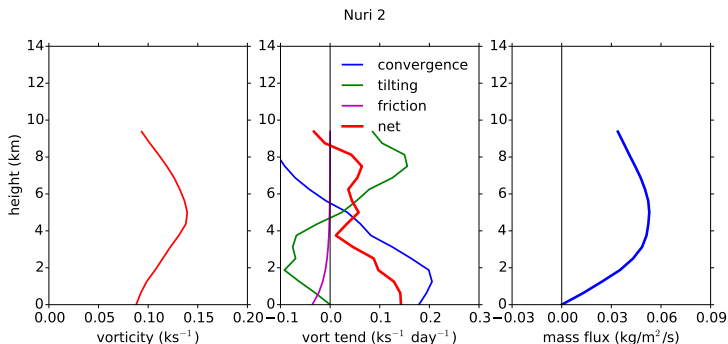


Hagupit 2 – Non-Developing Wave



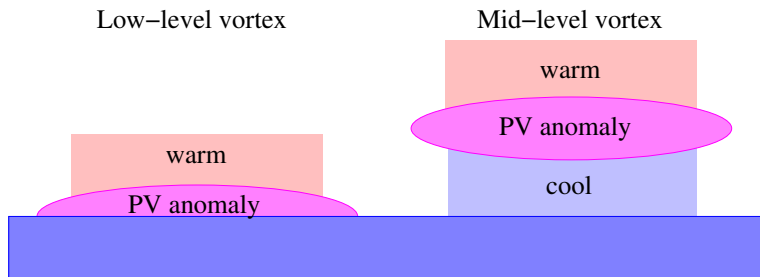
Instability index = 27 J/K/kg

Nuri 2 – Rapidly Developing Cyclone

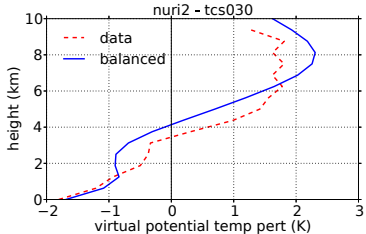
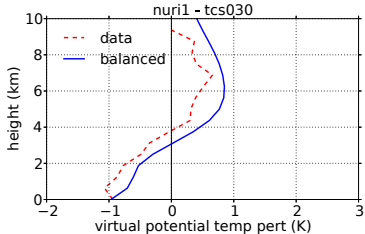
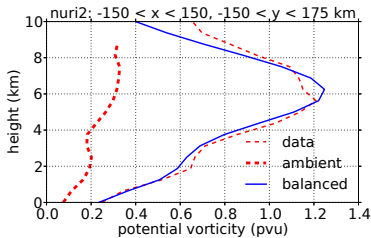
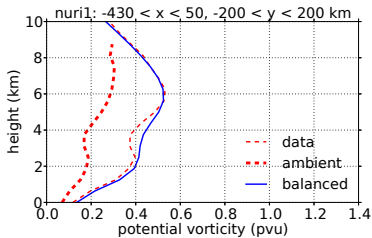


Instability index = 11 J/K/kg

Potential Vorticity and Temperature Perturbations (Balanced State)



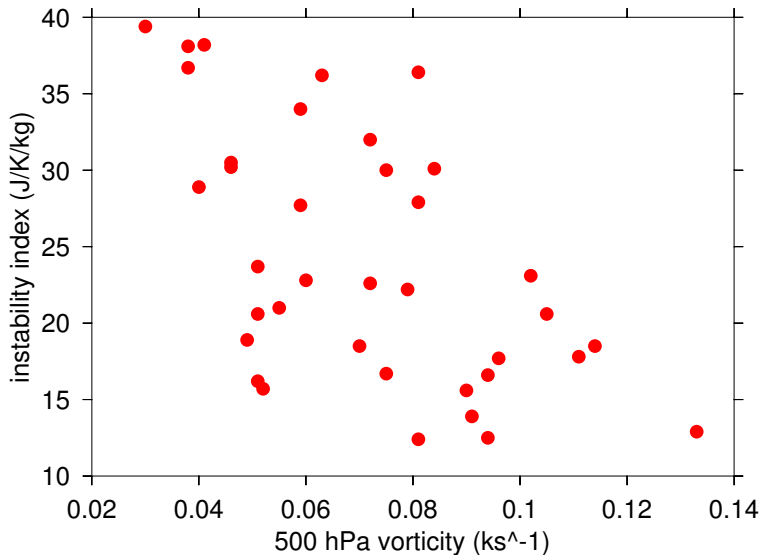
Nuri1 and Nuri2 PV Inversions



Vorticity and Convection

- ▶ Mid-level vorticity produces a temperature dipole with a warm anomaly aloft and a cool anomaly at low levels.
- ▶ This temperature dipole results in lower instability index.
- ▶ Lower instability index produces bottom-heavy convective mass fluxes, which increases precipitation and aids in tropical cyclone spinup.
- ▶ It also results in weaker moist entropy detrainment or even entropy entrainment.

Instability Index and Mid-Level Absolute Vorticity (TPARC/TCS08 and PREDICT)



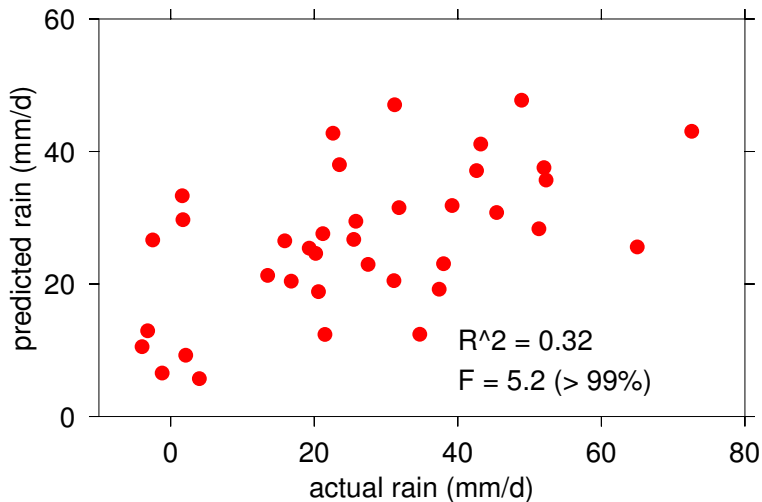
Can We Predict Rainfall? Measure of Strength of Convection

- ▶ Recipe: Linear combination of...
 - ▶ Surface moist entropy flux (eflux)
 - ▶ 500 hPa vorticity (surrogate for instability index; vort500)
 - ▶ Saturation fraction (column relative humidity; satfrac)
- ▶ Inspired by Raymond and Flores (2016).

$$\text{rain} = A + B*\text{eflux} + C*\text{vort500} (+ D*\text{satfrac})$$

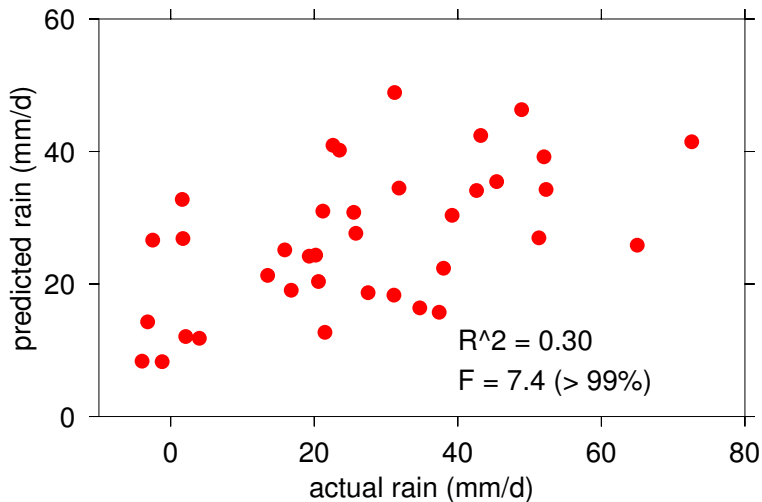
Predicted vs. Actual Rainfall (with humidity)

$$\text{rain_p} = -64 + 16.3 \cdot \text{eflux} + 269 \cdot \text{vort500} + 73 \cdot \text{satfrac}$$

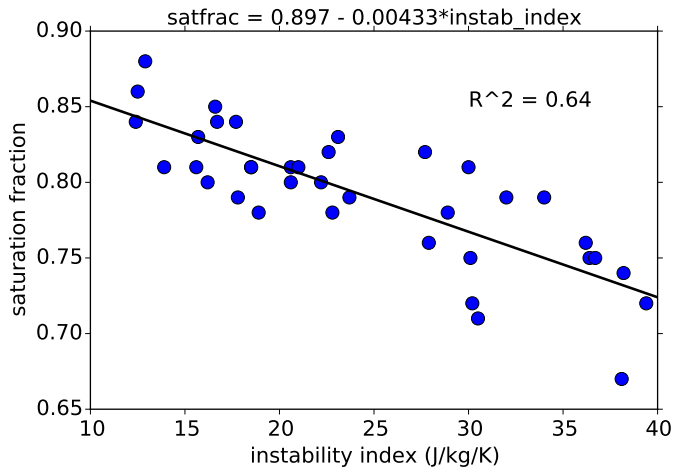


Predicted vs. Actual Rainfall (no humidity)

$$\text{rain_p} = -13.2 + 18.0 \cdot \text{eflux} + 350 \cdot \text{vort500}$$

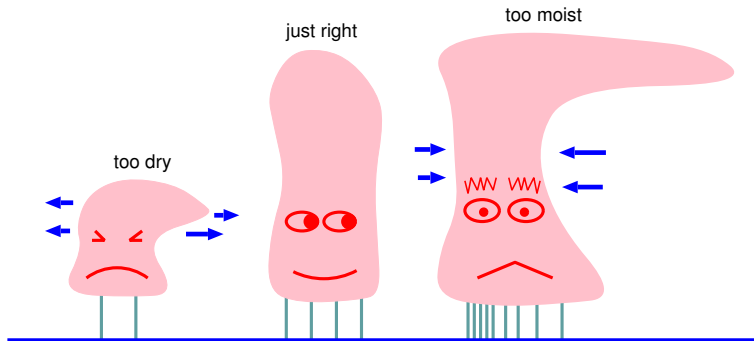


Moisture Quasi-Equilibrium



How Does MQE Work? (Singh and O’Gorman, 2013)

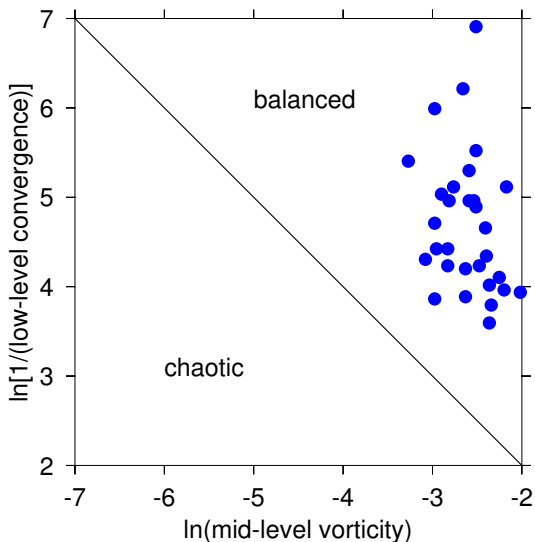
Goldilocks Model of Moisture Quasi-Equilibrium



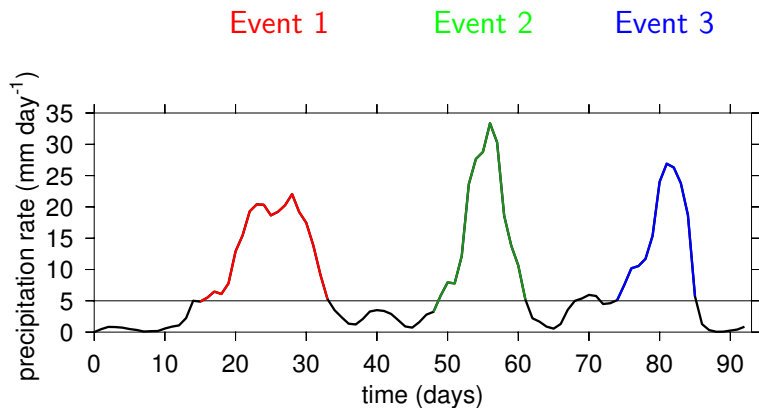
Summary of Forcing of Tropical Oceanic Rainfall

- ▶ Three controlling variables
 - ▶ Surface moist entropy flux
 - ▶ Strength of mid-level vorticity (instability index)
 - ▶ Saturation fraction
- ▶ However: Saturation fraction is slaved to mid-level vorticity as long as convection is strong and environmental ventilation is weak.

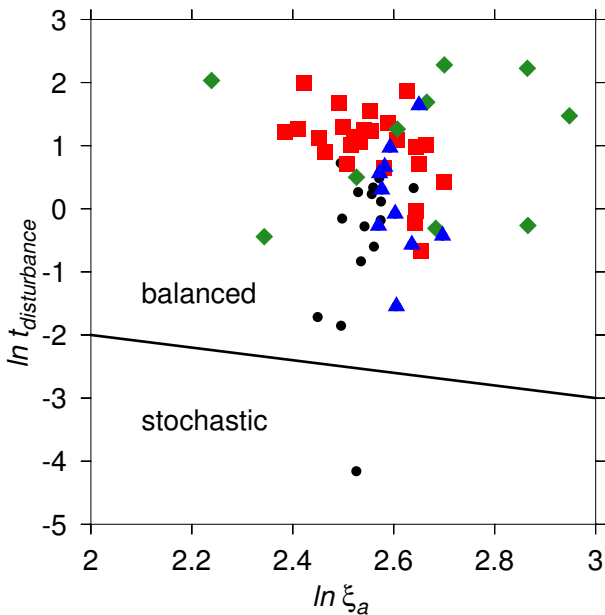
TCS08-PREDICT Regime Diagram (300 km scale)



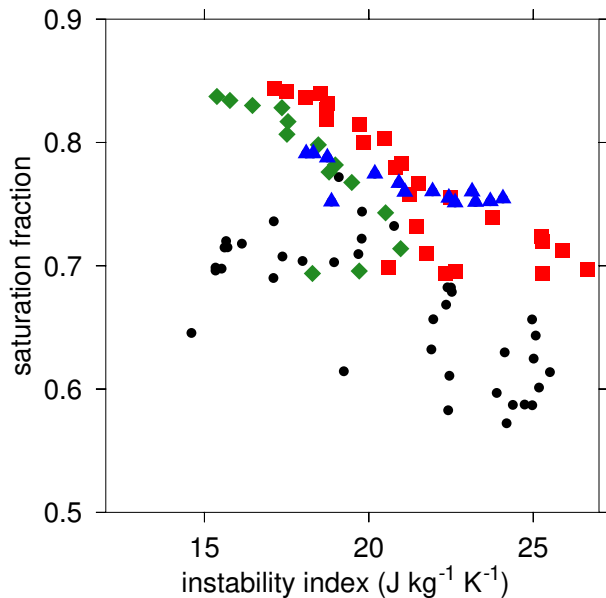
Examples from DYNAMO



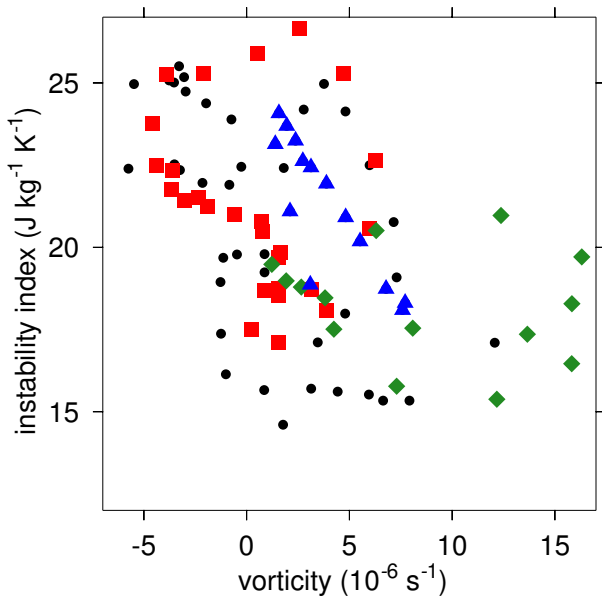
Dynamo Regime Diagram (500-1000 km scale)



Moisture Quasi-Equilibrium in DYNAMO



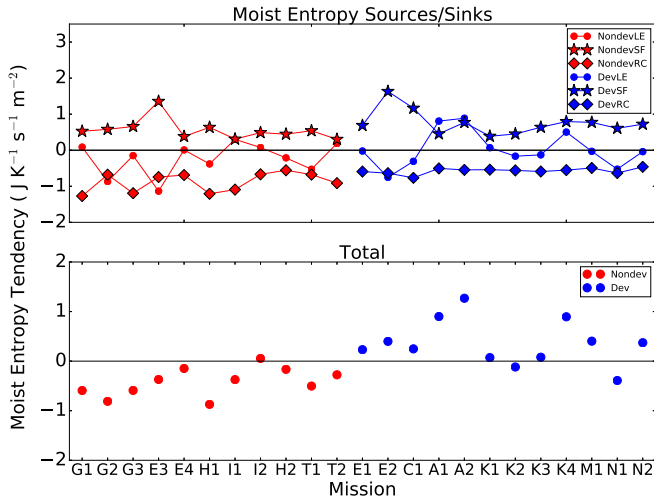
Instability Index vs. Mid-Level Relative Vorticity



Entropy Budget and Intensification of Tropical Cyclones

- ▶ Case studies of tropical cyclones in various phases from HS-3, PREDICT/IFEX, and TCS-08
- ▶ Arrays of dropsondes and satellite data used to compute entropy budget
- ▶ Storm intensification correlated with positive column entropy tendency
- ▶ This test could be done operationally with G-V dropsonde arrays around TCs

Entropy Tendency and TC Intensification (Ana Juračić)



Interaction of Convection and Balanced Disturbances

